

# Theta divisors and the Frobenius morphism

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## Abstract

We introduce theta divisors for vector bundles and relate them to the ordinariness of curves in characteristic  $p > 0$ . We prove, following M. Raynaud, that the sheaf of locally exact differentials in characteristic  $p > 0$  has a theta divisor, and that the generic curve in (any) genus  $g \geq 2$  and (any) characteristic  $p > 0$  has a cover that is not ordinary (and which we explicitly construct).

## 1 Theta divisors for vector bundles

Let  $k$  be an algebraically closed field and  $X$  a smooth proper connected curve over  $\text{Spec } k$  having genus  $g$ . We assume throughout that  $g \geq 2$ .

If  $E$  is a vector bundle (i.e. a locally free invertible sheaf) of rank  $r$  and degree  $d$  over  $X$ , we define its *slope* to be  $\lambda = d/r$ . The Riemann-Roch formula gives the Euler-Poincaré characteristic of  $E$ :

$$\chi(X, E) = h^0(X, E) - h^1(X, E) = r(\lambda - (g - 1))$$

In particular for  $\lambda = g - 1$  (the *critical slope*) we have  $\chi(X, E) = 0$ ; moreover, it is still true for any invertible sheaf  $L$  of degree 0 over  $X$  that  $\chi(X, E \otimes L) = 0$ , in other words,  $h^0(X, E \otimes L) = h^1(X, E \otimes L)$ . Under those circumstances, it is natural to ask the following question:

**Question 1.1.** *Suppose  $E$  has critical slope. Then for which invertible sheaves  $L$  of degree 0 (if any) is it true that  $h^0(X, E \otimes L) = 0$  (and consequently also  $h^1(X, E \otimes L) = 0$ )? Is this true for some  $L$ , for many  $L$ , or for none?*

We start with a necessary condition. Suppose there were some subbundle  $F \hookrightarrow E$  having slope  $\lambda(F) > \lambda(E) = g - 1$ . Then we would have

$\chi(X, F \otimes L) > 0$ , hence  $h^0(X, F \otimes L) > 0$ . Now  $H^0(X, F \otimes L) \hookrightarrow H^0(X, E \otimes L)$ , so this implies  $h^0(X, E \otimes L) > 0$ . So if we are to have  $h^0(X, E \otimes L) > 0$  for some  $L$ , this must not happen, and we say that  $E$  is *semi-stable*:

**Definition 1.2.** *A vector bundle  $E$  over  $X$  is said to be stable (resp. semi-stable) iff for every sub-vector-bundle  $F$  of  $E$  (other than 0 and  $E$ ) we have  $\lambda(F) < \lambda(E)$  (resp.  $\lambda(F) \leq \lambda(E)$ ).*

Another remark we can make bearing some relation with question (1.1) is that, by the semicontinuity theorem, if we let  $L$  vary on the jacobian of  $X$ , the functions  $h^0(X, E \otimes L)$  and  $h^1(X, E \otimes L)$  are upper semicontinuous. This means that they increase on closed sets. In particular, if  $h^0(X, E \otimes L) = 0$  (the smallest possible value) for some  $L$ , then this is true in a whole neighborhood of  $L$ , that is, for almost all  $L$ . We then say that this holds for a *general* invertible sheaf of degree 0 and we write  $h^0(X, E \otimes L_{\text{gen}}) = 0$ .

Now introduce the jacobian variety  $J$  of  $X$  and let  $\mathcal{L}$  be the (some) Poincaré sheaf (universal invertible sheaf of degree 0) on  $X \times_{\text{Spec } k} J$ . We aim to use  $\mathcal{L}$  to let  $L$  vary and provide universal analogues for our formulæ. Let

$$\begin{array}{c} X \times_{\text{Spec } k} J \\ \downarrow f \\ J \end{array}$$

be the second projection.

Consider the sheaf  $E \otimes \mathcal{L}$  (by this we mean the twist by  $\mathcal{L}$  of the pullback of  $E$  to  $X \times_{\text{Spec } k} J$ ). Our interest is mainly in the higher direct image  $Rf_*(E \otimes \mathcal{L})$ , which incorporates information about  $H^i(X, E \otimes L)$  (and much more).

