

# Asynchronous Capacity per Unit Cost

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# Outline

Background

Model

Zero cost for idleness

General cost

Conclusion

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## Cost of synchronization

- Continuous communication (e.g., video, voice): negligible
- Bursty communication (e.g., sensor networks): ?



What is the minimum energy to send one bit asynchronously, equivalently, what is the asynchronous capacity per unit cost?

# Energy-limited synchronous communication

Studied by Gallager and Verdù late 80's

- Memoryless channel:  $\mathcal{X} \xrightarrow{Q(y|x)} \mathcal{Y}$
- Each  $x \in \mathcal{X}$  has a cost  $k(x) \geq 0$
- $B$  bits of information available to the transmitter at time one
- Transmitter sends information from time one
- Receiver decodes at fixed time

# Energy-limited synchronous communication (cont.)

## Capacity per unit cost (Verdù)

$$\mathbf{R} = \frac{B}{k(\text{codeword})} \quad \mathbf{C}_{\text{syn}} = \sup\{\mathbf{R} : \mathbf{R} \text{ is achievable}\}$$

- If there is a zero cost symbol:

$$\mathbf{C}_{\text{syn}} = \max_{x \in \mathcal{X}} \frac{D(Y_x || Y_0)}{k(x)}, \quad Y_x \sim Q(\cdot|x)$$

- General:

$$\mathbf{C}_{\text{syn}} = \max_X \frac{I(X; Y)}{\mathbb{E}(k(X))}$$

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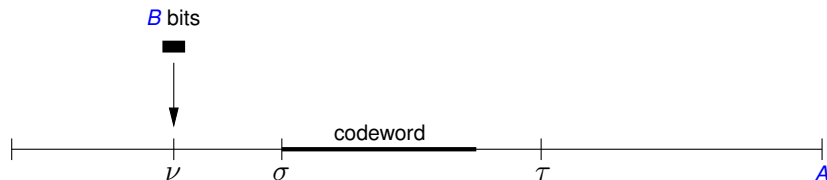
Conclusion

# Energy-limited **A**synchronous communication

- Information available at **a random time** at the transmitter
- Transmitter **chooses** when to start sending information
- Outside information transmission period, the transmitter stays **idle and the receiver observes noise**.
- Receiver decodes **without knowing** information arrival time



# Model

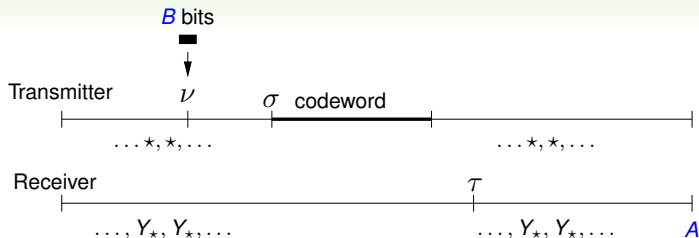


## Transmitter

- $B$ -bit message is revealed at random time  $\nu \in [1, A]$   
 $A$  = asynchronism level
- Starts sending codeword at time  $\sigma = \sigma(\nu, \text{message})$

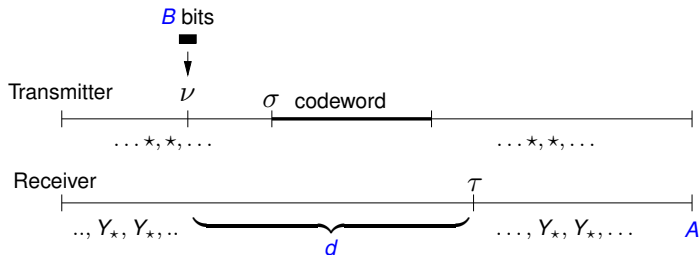
## Receiver

- Decodes at a random time  $\tau$  without knowing  $\nu$  but knowing  $A$



## Channel

- $\mathcal{X} \cup \{\star\} \xrightarrow{Q(\cdot|\cdot)} \mathcal{Y}$
- $\mathcal{X}$ : for codebook design, may or may not include  $\star$
- $\star =$  idle input symbol, generates pure noise  $Y_\star \sim Q(\cdot|\star)$
- Cost  $k(x) \geq 0$  for all  $x \in \mathcal{X}$



Delay constraint (for a meaningful problem):

