Unsupervised Classification of Radar Images Using Hidden Markov Chains and Hidden Markov Random Fields

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Abstract—Due to the enormous quantity of radar images acquired by satellites and through shuttle missions, there is an evident need for efficient automatic analysis tools. This paper describes unsupervised classification of radar images in the framework of hidden Markov models and generalized mixture estimation. Hidden Markov chain models, applied to a Hilbert–Peano scan of the image, constitute a fast and robust alternative to hidden Markov random field models for spatial regularization of image analysis problems, even though the latter provide a finer and more intuitive modeling of spatial relationships. We here compare the two approaches and show that they can be combined in a way that conserves their respective advantages. We also describe how the distribution families and parameters of classes with constant or textured radar reflectivity can be determined through generalized mixture estimation. Sample results obtained on real and simulated radar images are presented.

Index Terms—Generalized mixture estimation, hidden Markov chains, hidden Markov random fields, radar images, unsupervised classification.

I. INTRODUCTION

BOTH visual interpretation and automatic analysis of data from imaging radars are complicated by a fading effect called speckle, which manifests itself as a strong granularity in detected images (amplitude or intensity). For example, simple classification methods based on thresholding of gray levels are generally inefficient when applied to speckled images, due to the high degree of overlap between the distributions of the different classes. Speckle is caused by the constructive and destructive interference between waves returned from elementary scatterers within each resolution cell. It is generally modeled as a multiplicative random noise [1], [2]. At full resolution (single-look images), the standard deviation of the intensity is equal to the local mean reflectivity, corresponding to an SNR of 0 dB. One possible way to overcome this problem is to exploit spatial dependencies among different random variables via a hidden Markov model.

Markov random fields are frequently used to model stochastic interactions among classes and to allow a global Bayesian optimization of the classification result [3], according to criteria such as the maximum *a posteriori* (MAP) or the maximum posterior marginal (MPM) [3]–[10]. However, the computing time is considerable and often prohibitive with this approach. A substantially quicker alternative is to use Markov chains, which can be adapted to two-dimensional (2-D) analysis through a Hilbert–Peano scan of the image [11]–[14].

In the case of unsupervised classification, the statistical properties of the different classes are unknown and must be estimated. For each of the Markov models cited above, we can estimate characteristic parameters with iterative methods such as expectation–maximization (EM) [15]–[17], stochastic expectation–maximization (SEM) [18], or iterative conditional estimation (ICE) [19], [20]. Classic mixture estimation consists in identifying the parameters of a set of Gaussian distributions corresponding to the different classes of the image. The weighted sum (or mixture) of the distributions of the different classes should approach the overall distribution of the image. In generalized mixture estimation, we are not limited to Gaussian distributions, but to a finite set of distribution families [14], [21]. For each class we thus seek both the distribution family and the parameters that best describe its samples. In this paper, we consider some distribution families that are well adapted to single- or multilook amplitude radar images and to classes with or without texture [2]. By texture, we here refer to spatial variations in the underlying radar reflectivity and not the variations due to speckle. According to the multiplicative noise model, the observed intensity is the product of the radar reflectivity and the speckle.

In this study, we limit ourselves to the ICE estimation method and the MPM classification criterion. When analyzing an image, the only input parameters entered by the user is the number of classes and the list of distribution families that are allowed in the generalized mixture. The estimation and classification schemes are described separately for the two Markov models. We compare their performances and show in particular that the Markov chain method can compete with the Markov random field method in terms of estimation and classification accuracy, while being much faster. We furthermore introduce a hybrid

method that combines the speed and robustness of the estimation and classification methods based on Markov chains with the fine spatial modeling of Markov random fields. Tests have been conducted on both real and simulated synthetic aperture radar (SAR) data, with different compositions of distribution families.

The paper is organized as follows. In Section II, we introduce the hidden Markov random field and hidden Markov chain models, as well as the different probabilities that are needed for parameter estimation and classification. For simplicity, we first describe MPM classification in Section III, assuming known parameters for both Markov models. The ICE parameter estimation methods are presented in Section IV, first in the framework of classic mixture estimation (only Gaussian distributions) and then for generalized mixture estimation (several possible distribution families). Specific adaptations to radar images are mentioned. All the algorithms are described in sufficient detail to be implemented by readers that are familiar with statistical image processing. Estimation and classification results obtained on real and simulated SAR images with the two approaches are reported in Section V. We here also describe the improvements obtained by combining Markov chain methods and Markov random field methods in the estimation phase. Our conclusions are given in Section VI.

II. MODELS

Let S be a finite set corresponding to the N pixels of an image. We consider two random processes $\mathbf{Y} = (Y_s)_{s \in S}$ and $\mathbf{X} = (X_s)_{s \in S}$. $\mathbf{Y}$ represents the observed image and $\mathbf{X}$ the unknown class image. Each random variable X_s takes its values from the finite set of classes $\Omega = \{\omega_1, \ldots, \omega_K\}$, whereas each Y_s takes its values in the set of real numbers. We denote realizations of $\mathbf{X}$ and $\mathbf{Y}$ by $\mathbf{x} = (x_s)_{s \in S}$ and $\mathbf{y} = (y_s)_{s \in S}$, respectively.[1]

We here suppose that the random variables $\mathbf{Y} = (Y_s)_{s \in S}$ are conditionally independent with respect to $\mathbf{X}$ and that the distribution of each Y_s conditional on $\mathbf{X}$ is equal to its distribution conditional on X_s.[2] In practical applications, the assumption of conditional independence is often difficult to justify. However, numerous algorithms based on hidden Markov models that make this assumption have been successfully applied to real images.

All the distributions of $\mathbf{Y}$ conditional on $\mathbf{X}$ are then determined by the K distributions of Y_s with respect to $X_s = \omega_1, \ldots, X_s = \omega_K$, which will be denoted $f_1, \ldots, f_K$:

$$P(\mathbf{Y} = \mathbf{y} | \mathbf{X} = \mathbf{x}) = \prod_{s \in S} P(Y_s = y_s | X_s = x_s) = \prod_{s \in S} f_{x_s}(y_s) \tag{1}$$

[1]As a practical example, consider a radar image $\mathbf{Y} = \mathbf{y}$ covering an area composed of agricultural fields. We can imagine a corresponding class image $\mathbf{X} = \mathbf{x}$, where the different crops are identified by discrete labels. Each observed pixel amplitude depends on several factors, including the characteristic mean radar reflectivity and texture of the underlying class, the speckle phenomenon, the transfer function of the imaging system, and thermal noise. The latter is in general negligible.

[2]In the case of radar images, this means that we suppose uncorrelated speckle, i.e., that the radar system has constant transfer function. This is generally not the case, but it is often used as an approximation.
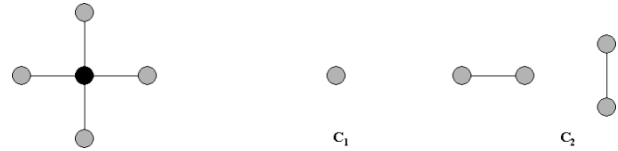

Fig. 1. Pixel neighborhood and associated clique families C_1 and C_2 in the case of four-connectivity.

As indicated above, we will model the interactions among the random variables $(X_s)_{s \in S}$ by considering that the prior distribution of $\mathbf{X}$ can be modeled by a Markov process. We refer to it as a hidden Markov model, as $\mathbf{X}$ is not directly observable. The classification problem consists in estimating $\mathbf{X} = \mathbf{x}$ from the observation $\mathbf{Y} = \mathbf{y}$.