To be precise, we know that there exists a complex  $0 \rightarrow M^0 \xrightarrow{u} M^1 \rightarrow 0$  of vector bundles on  $J$  that universally computes the  $R^i f_*(E \otimes \mathcal{L})$ , in the sense that the  $i$ -th cohomology group ( $i = 0, 1$ ) of the complex is  $R^i f_*(E \otimes \mathcal{L})$  and that this remains true after any base change  $J' \rightarrow J$ . (One particularly important such base change, of course, is the embedding of a closed point  $\{L\}$  in  $J$ .)

Now  $M^0$  and  $M^1$  have the same rank, say  $s$  (because the Euler-Poincaré characteristic of  $E$  is 0). So we can consider the determinant of  $u$ ,  $\bigwedge^s M^0 \xrightarrow{\det u} \bigwedge^s M^1$ , or rather

$$\mathcal{O}_J \xrightarrow{\det u} \bigwedge^s M^1 \otimes (\bigwedge^s M^0)^{\otimes -1}$$

Exactly one of the following two things happens:

- *Either* the determinant  $\det u$  is zero (identically). In this case,  $u$  is nowhere (i.e. on no fiber) invertible, it always has a kernel and a cokernel: we have  $h^0(X, E \otimes L) > 0$  (and of course  $h^1(X, E \otimes L) > 0$ ) for all  $L$ .
- *Or*  $\det u$  is a nonzero section of the invertible sheaf  $\bigwedge^s M^1 \otimes (\bigwedge^s M^0)^{\otimes -1}$  on  $J$  and it defines a positive divisor  $\theta_E$  on  $J$ , whose support is precisely the locus of  $L$  such that  $h^0(X, E \otimes L) > 0$ . We then have  $h^0(X, E \otimes L_{\text{gen}}) = 0$ .

In other words, precisely in the case where  $h^0(X, E \otimes L_{\text{gen}}) = 0$  we can define a positive divisor  $\theta_E$  on  $J$  which tells us “where the bundle  $E$  has cohomology”. We call this divisor the *theta divisor* of the vector bundle  $E$ . And we will use the expression “to admit a theta divisor” as synonymous for  $h^0(X, E \otimes L_{\text{gen}}) = 0$ . For example, a vector bundle that admits a theta divisor is semi-stable (but the converse is not true, cf. [1]).

## 2 Enters the Frobenius morphism

We now assume that the base field  $k$  has characteristic  $p > 0$ . We then have a relative Frobenius morphism

$$\begin{array}{ccc} X & \xrightarrow{\pi} & X_1 \\ & \searrow & \swarrow \\ & \text{Spec } k & \end{array}$$

which is obtained by factoring the absolute Frobenius morphism through the pullback to  $X$  of the Frobenius on  $k$  (more descriptively,  $\pi$  has the effect, in projective space, of raising the coordinates to the  $p$ -th power, while  $X_1$  is the curve obtained by raising to the  $p$ -th powers the coefficients in the equations defining  $X$ ).

The curve  $X_1$  has the same genus  $g$  as  $X$ . The morphism  $\pi$  is flat, finite and purely inseparable of degree  $p$ . From it we deduce a morphism  $\mathcal{O}_{X_1} \rightarrow \pi_* \mathcal{O}_X$  (of  $\mathcal{O}_{X_1}$ -modules), which is mono because  $\pi$  is surjective. Call  $B_1$  the cokernel, so that we have the following short exact sequence:

$$0 \rightarrow \mathcal{O}_{X_1} \rightarrow \pi_* \mathcal{O}_X \rightarrow B_1 \rightarrow 0 \tag{1}$$

Now the sheaf  $B_1$  can be viewed in a different way: if we call  $d$  the differential  $\mathcal{O}_X \rightarrow \Omega_X^1$  (between sheaves of  $\mathbb{Z}$ -modules) then  $\pi_*(d)$  is  $\mathcal{O}_{X_1}$ -linear and has  $\mathcal{O}_{X_1}$  as kernel. Consequently,  $B_1$  can also be seen as the image of  $\pi_*(d)$ , hence its name of *sheaf of locally exact differentials*. As a subsheaf of the locally free sheaf  $\pi_*\Omega_X^1$ , it is itself a vector bundle.

In the short exact sequence (1) above, the vector bundles  $\mathcal{O}_{X_1}$  and  $\pi_*\mathcal{O}_X$  have rank 1 and  $p$  respectively, so that  $B_1$  has rank  $p-1$ . On the other hand, since  $\mathcal{O}_{X_1}$  and  $\pi_*\mathcal{O}_X$  each have Euler-Poincaré characteristic  $g-1$ , we have  $\chi(X_1, B_1) = 0$ , or in other words,  $\lambda(B_1) = g-1$  (the critical slope), and what we have said in the previous section applies to the sheaf  $B_1$ .

More precisely, we have the following long exact sequence in cohomology, derived from (1):

$$\begin{array}{ccccccc}
& & k & \xrightarrow{\quad \sim \quad} & k & & \\
& & \parallel & & \parallel & & \\
0 & \rightarrow & H^0(X_1, \mathcal{O}_{X_1}) & \rightarrow & H^0(X, \mathcal{O}_X) & \rightarrow & H^0(X_1, B_1) \rightarrow \\
& & \rightarrow & H^1(X_1, \mathcal{O}_{X_1}) & \rightarrow & H^1(X, \mathcal{O}_X) & \rightarrow H^1(X_1, B_1) \rightarrow 0
\end{array}$$

Here the first arrow is an isomorphism as shown. Consequently, the arrow  $H^1(X_1, \mathcal{O}_{X_1}) \rightarrow H^1(X, \mathcal{O}_X)$  is also an isomorphism if and only if  $h^0(X_1, B_1) = 0$ , or, what amounts to the same,  $h^1(X_1, B_1) = 0$ . This is again the same as saying that  $B_1$  has a theta divisor (something which we will see is always true) and that it does not go through the origin.

**Definition 2.1.** *When the equivalent conditions mentioned in the previous paragraph are satisfied, we say that the curve  $X$  is ordinary.*

### 3 The sheaf of locally exact differentials has a theta divisor

In this section we prove the following result due to M. Raynaud ([1]):

**Theorem 3.1.** *If  $X$  is a smooth projective connected curve over an algebraically closed field  $k$  of characteristic  $p > 0$  and  $B_1$  is the sheaf of locally exact differentials on  $X_1$ , as introduced above, then we have  $h^0(X_1, B_1 \otimes L_{\text{gen}}) = 0$ , i.e. the vector bundle  $B_1$  admits a theta divisor (in particular, it is semi-stable).*

Thus we can state the fact that a curve is ordinary simply by saying that the theta divisor of  $B_1$  does not go through the origin.

To start with, introduce the jacobians  $J$  and  $J_1$  of  $X$  and  $X_1$  respectively. Then  $J_1$  is the Frobenius image of  $J$ , and we have a relative Frobenius morphism  $F: J \rightarrow J_1$  that is purely inseparable of degree  $p^g$ ; it corresponds to taking the norm on invertible sheaves of degree 0 — or, if we prefer using points, it takes  $\mathcal{O}_X(\Sigma n_i x_i)$  to  $\mathcal{O}_{X_1}(\Sigma n_i \pi(x_i))$ . On the other hand, we also have the *Verschiebung* morphism in the other direction  $V: J_1 \rightarrow J$ , which corresponds to pulling back by  $\pi$  — or again, it takes  $\mathcal{O}_{X_1}(\Sigma n_i x_i)$  to  $\mathcal{O}_X(\Sigma p n_i \pi^{-1}(x_i))$ . The *Verschiebung* map also has degree  $p^g$ . The composite of the *Verschiebung* and Frobenius morphisms, in any direction, is the raising to the  $p$ -th power.

We will show something more precise than just saying that  $B_1$  has a theta divisor: we will actually show that this theta divisor does not contain all of  $\ker V$  in the neighborhood of 0. However, we will see from actual equations that it “almost” does.