A. Hidden Markov Random Fields

Let V_s denote a neighborhood of the pixel s whose geometric shape is independent of $s \in S$. $\mathbf{X}$ is a Markov random field if and only if

$$P\left(X_s = x_s | (X_t = x_t)_{t \in S, t \neq s}\right)$$
$$= P\left(X_s = x_s | (X_t = x_t)_{t \in V_s}\right) \tag{2}$$

i.e., if the probability that the pixel s belongs to a certain class x_s conditional on the classes attributed to the pixels in the rest of the image is equal to the probability of x_s conditional on the classes of the pixels in the neighborhood V_s.

Assuming that the probability of all possible realizations of $\mathbf{X}$ is strictly positive, the Hammersley–Clifford theorem [4] establishes the equivalence between a Markov random field, defined with respect to a neighborhood structure V, and a Gibbs field whose potentials are associated with V. The elementary relationships within the neighborhood V are given by the system of cliques C. Fig. 1 shows the neighborhood and the associated cliques in the case of four-connectivity.

For a Gibbs field

$$P(\mathbf{X} = \mathbf{x}) = \frac{1}{Z} \exp\left(-U(\mathbf{x})\right) \tag{3}$$

where $U(\mathbf{x}) = \sum_{c \in C} U_c(\mathbf{x})$ is the energy of $\mathbf{x}$, and $Z = \sum_{\mathbf{x} \in \Omega^N} \exp\left(-U(\mathbf{x})\right)$ is a normalizing factor. The latter is in practice impossible to compute due to the very high number of possible configurations (K^N). The Hammersley–Clifford theorem makes it possible to relate local and global probabilities [7], [21]. Indeed, the local conditional probabilities can be written as

$$P\left(X_s = x_s | (X_t = x_t)_{t \in V_s}\right) = \frac{1}{Z_s} \exp\left(-U_s\left(x_s, (x_t)_{t \in V_s}\right)\right) \tag{4}$$

where $U_s(x_s, (x_t)_{t \in V_s})$ is the local energy function, and $Z_s = \sum_{\omega_i \in \Omega} \exp(-U_s(\omega_i, (x_t)_{t \in V_s}))$ is a normalizing factor. There are several ways of defining the local energy U_s, and finding a good compromise between its modeling power—which increases when its complexity increases—and the possibilities for efficient estimation of it in unsupervised classification methods—which decreases when its complexity increases—remains an open problem. For simplicity, we will

restrict ourselves to Potts model, four-connectivity and cliques of type C_2 [7], in which case $U_s(x_s, (x_t)_{t \in V_s})$ is the number of pixels $t \in V_s$ for which $x_t \neq x_s$, minus the number of pixels $t \in V_s$ for which $x_t = x_s$, multiplied by a regularity parameter λ. The global energy U in (3) is computed in a similar way, except that we sum the potentials over all vertical and horizontal C_2 cliques in the image. As will be explained later, it can be advantageous to have different regularity parameters λ_h and λ_v horizontally and vertically.

Bayes' rule and the conditional independence of the samples (1) allow us to write the *a posteriori* probability as

$$P\left(\mathbf{X} = \mathbf{x}\right|\left(\mathbf{Y} = \mathbf{y}\right) = \frac{P(\mathbf{Y} = \mathbf{y}|\mathbf{X} = \mathbf{x})P(\mathbf{X} = \mathbf{x})}{P(\mathbf{Y} = \mathbf{y})}$$

$$= \frac{1}{Z'} \exp\left(-U(\mathbf{x}) + \sum_{s \in S} \log f_{x_s}(y_s)\right) \quad (5)$$

and the corresponding local conditional distributions as

$$P\left(X_s = x_s | Y_s = y_s, (X_t = x_t)_{t \in V_s}\right)$$

$$= \frac{1}{Z'_s} \exp\left(-U_s\left(x_s, (x_t)_{t \in V_s}\right) + \log f_{x_s}(y_s)\right) \quad (6)$$

where Z' and Z'_s are normalizing factors obtained by summing over all possible nominators, similar to Z and Z_s. Substituting $U'(\mathbf{x}) = U(\mathbf{x}) - \sum_{s \in S} \log f_{x_s}(y_s)$ into (5), we see that $\mathbf{X}$ conditional on $\mathbf{Y}$ is a Gibbs field (3).

It is not possible to create *a posteriori* realizations of $\mathbf{X}$ according to (5) directly, but they can be approximated iteratively with the Metropolis algorithm [22] or Gibbs sampler [5]. We will here only consider Gibbs sampler, which includes the following steps.

- We start from an initial class image $\mathbf{x}^0$.
- We sweep the image repeatedly until convergence. For each iteration q and for each pixel s
  a) the local *a posteriori* distribution given by (6) is computed;
  b) the pixel is attributed to a class drawn randomly according to this distribution.[3]

In a similar way, *a priori* realizations of $\mathbf{X}$ obeying (3) can be computed iteratively using (4).

B. Hidden Markov Chains

A 2-D image can easily be transformed into a one-dimensional chain, e.g., by sweeping the image line by line or column by column. Another alternative is to use a Hilbert–Peano scan [11], as illustrated in Fig. 2. Generalized Hilbert–Peano scans [12] can be applied to images whose length and width are not powers of two. In a slightly different sense than above, now let $\mathbf{X} = (X_1, \ldots, X_N)$ and $\mathbf{Y} = (Y_1, \ldots, Y_N)$ be the vectors of random variables ordered according to such a transformation of the class image and the observed image, respectively. Their realizations will consequently be denoted $\mathbf{x} = (x_1, \ldots, x_N)$ and

[3]Random sampling of a class according to a distribution can be done in the following way: We consider the interval [0,1] and attribute to each class a subinterval whose width is equal to the probability of that class. A uniformly distributed random number in [0,1] is generated, and the class is selected according to the subinterval in which this random number falls.
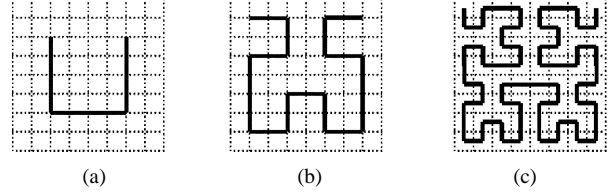

Fig. 2. Construction of a Hilbert–Peano scan for an 8×8 image. (a) Initialization. (b) Intermediate stage. (c) Result.

$\mathbf{y} = (y_1, \ldots, y_N)$. According to the definition, $\mathbf{X}$ is a Markov chain if

$$P\left(X_n = x_n | X_1 = x_1, \ldots, X_{n-1} = x_{n-1}\right)$$

$$= P\left(X_n = x_n | X_{n-1} = x_{n-1}\right) \quad (7)$$

for $1 < n \leq N$. The distribution of $\mathbf{X}$ will consequently be determined by the distribution of X_1, denoted by π, and the set of transition matrices $(\mathbf{A}^n)_{1 \leq n < N}$, whose elements are $a_{ij}^n = P(X_{n+1} = \omega_j | X_n = \omega_i)$. We will in the following assume that the probabilities

$$c_{ij} = P(X_n = \omega_i, X_{n+1} = \omega_j) \quad (8)$$

are independent of n. The initial distribution then becomes

$$\pi_i = P(X_1 = \omega_i) = \sum_{1 \leq j \leq K} c_{ij} \quad (9)$$

and the transition matrix $\mathbf{A}$ is constant (independent of n) and given by

$$a_{ij} = P(X_{n+1} = \omega_j | X_n = \omega_i) = \frac{c_{ij}}{\sum_{1 \leq l \leq K} c_{il}}. \quad (10)$$

Hence, the *a priori* distribution of $\mathbf{X}$ is that of a stationary Markov chain. It is entirely determined by the K^2 parameters $(c_{ij})_{1 \leq i \leq K, 1 \leq j \leq K}$, and we can write

$$P\left(\mathbf{X} = \mathbf{x}\right) = P\left(X_1 = \omega_{i_1}, \ldots, X_N = \omega_{i_N}\right)$$

$$= \pi_{i_1} a_{i_1 i_2} \ldots a_{i_{N-1} i_N} \quad (11)$$

where i_n is the index of the class of the nth element of the chain.