If  $L_1$  is an invertible sheaf of degree 0 on  $X_1$  (that is, a  $k$ -point of  $J_1$ ), the short exact sequence (1) becomes, after tensoring by  $L_1$ :

$$0 \rightarrow L_1 \rightarrow \pi_* \pi^* L_1 \rightarrow B_1 \otimes L_1 \rightarrow 0 \quad (2)$$

Now let  $\mathcal{L}_1$  be the Poincaré bundle on  $X_1 \times_{\text{Spec } k} J_1$ . The universal analogue of (2) above is

$$0 \rightarrow \mathcal{L}_1 \rightarrow (\pi \times 1_{J_1})_* (\pi \times 1_{J_1})^* \mathcal{L}_1 \rightarrow B_1 \otimes \mathcal{L}_1 \rightarrow 0$$

But by the definition of the *Verschiebung*, the sheaf  $(\pi \times 1_{J_1})^* \mathcal{L}_1$  is also  $(1_X \times V)^* \mathcal{L}$  so that the exact sequence can be written as

$$0 \rightarrow \mathcal{L}_1 \rightarrow (\pi \times 1_{J_1})_* (1_X \times V)^* \mathcal{L} \rightarrow B_1 \otimes \mathcal{L}_1 \rightarrow 0$$

We now introduce projections as designated on the following diagram:

$$\begin{array}{ccccc} X \times J & \xleftarrow{1_X \times V} & X \times J_1 & \xrightarrow{\pi \times 1_{J_1}} & X_1 \times J_1 \\ f \downarrow & & \square & & \downarrow g \\ & & V & & \\ J & \xleftarrow{\quad} & J_1 & \xleftarrow{f_1} & \end{array} \quad (3)$$

Now we want to calculate the  $R(f_1)_*$  of this. For one thing, looking at the diagram (3) above, we see that  $R(f_1)_*(\pi \times 1_{J_1})_* (1_X \times V)^* \mathcal{L}$  is  $Rg_*(1_X \times V)^* \mathcal{L}$ ,

and by base change (note that the morphism  $V$  is flat), this is  $V^*Rf_*\mathcal{L}$ . Thus we have the following distinguished triangle, in the derived category of the category of sheaves on  $J_1$ :

$$\begin{array}{ccc}
 & R(f_1)_*(B_1 \otimes \mathcal{L}_1) & \\
 \swarrow^{+1} & & \searrow \\
 R(f_1)_*\mathcal{L}_1 & \xrightarrow{a} & V^*Rf_*\mathcal{L}
 \end{array} \tag{4}$$

And the corresponding long exact sequence of cohomology is

$$0 \rightarrow (f_1)_*(B_1 \otimes \mathcal{L}_1) \rightarrow R^1(f_1)_*\mathcal{L}_1 \xrightarrow{a} V^*R^1f_*\mathcal{L} \rightarrow R^1(f_1)_*(B_1 \otimes \mathcal{L}_1) \rightarrow 0$$

(the two first terms cancel). We want to show that  $a$  is generically invertible.

To start with, consider a minimal resolution of  $Rf_*\mathcal{L}$  in the neighborhood of the origin. It has the form

$$\mathcal{O}_{J,0} \xrightarrow{u'} \mathcal{O}_{J,0}^g$$

where  $u'(1) = (x_1, \dots, x_g)$  is a system of parameters around 0. Indeed, this last statement is the same as saying, if  $u$  is the transpose of  $u'$ , that the image of  $u$  is the maximal ideal of the regular local ring  $\mathcal{O}_{J,0}$ , and this is easy because  $\{0\}$  is the largest closed subscheme of  $\text{Spec } \mathcal{O}_{J,0}$  on which  $\mathcal{L}$  is trivial.

Now apply what we have just proven to  $J_1$  on the one hand, and to  $J$  on the other, but pulling back by  $V$ , we find the following resolution for the arrow  $a$  in triangle (4):

$$\begin{array}{ccc}
 R & \xrightarrow{a_0} & R \\
 u' \downarrow & & \downarrow v' \\
 R^g & \xrightarrow{a_1} & R^g
 \end{array} \tag{5}$$

where we have written  $R = \mathcal{O}_{J_1,0}$ , and where  $u'(1) = (x_1, \dots, x_g)$  is a system of parameters of  $J_1$  around 0 and  $v'(1) = (y_1, \dots, y_g)$  is a regular sequence that gives an equation of  $\ker V$  around 0. Now of course  $a_0$  is just an element of  $R$ , and it is invertible because modulo the maximal ideal of  $R$  (that is, *at* the origin) the arrow  $a$  is just the identity on  $k$ . So we can assume that  $a_0$  is the identity. What we want to prove is that  $\det a_1$  is not zero (of course, it is invertible precisely when the curve is ordinary).