The so-called *forward* and *backward* probabilities

$$\alpha_n(i) = P(X_n = \omega_i, Y_1 = y_1, \ldots, Y_n = y_n) \quad (12)$$

and

$$\beta_n(i) = P(Y_{n+1} = y_{n+1}, \ldots, Y_N = y_N | X_n = \omega_i) \quad (13)$$

can be calculated recursively. Unfortunately, the original forward–backward recursions derived from (12) and (13) are subject to serious numerical problems [13], [23]. Devijver *et al.* [23] have proposed to replace the joint probabilities by *a posteriori* probabilities, in which case

$$\alpha_n(i) \approx P(X_n = \omega_i | Y_1 = y_1, \ldots, Y_n = y_n) \quad (14)$$

and

$$\beta_n(i)$$
$$\approx \frac{P(Y_{n+1} = y_{n+1}, \ldots, Y_N = y_N | X_n = \omega_i)}{P(Y_{n+1} = y_{n+1}, \ldots, Y_N = y_N | Y_1 = y_1, \ldots, Y_n = y_n)}. \quad (15)$$

In the following, we use the numerically stable forward–backward recursions resulting from these approximations:

- Forward initialization

$$\alpha_1(i) = \frac{\pi_i f_i(y_1)}{\sum_{j=1}^{K} \pi_j f_j(y_1)} \qquad (16)$$

for $1 \leq i \leq K$.

- Forward induction

$$\alpha_n(i) = \frac{f_i(y_n) \sum_{j=1}^{K} \alpha_{n-1}(j) a_{ji}}{\sum_{l=1}^{K} f_l(y_n) \sum_{j=1}^{K} \alpha_{n-1}(j) a_{jl}} \qquad (17)$$

for $n = 2, \ldots, N$ and $1 \leq i \leq K$.

- Backward initialization

$$\beta_N(i) = 1 \qquad (18)$$

for $1 \leq i \leq K$.

- Backward induction

$$\beta_n(i) = \frac{\sum_{j=1}^{K} a_{ij} f_j(y_{n+1}) \beta_{n+1}(j)}{\sum_{l=1}^{K} f_l(y_{n+1}) \sum_{j=1}^{K} \alpha_n(j) a_{jl}} \qquad (19)$$

for $n = N - 1, \ldots, 1$ and $1 \leq i \leq K$.

The joint probability of the classes of two subsequent elements given all the observations

$$\Psi_n(i,j) = P(X_n = \omega_i, X_{n+1} = \omega_j | \mathbf{Y} = \mathbf{y}) \qquad (20)$$

can be written as a function of the forward–backward probabilities

$$\begin{aligned}\Psi_n(i,j) &= \frac{\alpha_n(i) a_{ij} f_j(y_{n+1}) \beta_{n+1}(j)}{\sum_{m=1}^{K} f_m(y_{n+1}) \sum_{l=1}^{K} \alpha_n(l) a_{lm}} \\ &= \frac{\alpha_n(i) a_{ij} f_j(y_{n+1}) \beta_{n+1}(j)}{\sum_{l=1}^{K} \sum_{m=1}^{K} \alpha_n(l) a_{lm} f_m(y_{n+1}) \beta_{n+1}(m)}. \qquad (21)\end{aligned}$$

The marginal *a posteriori* probability, i.e., the probability of having class ω_i in element number n given all the observations $\mathbf{Y}$, can then be obtained from (21):

$$\begin{aligned}xi_n(i) &= P(X_n = \omega_i | \mathbf{Y} = \mathbf{y}) \\ &= \sum_{j=1}^{K} \Psi_n(i,j) = \frac{\alpha_n(i) \beta_n(i)}{\sum_{l=1}^{K} \alpha_n(l) \beta_n(l)} \qquad (22)\end{aligned}$$

It can be shown [14] that the *a posteriori* distribution of $\mathbf{X}$, i.e., $P(\mathbf{X} = \mathbf{x} | \mathbf{Y} = \mathbf{y})$, is that of a nonstationary Markov chain, with transition matrices given by

$$\begin{aligned}t_{ij}^n &= P(X_{n+1} = \omega_j | X_n = \omega_i, \mathbf{Y} = \mathbf{y}) \\ &= \frac{a_{ij} f_j(y_{n+1}) \beta_{n+1}(j)}{\sum_{l=1}^{K} a_{il} f_l(y_{n+1}) \beta_{n+1}(l)}. \qquad (23)\end{aligned}$$

Using (23), we can simulate *a posteriori* realizations of $\mathbf{X}$ directly, i.e., without iterative procedures as in the case of hidden Markov random fields. The class $X_1 = \omega_i$ of the first element of the chain is drawn randomly according to the marginal *a posteriori* distribution $\xi_1(i)$ (22). Subsequently, for each new element $(n+1 = 2, \ldots, N)$, the transition probabilities t_{ij}^n (23) are computed, the class of the precedent element $X_n = \omega_i$ being fixed,

and $X_{n+1} = \omega_j$ is obtained by random sampling according to this distribution.

III. CLASSIFICATION

Let us first assume that we know the distribution f_i and the associated parameter vector $\mathbf{\Theta}_i$ of each class ω_i (in the case of a scalar Gaussian distribution, for example, $\mathbf{\Theta}_i = (\mu_i, \sigma_i^2)$ where μ_i is the mean value and σ_i^2 the variance), as well as the regularity parameters of the underlying Markov model (λ or c_{ij}). In a Bayesian framework, the goal of the classification is to determine the realization $\mathbf{X} = \mathbf{x}$ that best explains the observation $\mathbf{Y} = \mathbf{y}$, in the sense that it minimizes a certain cost function. Several cost functions can be envisaged, leading to different estimators, such as the MAP, which aims at maximizing the global *a posteriori* probability $P(\mathbf{X}|\mathbf{Y})$, and the MPM, which consists in maximizing the posterior marginal distribution $P(X_s|\mathbf{Y})$ for each pixel, i.e., finding the mode of each local posterior distribution. In this study, we use MPM classification.

A. Hidden Markov Random Fields

In the case of hidden Markov random fields, the MPM is computed as follows [6].

- A series of *a posteriori* realizations of $\mathbf{X}$ are computed iteratively, using Gibbs sampler (or the Metropolis algorithm) based on the local *a posteriori* probability function (6). Each realization is obtained by generating an initial class image with a random generator and by performing a certain number of iterations with Gibbs sampler (until approximate convergence of the global energy U).
- For each pixel n, we retain the most frequently occurring class ω_{i_n}.

The required number of *a posteriori* realizations and iterations per realization will be discussed in Section V.

B. Hidden Markov Chains

The MPM solution can be calculated directly for hidden Markov chains, based on one forward–backward computation.

- For every element n in the chain, and for every possible class ω_i, we compute
  a) forward probabilities $\alpha_n(i)$ (17);
  b) backward probabilities $\beta_n(i)$ (19);
  c) marginal *a posteriori* probabilities $\xi_n(i)$ (22).
- Each element n is attributed to the class ω_{i_n} that maximizes ξ_n.

IV. MIXTURE ESTIMATION

In practice, the regularity parameters and the parameters of the distributions of the classes are often unknown and must be estimated from the observation $\mathbf{Y} = \mathbf{y}$. As the distribution of $\mathbf{Y}$ can be written as a weighted mixture of probability densities, $f_{\mathbf{Y}}(\mathbf{y}) = \sum_{\mathbf{x}} P(\mathbf{X} = \mathbf{x}) f_{\mathbf{x}}(\mathbf{y})$, such a problem is called a *mixture estimation* problem. The problem is then double: we do not know the characteristics of the classes, and we do not know which pixels are representative for each class. We first present *classic* mixture estimation, where all classes are supposed to be Gaussian, and then introduce *generalized* mixture

estimation, where several distribution families are possible for each class. There are several iterative methods for mixture estimation, including EM [15], SEM [18], and ICE [19], [20]. Here we will only consider ICE, which has some relations with EM [24]. The ICE method has been applied to a variety of image analysis problems, including classification of forest based on remote sensing images [25], segmentation of sonar images [26], segmentation of brain SPECT images [27], video segmentation [28], multiresolution image segmentation [29], and fuzzy image segmentation [30], [31]. In this paper, we also use the generalized ICE, which is an extension of the classic ICE to the case where the distribution family can vary with the class and is not known. We will only present what is necessary for the implementation, and not the underlying theory, which can be found in [14] for hidden Markov chains, and in [21] for hidden Markov random fields. Furthermore, we propose a special version of the ICE for hidden Markov random fields, where the estimation of the regularity parameter is inspired by the generalized EM method proposed in [32].

A. Hidden Markov Random Fields

The ICE algorithm iteratively creates *a posteriori* realizations and recalculates the class and regularity parameters. In the framework of classic mixture estimation, the following computation steps are carried out for each iteration q.

- A certain number of *a posteriori* realizations (with index r) are computed with Gibbs sampler, using the parameters Θ_i^{q-1} (defining f_i^{q-1}) obtained in the previous iteration.
- The class parameters $\Theta_i^{q,r}$ are estimated for each *a posteriori* realization r and then averaged to obtain Θ_i^q.
- The regularity parameter λ^q is estimated with a stochastic gradient approach [32]–[35], based on a series of *a priori* realizations computed with Gibbs sampler.

Gibbs sampler is initialized with a random image for each *a priori* or *a posteriori* realization. In order to reduce the computation time, we generally compute only one *a posteriori* realization for each iteration of the ICE and only one *a priori* realization for each iteration of the stochastic gradient. This simplification does not imply any significant performance loss.

We use a coarse approximation of the stochastic gradient equation to compute λ^q. Let $U_{\text{a posteriori}}^q$ be the energy (3) of the current *a posteriori* realization and $U_{\text{a priori}}^{q,r}$ the energy of the *a priori* realization in iteration r of the stochastic gradient. Setting $\lambda^{q,0}$ to λ^{q-1}, i.e., the value obtained in the precedent ICE iteration, we repeatedly $(r = 1, 2, 3, \ldots)$ compute until convergence

$$\lambda^{q,r} = \lambda^{q,r-1} + \frac{1}{r} \frac{U_{\text{a priori}}^{q,r} - U_{\text{a posteriori}}^q}{U_{\text{a posteriori}}^q}. \qquad (24)$$

The ICE algorithm needs an initial class image from which the initial parameters of the classes are computed. We have used the K-means algorithm, which subdivides the gray levels in K distinct classes iteratively. The initial class centers are uniformly distributed over the range of gray levels. We sweep the image repeatedly until stability, attributing each pixel to the class with the closest center, and recompute the class centers from all the attributed samples at the end of each iteration. It should be noted that this method only can be used to initiate classes with different mean values. It is, for example, not suited when classes with the same mean value but different variance must be distinguished. K-means is basically a thresholding method, so if there is much overlap between the true class distributions, as for radar images, the resulting class image will be quite irregular and the initial class statistics will not be very representative. The consequences of this, and a possible remedy, will be commented on in Section V. The initial value of λ is predefined (typically $\lambda^0 = 0.5$).

B. Hidden Markov Chains

We initiate the ICE algorithm in a similar way for hidden Markov chains, using K-means to define the class parameters Θ_i^0 and thus the marginal conditional distributions f_i^0, uniformly distributed *a priori* probabilities π_i^0, and a generic transition matrix $\mathbf{A}^0$ where $a_{ij}^0 = 0.5$ when $i = j$ and $a_{ij}^0 = 0.5/(K - 1)$ when $i \neq j$. Each ICE iteration q is based on one forward–backward computation and includes the following steps.

- For every element n in the chain, and for every possible class ω_i, we compute
  a) forward probabilities $\alpha_n^q(i)$ (17) based on a_{ij}^{q-1}, π_i^{q-1}, and f_i^{q-1} (given by Θ_i^{q-1});
  b) backward probabilities $\beta_n^q(i)$ (19) based on a_{ij}^{q-1}, π_i^{q-1}, and f_i^{q-1};
  c) marginal *a posteriori* probabilities $\xi_n^q(i)$ (22).
- This allows us to compute
  a) new joint conditional probabilities $\Psi_n^q(i,j)$ (21) using a_{ij}^{q-1}, f_i^{q-1}, α_n^q, and β_{n+1}^q;
  b) new elements of the stationary transition matrix

$$a_{ij}^q = \frac{\sum_{1 \leq n < N} \Psi_n^q(i,j)}{\sum_{1 \leq n < N} \xi_n^q(i)} \qquad (25)$$

  c) new initial probabilities

$$\pi_i^q = \frac{1}{N} \sum_{1 \leq n \leq N} \xi_n^q(i). \qquad (26)$$

- We compute a series of *a posteriori* realizations based on (23) with f_i^{q-1}, a_{ij}^q, and β_{n+1}^q. For each realization (with index r), we estimate the class parameters $\Theta_i^{q,r}$, which are averaged to obtain Θ_i^q and thus f_i^q.

As for hidden Markov random fields, we generally limit the number of *a posteriori* realizations per ICE iteration to one.

C. Generalized Mixture Estimation

In generalized mixture estimation, the distribution f_i^q of each class is not necessarily Gaussian, but can belong to any distribution family in a predefined set. This implies the following modifications to the above ICE algorithms.

- The parameter vectors Θ_i^q of all possible distribution families are computed from the *a posteriori* realizations for each class.

- The Kolmogorov distance [36] is used to determine the most appropriate distribution family for each class [14].
    a) We compute the cumulative distributions for all the distribution families, based on the estimated parameters of the class.
    b) The cumulative normalized histogram of the class is computed.
    c) We retain the distribution family having the smallest maximum difference between the cumulative distribution and the cumulative normalized histogram of the class.

As the pixel values are discrete, the computation and comparison of cumulative distributions and cumulative normalized histograms are straightforward.

D. Application to Radar Images

For simplicity, we here consider only two distribution families, corresponding to classes with constant and textured radar reflectivity, respectively. Assuming the speckle to be spatially uncorrelated, the observed intensity in a zone of constant reflectivity is Gamma distributed. The corresponding amplitude distribution is

$$f(y) = \frac{2y}{\Gamma(L)} \left(\frac{L}{R} \right)^L y^{2(L-1)} \exp\left(-\frac{Ly^2}{R} \right) \qquad (27)$$

for $y \geq 0$ and $f(y) = 0$ for $y < 0$. $\Theta = (L, R)$. L is here the equivalent number of independent looks of the image, which should be provided by the data supplier. Let the estimated moments of order m be denoted by μ_m. Assuming that the zone of constant reflectivity mentioned above corresponds to a certain class, the estimated mean radar reflectivity $R = \mu_2$ in (27) is computed over all pixels attributed to this class.