Consider the diagram (5) and its transpose (i.e. its image by the functor  $\text{Hom}_R(\cdot, R)$ ), and complete them both by adding the Koszul complex on either column. That is, consider the diagrams:

$$\begin{array}{ccc}
0 & & 0 \\
\downarrow & & \downarrow \\
R & \xrightarrow{1} & R \\
\downarrow u' & & \downarrow v' \\
R^g & \xrightarrow{a_1} & R^g \\
\vdots & & \vdots \\
\wedge^g R^g & \xrightarrow{\det a_1} & \wedge^g R^g \\
\downarrow & & \downarrow \\
M' & \xrightarrow{h'} & N' \\
\downarrow & & \downarrow \\
0 & & 0
\end{array}
\quad \text{and} \quad
\begin{array}{ccc}
0 & & 0 \\
\downarrow & & \downarrow \\
\wedge^g R^g & \xrightarrow{\det a_1} & \wedge^g R^g \\
\vdots & & \vdots \\
R^g & \xrightarrow{a_1^\vee} & R^g \\
\downarrow v & & \downarrow u \\
R & \xrightarrow{1} & R \\
\downarrow & & \downarrow \\
N & \xrightarrow{h} & M \\
\downarrow & & \downarrow \\
0 & & 0
\end{array}$$

in which we have written  $u$ ,  $v$  and  $a_1^\vee$  for the transposes of  $u'$ ,  $v'$  and  $a_1$  respectively and  $M$  and  $N$  for the cokernels of  $u$  and  $v$  respectively. Since  $u'(1)$  and  $v'(1)$  are regular sequences,  $M$  and  $N$  are modules of finite length, and the Koszul complex is a resolution of them: the columns of both diagram are exact. We have  $M' = \text{Ext}_R^g(M, R)$  and  $N' = \text{Ext}_R^g(N, R)$  (since we have taken a resolution, transposed it, and shifted in  $g$  degrees). But since the Koszul complex is autodual (that is, the left column of the right diagram is the same as the right column of the left diagram, and *vice versa*),  $M$  and  $M'$  are the same and so are  $N$  and  $N'$ . Finally, it is known that ( $R$  being a regular local ring) the functor  $\text{Ext}_R^g(\cdot, R)$  is dualizing on modules of finite length. Now  $h$  is surjective as is seen on the diagram on the right, so that its image  $h'$  by the functor in question is injective. Hence  $\det a_1$  is nonzero, what we wanted.

We can be more precise than this. As we have seen,  $M$  is isomorphic to  $k$ , and  $N$  to the local ring of  $\ker V$  at 0: the support of  $\theta_{B_1}$  swallows everything in  $\ker V$  around the origin but just one  $k$ . (Incidentally,  $X$  is ordinary if and only if the support of  $\theta_{B_1}$  does not contain the origin, so we recover the known fact that  $X$  is ordinary if and only if the local ring of  $\ker V$  at the origin is  $k$ , i.e.  $V$  is étale.)

## 4 Constructing a non ordinary cover

We now present another result of M. Raynaud's ([2]), namely the fact that a finite étale cover of an ordinary curve is not necessarily ordinary, even when the base curve is generic. In fact, we obtain a cover  $Y \twoheadrightarrow X$  such that the image of the map  $J(X_1) \twoheadrightarrow J(Y_1)$  on the jacobians is completely contained in the support of the theta divisor of  $B_1$  on  $Y_1$  — and in particular 0 is, so that  $Y$  is not ordinary. The construction is sufficiently general to apply to the generic curve (for a given genus  $g \geq 2$  and characteristic  $p$ ). We also get estimations on the Galois group of  $Y$  over  $X$ ; a theorem of Nakajima states that an abelian cover of the generic curve is ordinary, so we have to work with non abelian groups if we want a non ordinary cover — however, we will see that a nilpotent group can suffice.