If we assume that the radar reflectivity texture of a class is Gamma distributed, the observed intensity will obey a K distribution [37]–[39]. The corresponding amplitude distribution is

$$f(y) = \frac{2b}{\Gamma(L)\Gamma(a)} \left(\frac{by}{2} \right)^{a+L-1} \mathcal{K}_{a-L}(by) \qquad (28)$$

for $y \geq 0$ and $f(y) = 0$ for $y < 0$. $\Theta = (L, a, b)$. $\mathcal{K}$ is here the modified Bessel function of the second kind, and the estimated radiometric parameters a and b are computed as follows. Let $C_1 = \sqrt{L}\Gamma(L)\mu_1/(\sqrt{\mu_2}\Gamma(L + 0.5))$ and $C_2 = L\mu_4/((L + 1)\mu_2^2)$. If $C_1 < 1$, a is obtained by solving the equation $C_1\sqrt{a}\Gamma(a) - \Gamma(a + 0.5) = 0$. Otherwise ($C_1 \geq 1$) we set $a = 1/(C_2 - 1)$, provided that $C_2 > 1$. In both cases $b = 2\sqrt{La/\mu_2}$. If $C_1 \geq 1$ and $C_2 \leq 1$, we consider (28) as unsuited, and we make sure that it is not selected. Moreover, if the radar reflectivity texture is very weak (typically $a > 20$), we approximate (28) by (27).

For simplicity, we will in the following refer to (27) and (28) as Gamma and K distributions, respectively, even though they actually are the amplitude distributions corresponding to Gamma and K distributed intensities. A more comprehensive set of distribution families are described in [40].

V. RESULTS

The schemes for unsupervised classification of radar images described above have been tested on a wide range of real SAR images, as well as on a few simulated ones. We will here only present a small but representative selection of results.

A. Real SAR Image With Three Classes

Fig. 3(a) shows an 512×512 extract of a Japanese Earth Resources Satellite (JERS) three-look amplitude image of a tropical forest with some burnt plots related to agricultural activity. The equivalent number of independent looks $L = 2.7$. It was decided to classify the image into three classes. Fig. 3(b) shows the initial classification obtained with the K-means algorithm. The classes are represented by different gray levels (the gray levels do not correspond to the mean amplitudes of the different classes, but they are ordered according to these mean values). We note that the K-means class image is very irregular due to the speckle. A more regular initial classification could be obtained by applying an adaptive speckle filter prior to the K-means algorithm [41]–[43]. The subsequent mixture estimation and classification are of course carried out on the original radar image. Our tests indicate that such speckle filtering prior to the K-means initialization generally has little influence on the final classification result.

Let us first consider the ICE and MPM algorithms based on the hidden Markov random fields model. We use 30 iterations for the ICE algorithm, with only one *a posteriori* realization per iteration. The initial value of the regularity parameter is $\lambda = 0.5$. Within each ICE iteration, the maximum number of iterations for the stochastic gradient is set to ten, with one *a priori* realization per iteration. We interrupt the iteration earlier if λ differs less than 0.01 from its previous value. Except for the first ICE iterations, the stochastic gradient estimation generally requires very few iterations. Gibbs sampler with as much as 100 iterations is used to generate each *a priori* and *a posteriori* realization. The convergence of the global energy is in fact quite slow, especially for realizations according to the *a priori* distribution. The MPM classification based on the hidden Markov random fields model relies on ten *a posteriori* realizations. Fig. 3(c) presents the obtained classification result. The regularity parameter was in this case estimated to $\lambda = 0.75$, and the Gamma distribution was retained for all three classes. The classification result corresponds quite well to our thematic conception of the image based on visual inspection, except that many fine structures seems to be lost, and the region borders are somewhat rounded.

The number of ICE iterations is set to 30 also for the corresponding analysis scheme based on Markov chains, and we compute only one *a posteriori* realization per iteration. Fig. 3(d) shows the result of the MPM classification. It is generally less regular than the classification in Fig. 3(c), but it represents small structures more precisely. The K distribution was here selected by the algorithm for the darkest class, whereas the Gamma distribution gave the best fit for the two others.

The overall quality is comparable for the two classification results, but the computing time is quite different: The program based on Markov random fields spent about 53 min on a
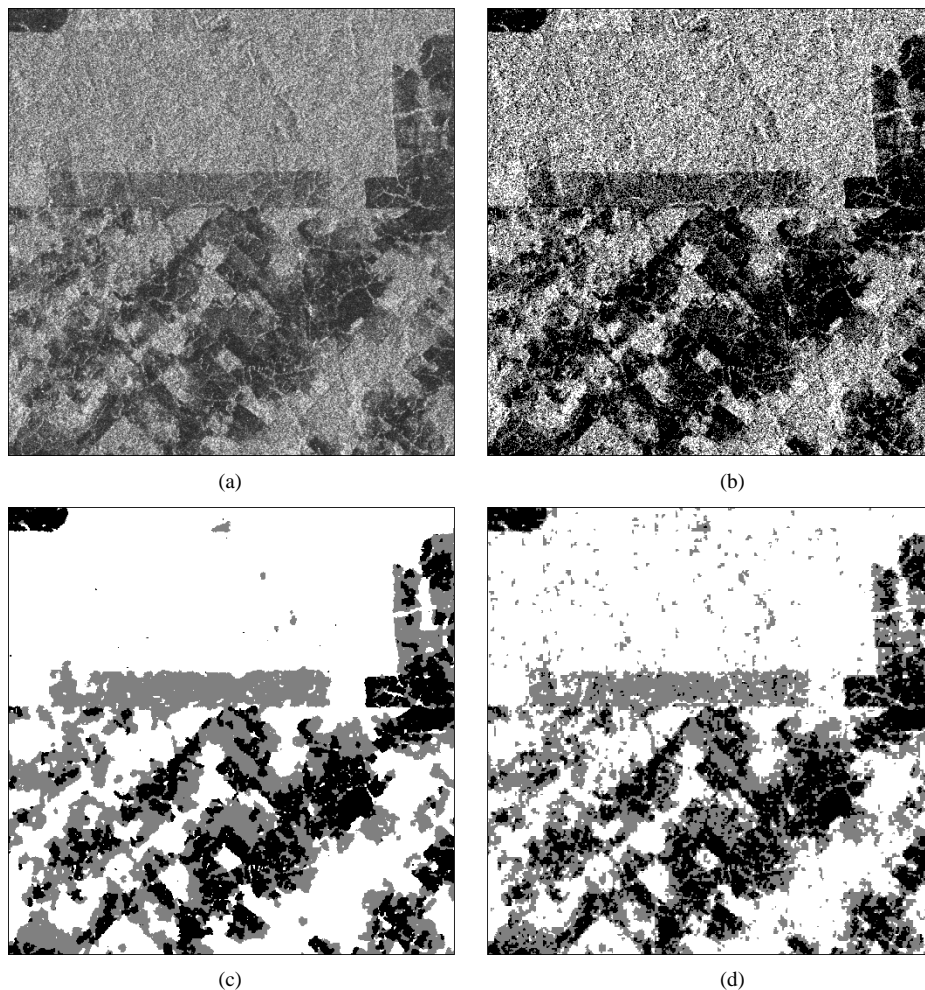
Fig. 3.   Classification of a 512×512 extract of a JERS three-look image of a tropical forest into three classes. (a) Original amplitude image. (b) Initial K-means classification. Result obtained (c) with the Markov random field method and (d) with the Markov chain method.

PC with a Pentium III 733-MHz processor running Linux, whereas the program based on Markov chains only needed 2 min and 27 s.

B. Simulated SAR Image With Three Classes

In order to examine the performances more carefully, let us also consider simulated SAR images. Fig. 4(a) and (b) represents an ideal class image with three classes and its three-look $(L = 3.0)$ speckled counterpart. The darkest and brightest classes are both Gamma distributed, whereas the one in the middle is K distributed with texture parameter $a = 4.0$ (28). The contrast between two consecutive classes is 3.5 dB. The image size is 512×512 pixels. The ideal class image is in fact derived from a resampled optical image by K-means classification, so it is neither a realization of a Markov random field nor a realization of a Markov chain, but represents a natural scene. It should therefore permit a fair comparison of the two analysis schemes.

The parameter settings described in Section V-A were applied here as well, except that we allowed different regularity parameters vertically and horizontally for the method based on Markov random fields, as the resolution is not the same in the two directions. The regularity parameters were estimated to $\lambda_h = 0.37$ and $\lambda_v = 0.61$, respectively. However, the classification result in Fig. 4(c) is far too irregular, and only 72.7% of the pixels are correctly classified. The method based on Markov chains here gives a more satisfactory result, shown in Fig. 4(d), with 83.9% of correctly classified pixels. In particular, the overall regularity of the image seems to be better estimated.

Both methods correctly identify Gamma distributions for the darkest and brightest classes, but only the Markov chain method found that the class in the middle was K distributed. The fit between the estimated and the true distributions in Fig. 5 is generally very good, except for the case where the Markov random field method chooses the wrong distribution family. This can be part of the reason why the estimation of the regularity parameters here fails, but the main reason is probably the simplicity of the Markov random field model compared to the true characteristics of the ideal class image.

The program based on Markov chains was here 37 times quicker than the one based on Markov random fields.

C. Simulated SAR Image With Four Classes

Fig. 6(a) represents an ideal and approximately isotropic 512×512 class image with four classes, which has been derived from an optical satellite image by K-means classification. Fig. 6(b) shows the corresponding simulated SAR image. The
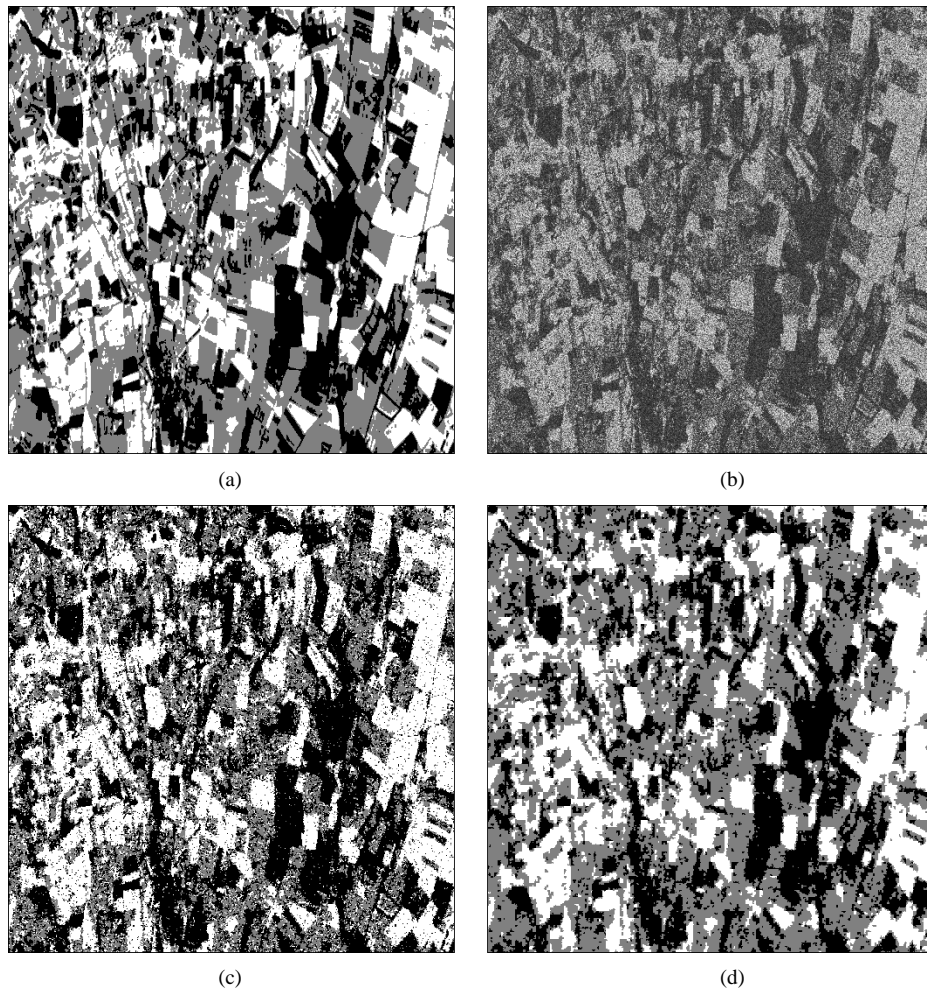
Fig. 4.   Classification of a 512 × 512 simulated three-look SAR image into three classes (a) Ideal class image. (b) Speckled amplitude image. Results obtained (c) with the Markov random field method and (d) with the Markov chain method. The fraction of correctly classified pixels is (c) 72.7% and (d) 83.9%.
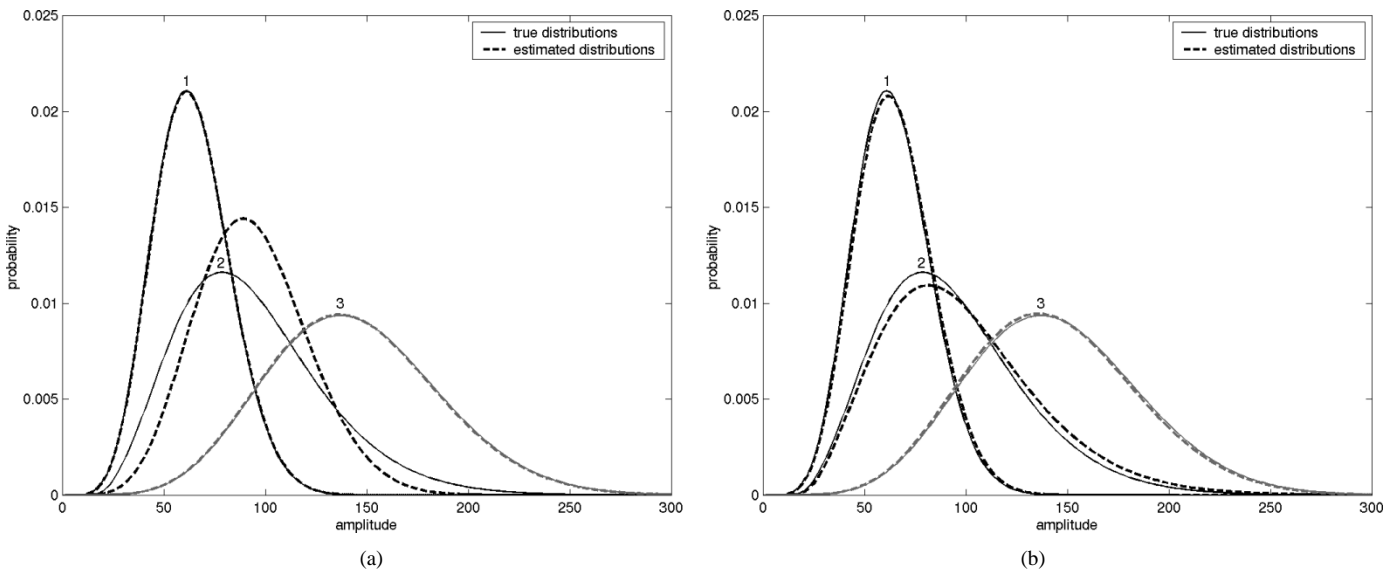

Fig. 5.   Fit of the generalized mixture estimation performed (a) by the Markov random field method and (b) by the Markov chain method on the simulated three-look SAR image with three classes.