We start with a few generalities on representations of the fundamental group of curves. We refer to [2] for details. If  $\rho: \pi_1(X) \rightarrow GL(r, k)$  is a representation of the fundamental group of  $X$  in  $k$ -vector spaces of rank  $r$ , and  $\rho$  has open kernel (or, which amounts to the same,  $\rho$  is continuous and has finite image), then  $\rho$  defines a locally constant étale sheaf in  $k$ -vector spaces of rank  $r$  on  $X$ , written  $\mathbb{V}_\rho$  (very succinctly,  $\mathbb{V}_\rho$  can be obtained as follows: find a Galois cover  $Y \twoheadrightarrow X$  whose Galois group factors through the kernel of  $\rho$ , then make  $\pi_1(X)/\ker \rho$  act on  $Y \times k^r$  componentwise, and take the fixed points of that action). Tensoring  $\mathbb{V}_\rho$  by  $\mathcal{O}_X$  gives a Zarisky sheaf  $V_\rho$  which is locally free of rank  $r$  and has degree 0, i.e. a vector bundle of rank  $r$  and slope 0 on  $X$ . Among the functoriality properties of  $V_\rho$  cited in [2], we will need the fact that if  $Y \xrightarrow{a} X$  is finite étale and  $\rho$  is a representation of  $\pi_1(Y)$  as above then  $a_*V_\rho$  is precisely  $V_{\rho'}$ , where  $\rho'$  is the representation of  $\pi_1(X)$  induced by  $\rho$ .

We say that a representation  $\rho$  as above has a theta divisor (respectively, is ordinary) if and only if the sheaf  $V_{1,\rho} \otimes B_1$  on  $X_1$  has a theta divisor (respectively, has a theta divisor that does not go through the origin),  $V_{1,\rho}$  being the bundle  $V_\rho$  as above constructed on  $X_1$ . Thus, we have seen that the trivial representation has a theta divisor, and it is ordinary precisely when the curve  $X$  is ordinary. The existence of a theta divisor for  $B$  shows that if  $L$  is a general invertible sheaf of finite order  $n$  prime to  $p$  then the representation  $\rho$  of rank 1 associated to it is ordinary.

**Theorem 4.1.** *Let  $k$  be an algebraically closed field of characteristic  $p > 0$ , and let  $X$  be the generic curve of any genus  $g \geq 2$  over  $\text{Spec } k$ . Then there*

exists a Galois cover of  $X$  with solvable Galois group of order prime to  $p$  that is not ordinary.

Let  $X$  be as stated, and let  $J$  be its jacobian. If we choose a base point on  $X$  then we get a map  $S^{g-1}X \rightarrow J$  from the  $(g-1)$ -th symmetric power of  $X$ , whose image defines a positive divisor on  $J$ , called the *classical theta divisor*, and written  $\Theta$ . Let  $N = \mathcal{O}_J(\Theta)$  be the invertible sheaf defined by  $\Theta$ . We can assume that  $N$  is symmetric (i.e. that if  $\iota: J \rightarrow J$  is the inverse map then  $\iota^*N = N$ ) and we will do so.

Let  $n$  be a positive integer that is prime to  $p$ , and denote by  $\alpha$  the multiplication by  $n$  map on  $J$ , which is étale of degree  $n^{2g}$ . Call  $A$  the kernel of  $\alpha$ , the (étale) set of points of  $J$  whose order divides  $n$ . Because we have chosen  $N$  symmetric, we have  $\alpha^*N = N^{\otimes n^2}$ .

We recall (cf. [3]) that the kernel  $H(N^{\otimes n})$  is the subgroup of closed  $x$  in  $J$  such that  $T_x^*N^{\otimes n} \cong N^{\otimes n}$  (where  $T_x$  denotes translation by  $x$ ). This kernel is obviously  $A$ . Now in [3], D. Mumford defines another, more interesting, group associated to an invertible sheaf on an abelian variety. In our case, it is the group

$$\mathcal{G}(N^{\otimes n}) = \{(x, \varphi) \mid \varphi: N^{\otimes n} \xrightarrow{\sim} T_x^*N^{\otimes n}\}$$

with multiplication defined in the obvious way. There is a short exact sequence

$$1 \rightarrow k^\times \rightarrow \mathcal{G}(N^{\otimes n}) \rightarrow H(N^{\otimes n}) \rightarrow 1$$

and in fact  $k^\times$  is precisely the center of  $\mathcal{G}(N^{\otimes n})$ . The commutator of two elements of  $\mathcal{G}(N^{\otimes n})$  is an element of  $k^\times$  and it depends only on the class in  $H(N^{\otimes n})$  of the two elements. Thus, the commutator defines a skew-symmetric biadditive form  $\langle \cdot, \cdot \rangle: A \times A \rightarrow k^\times$ . It is moreover shown in [3] that this form is non degenerate.