parameter settings and the radiometric characteristics of the classes are the same as for the simulated image with three classes shown in Fig. 4(b), except that an additional Gamma distributed class with higher reflectivity has been added. Fig. 6(c) and (d) represents the results obtained with the Markov random field and Markov chain methods, respectively.
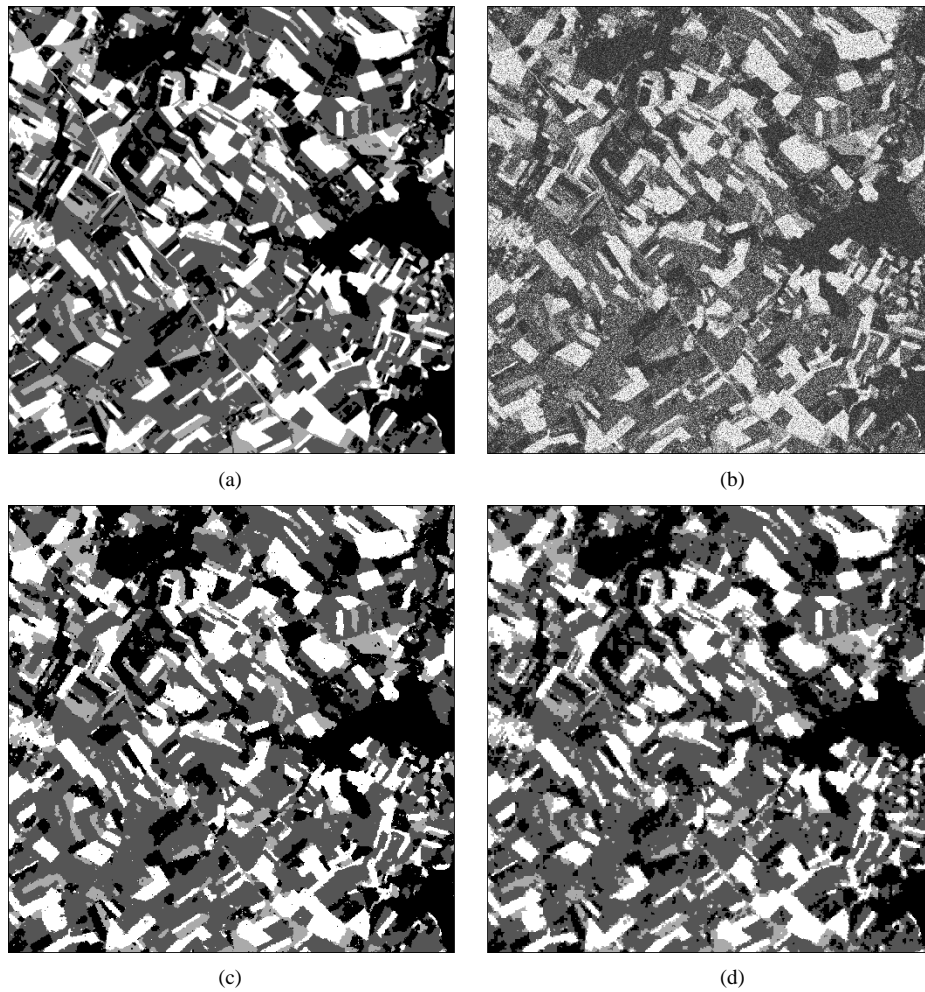
(a)   (b)

(c)   (d)

Fig. 6.   Classification of a 512×512 simulated three-look SAR image into four classes. (a) Ideal class image. (b) Speckled amplitude image. Results obtained (c) with the Markov random field method and (d) with the Markov chain method. The fraction of correctly classified pixels is (c) 87.0% and (d) 85.2%.

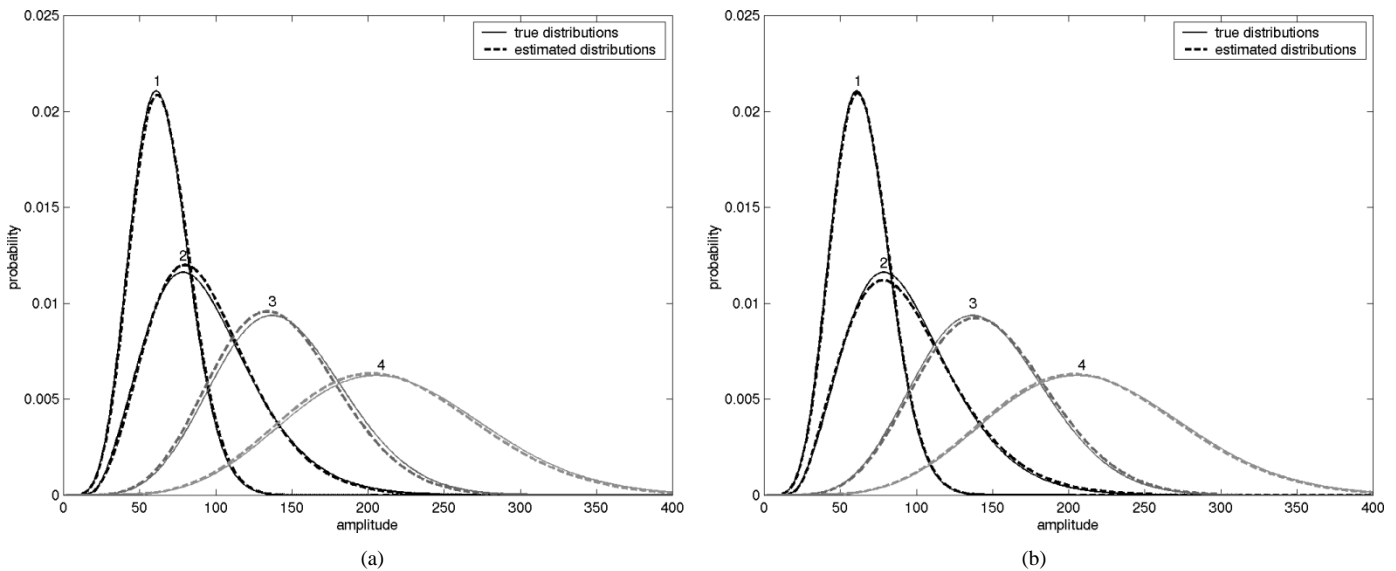(a)   (b)

Fig. 7.   Fit of the generalized mixture estimation performed (a) by the Markov random field method and (b) by the Markov chain method on the simulated three-look SAR image with four classes.

The estimated regularity parameter for the method based on Markov random fields is here $\lambda_h = 0.65$, which visually gives a very satisfactory result. The proportion of correctly classified pixels is 87.0%, whereas it is 85.2% for the method based on Markov chains. The borders are slightly more irregular for the latter method, but some of the narrow structures are better pre-
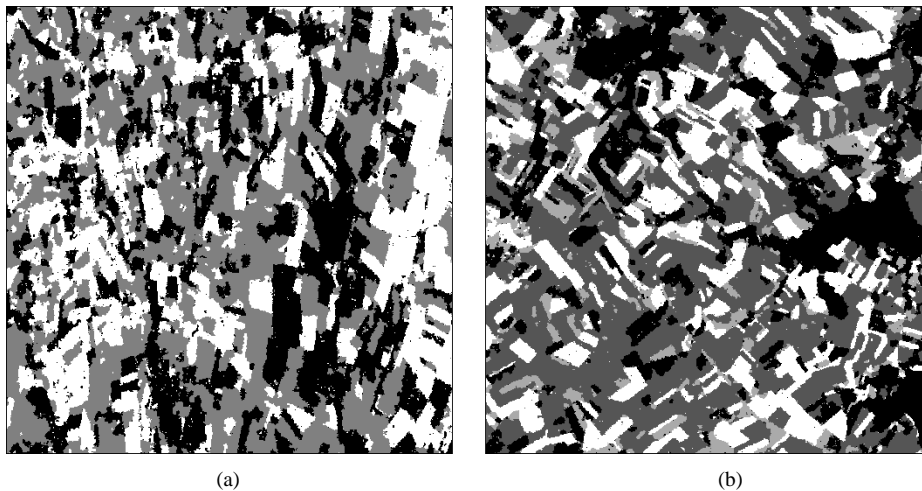
Fig. 8.   Classification results for the simulated three-look SAR images with (a) three classes and (b) four classes, obtained with the new method combining Markov chain and Markov random field algorithms. The fraction of correctly classified pixels is (a) 85.8% and (b) 87.0%.
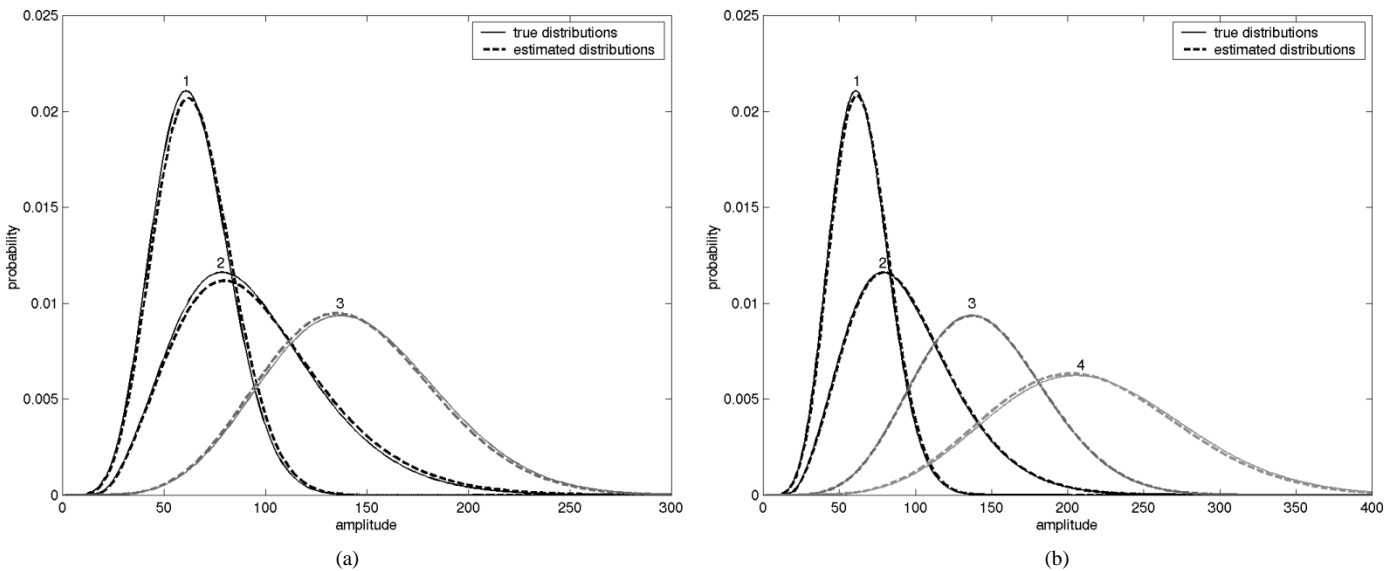

Fig. 9.   Fit of the generalized mixture estimation for the simulated three-look SAR images with (a) three classes and (b) four classes, obtained with the new method combining Markov chain and Markov random field algorithms.

served. The distribution families of the four classes were correctly identified by both methods, and the fit of the estimated parameters is comparable, as can be seen from Fig. 7. The Markov chain algorithm was, however, 25 times faster.

D. Hybrid Method

The scheme based on Markov random fields occurs to have difficulties in estimating the regularity parameters for many images, whereas the estimation method based on Markov chains is very robust. The latter method is also much faster. Nevertheless, in cases where the Markov random field method estimates the regularity parameters correctly, it provides a more satisfactory classification result. In particular, it produces more regular region borders. We therefore propose a hybrid method, where we first run the ICE algorithm in the framework of the hidden Markov chain model. Initializing with the estimated parameters and the last *a posteriori* realization, we thereafter only go through a very restricted number of ICE iterations and the final

MPM classification with the Markov random field versions of the algorithms. Here, we have used 30 ICE iterations for the Markov chain part, followed by one single ICE iteration with one *a posteriori* realization and the MPM with ten *a posteriori* realizations for the Markov random field algorithms.

Fig. 8(a) represents the classification result obtained with the new method on the simulated SAR image with three classes. Visually, the classification result is far better than those given in Fig. 4, and the portion of correctly classified pixels has increased to 85.8%. The distribution families of the different classes are correctly identified, and the estimated regularity parameters are $\lambda_h = 0.61$ and $\lambda_v = 0.67$. Comparing Fig. 9(a) with Fig. 5(a) and (b), we see that the overall fit of the estimated distributions is improved. The computing time is 11 min and 38 s, which is nearly four times slower than the algorithm based on Markov chains, but ten times faster than the method based on Markov random fields.

Likewise, Fig. 8(b) represents the classification result obtained with the hybrid method on the simulated SAR image

TABLE I
CLASSIFICATION ACCURACY AND COMPUTING TIME FOR THE MARKOV RANDOM FIELD, MARKOV CHAIN,
AND HYBRID METHOD APPLIED TO THE SIMULATED SAR IMAGES

Method	Simulated SAR image with three classes		Simulated SAR image with four classes	
	Correctly classified	Computing time	Correctly classified	Computing time
Markov random field	72.7 %	7200 s	87.0 %	5040 s
Markov chain	83.9 %	192 s	85.2 %	199 s
Hybrid	85.8 %	698 s	87.0 %	724 s

with four classes. It is very close to the classification result in Fig. 6(c), obtained with the Markov random field method. The portion of correctly classified pixels is the same (87.0%), and the estimated regularity parameter is very close ($\lambda = 0.67$); however, the new method is approximately seven times faster. The fit of the estimated distributions, shown in Fig. 9(b), is also slightly better.

Table I resumes the classification accuracy and computing time of the three methods applied to the simulated SAR images.

VI. CONCLUSION

This paper describes unsupervised classification of radar images in the framework of hidden Markov models and generalized mixture estimation. We describe how the distribution families and parameters of classes with constant or textured radar reflectivity can be determined through generalized mixture estimation. For simplicity, we have restricted ourselves to Gamma and K distributed intensities. Hidden Markov random fields are frequently used to impose spatial regularity constraints in the parameter estimation and classification stages. This approach produces excellent results in many cases, but our experiments indicate that the estimation of the regularity parameter is a difficult problem, especially when the SNR is low. When the estimation of the regularity parameters fails, the mixture estimation and classification results are poor. The considerable computing time is another drawback of this approach. Methods based on a hidden Markov chain model, applied to a Hilbert–Peano scan of the image, constitute an interesting alternative. The estimation of the regularity parameters, which here are the elements of a transition matrix, seems to be more robust, but the region borders generally get slightly more irregular than with the corresponding scheme based on Markov random fields. We therefore propose a new hybrid method, where we use the Markov chain algorithms first and those based on Markov random fields only for the final estimation and classification, thus obtaining both fast and robust mixture estimation and well regularized classification results.

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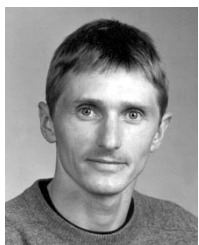
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