Let  $B$  a maximal totally isotropic subgroup of  $A$  for the form we have just defined. So  $B$  has order  $n^g$ , and  $C = A/B$  has order  $n^g$ . We factor  $\alpha$  as follows

$$\begin{array}{ccc} J & & \\ \alpha \downarrow & \searrow \beta & \\ & & J' = J/B \\ & \swarrow \gamma & \\ & & J \end{array}$$

where  $\beta$  has kernel  $B$  and  $\gamma$  has kernel (identified with)  $C$ .

Because  $B$  is isotropic, by a result in [3], the sheaf  $N^{\otimes n}$  descends to an invertible sheaf  $M$  on  $J'$ , i.e. a sheaf such that  $N^{\otimes n} = \beta^*M$ . And  $M$  is a principal polarization on  $J'$ . Now note that  $\gamma^*N$  and  $M^{\otimes n}$  have the same pullback (namely  $N^{\otimes n^2}$ ) by  $\beta$ ; if  $n$  is odd we can choose  $M$  to be symmetric, so that  $\gamma^*N$  and  $M^{\otimes n}$  coincide. We will now suppose this to be the case.

If  $L$  is an invertible sheaf on  $J$  that is algebraically equivalent to 0 (that is, a closed point of  $J^\vee$ ) then we have  $\gamma_*(M \otimes \gamma^*L) \cong (\gamma_*M) \otimes L$ , so that  $h^0(J', M \otimes \gamma^*L) = h^0(J, (\gamma_*M) \otimes L)$ . Now the point is that  $M \otimes \gamma^*L$  is a principal polarization on  $J$ , so this number is 1. In particular  $h^0(J, (\gamma_*M) \otimes L) > 0$ , and this implies that for any invertible sheaf  $L$  of degree 0 on  $X$  we have  $h^0(X, F \otimes L) > 0$ , where  $F$  is the restriction of  $\gamma_*M$  to  $X$ . This is a good first step, but we need to twist  $F$  by an invertible sheaf having the right degree to compensate for the slope of  $F$  (since the sheaves  $V_\rho$  have slope zero).

We now calculate the slope of  $F$ . Its rank is  $n^g$ . Introduce the curves  $Y$  and  $Z$  that are inverse image of  $X$  by  $\gamma$  and  $\alpha$  respectively, thus:

$$\begin{array}{ccc}
 Z & & \\
 \alpha \downarrow & \searrow \beta & \\
 & & Y \\
 & \swarrow \gamma & \\
 X & & 
 \end{array}$$

The degree of  $N$  restricted to  $X$  is well-known: it is  $g$ . Pulling this back by  $\alpha$ , we see that the degree of  $N^{\otimes n^2}$  restricted to  $Z$  is  $gn^{2g}$ , and that of  $N^{\otimes n}|Z$  is  $gn^{2g-1}$ . Descending to  $Y$ , we see that the degree of  $M|Y$  is  $gn^{g-1}$ . So the slope of  $F$  is finally  $g/n$ .

Now assume that  $g$  divides  $n$ , i.e. that  $g/n = d$ , the slope of  $F$ , is an integer. The degree of  $N|X$  is  $g = nd$ , so there exists an invertible sheaf  $P$  of degree  $d$  on  $X$  such that  $N|X = P^{\otimes n}$ . Let  $L' = (M|Y) \otimes \gamma^*P^{\otimes -1}$ , which is an invertible sheaf of degree zero. Its inverse image  $L'' = \beta^*L'$  is such that  $L''^{\otimes n}$  is trivial on  $Z$ , so that the order of  $L''$  divides  $n$  (in fact, it is exactly  $n$ , but we won't need this). If  $E = \gamma_*L'$  then  $E = F \otimes P^{\otimes -1}$ , which is an invertible sheaf of degree 0 on  $X$  and satisfies  $h^0(X, E \otimes L_{d,\text{gen}}) > 0$  for a general invertible sheaf  $L_{d,\text{gen}}$  of degree  $d$  on  $X$ .

Now  $L''$  is of order dividing  $n$ , so there is a cyclic covering of degree  $n$   $Z'' \rightarrow Z$  which trivializes it. It is  $Z''$  that we will prove not to be

ordinary (under certain numerical conditions at least). The invertible sheaf  $L'$  of degree 0 corresponds to an abelian representation of  $\pi_1(Y)$  that factors through  $\pi_1(Z'')$ , and when we induce that representation to  $\pi_1(X)$  we see that  $E = \gamma_* L'$  is of the form  $V_\rho$  for some representation  $\rho$  of  $\pi_1(X)$  that factors through  $\pi_1(Z'')$  (and which can actually be described: see [2]).

All these constructions were performed on  $X$  and  $J$ . They could equally well have been performed on  $X_1$  and  $J_1$ . We now consider  $E$  as a sheaf of  $X_1$ . We have seen  $h^0(X, E \otimes L_{d,\text{gen}}) > 0$  and we wish to have  $h^0(X, E \otimes B_1 \otimes L_{\text{gen}}) > 0$ . We are therefore done if we can show that  $B_1$  contains an invertible subsheaf of degree  $d$ .

But A. Hirschowitz claims in [4] and proves in [5] that a general bundle of rank  $r_0$  and slope  $\lambda_0$  contains a subbundle of rank  $r'$  and slope  $\lambda'$  (the quotient having rank  $r'' = r_0 - r'$  and slope  $\lambda''$ ) if  $\lambda'' - \lambda' \geq g - 1$ . If we are looking for  $r' = 1$  and  $\lambda' = d$ , with  $r_0 = p - 1$  and  $\lambda_0 = g - 1$  (the numerical values of  $B_1$ ), so  $r'' = p - 2$  and  $\lambda'' = [(g - 1)(p - 1) - d]/(p - 2)$ , this condition is satisfied iff  $(g - 1 - d)(p - 1)/(p - 2) \geq g - 1$ , that is iff  $d \leq \frac{g-1}{p-1}$ . By deforming and specializing to  $B_1$ , we see that if this inequality is satisfied then  $B_1$  contains an invertible sheaf of degree  $d$ .

Finally, we have shown that if  $p$  and  $g$  are such that there exists a positive odd integer  $n$ , prime to  $p$ , dividing  $g$ , and satisfying  $\frac{g}{n} \leq \frac{g-1}{p-1}$  then the generic curve  $X$  of genus  $g$  in characteristic  $p$  has a covering that is not ordinary. This is not always the case, but we can always reduce to that case by first taking a cyclic cover  $X'$  of degree  $m$  prime to  $p$  of  $X$  ( $X'$  then has genus  $g' = 1 + m(g - 1)$ ), and apply the result to  $X'$ . Here are the details:

- If  $p$  is odd, take  $m$  even, not multiple of  $p$  and large enough so that  $g' = 1 + m(g - 1) \geq p$ .
  - If  $p$  does not divide  $g'$ , then  $n = g'$  works (it is odd because  $m$  is even, it is prime to  $p$ , and  $\frac{g'}{n} = 1 \leq \frac{g'-1}{p-1}$  because  $g' \geq p$ ).
  - If  $p$  does divide  $g'$  then we double  $m$  and this is no longer the case, so we are reduced to the previous point.
- If  $p = 2$ , write  $g = 2^r s$  with  $s$  odd.
  - If  $s \geq 3$ , take  $m = 1$ ,  $n = s$ . (Then  $n$  is odd, and  $\frac{g}{n} = 2^r \leq g - 1$ .)
  - If  $s = 1$  then  $g = 2^r$ .
    - \* If  $r \geq 2$ , take  $m = 3$ ,  $n = g'/2 = 3 \times 2^{r-1} - 1$ . (Then  $n$  is odd, and  $\frac{g'}{n} = 2 \leq g' - 1$ .)

\* If  $r = 1$  so  $g = 2$  and we take  $m = 5$ ,  $g' = 6$ ,  $n = 3$ .

Finally, we note that our final covering was constructed as a composite  $Z'' \twoheadrightarrow Y \twoheadrightarrow X' \twoheadrightarrow X$  of coverings all of which are abelian: it is therefore solvable.

## References

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