- $d$ : maximum decoding delay, i.e.,  $\mathbb{P}(\tau - \nu \leq d) \approx 1$  for all codewords
- Natural choice:  $d = O(B)$ , same as delay incurred in sync case

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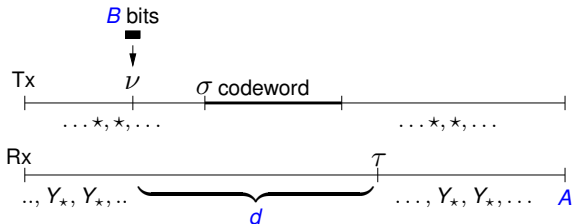
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## Small delay: $d = \text{sub-exp}(B)$



Theorem:

$$C_{\text{asyn}}(\beta) = \frac{C_{\text{syn}}}{1 + \beta} \quad \text{where} \quad \beta = \frac{\log_2 A}{B}$$

i.e., **Cost to transmit  $B$  bits asynchronously**  
**= cost to transmit  $B + \log A$  bits synchronously**

Achievable with  $d = O(B)$

## Why $\mathbf{C}_{\text{syn}} = (1 + \beta)\mathbf{C}_{\text{asyn}}(\beta)$ ?

“ $\geq$ ”

- Receiver can locate sent message within delay  $d$
- $\Rightarrow$  additional  $\log(A/d)$  bits implicitly transmitted through timing information
- $\Rightarrow$  cost to transmit  $B$  bits asynchronously is at least the cost to transmit  $B + \log(A/d)$  bits synchronously
- Since  $A = 2^{\beta B}$ ,  $B + \log(A/d) \approx B + \log A$  bits
- Thus,  $\mathbf{C}_{\text{syn}} \geq (1 + \beta)\mathbf{C}_{\text{asyn}}(\beta)$



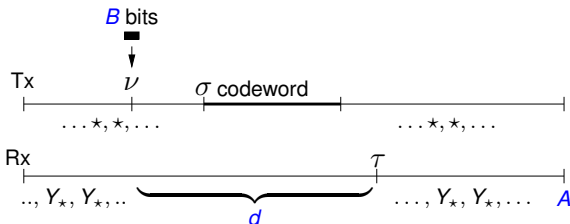
# Why $C_{\text{syn}} = (1 + \beta)C_{\text{asyn}}(\beta)$ ? (cont.)

“ $\leq$ ”

Random uniform arrival makes asynchronous communication scheme look like a Pulse Position Modulation scheme, which is optimal for synchronous channels.



Large delay:  $d = O(2^{\delta B})$ ,  $\delta > 0$



**Theorem:** Cost to transmit  $B$  bits asynchronously  
 = cost to transmit  $B + \log(A/d)$  bits synchronously i.e.,

$$\mathbf{C}_{\text{asyn}}(\beta, \delta) = \mathbf{C}_{\text{asyn}}(\beta - \delta) \quad \text{where} \quad \beta = \frac{\log_2 A}{B}$$

Key for achievability: asynchronism reduction by communicating only at multiples of  $2^{\delta B}$



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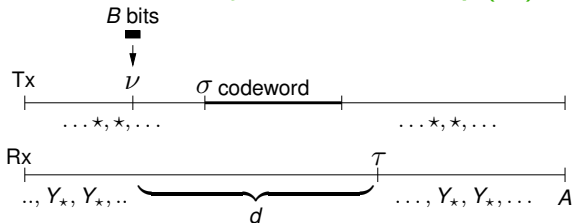
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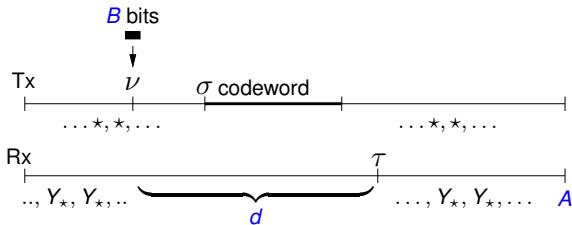


## Theorem

$$\mathbf{C}_{\text{asyn}}(\beta) = \max_X \min \left\{ \frac{I(X; Y)}{\mathbb{E}(k(X))}, \frac{I(X; Y) + D(Y||Y_*)}{(1 + \beta)\mathbb{E}(k(X))} \right\}$$

- **sync** term: asyn cannot help in increasing capacity (per unit cost)
- **asyn** term: quantifies how difficult it is for the receiver to discern a data carrying transmitted symbol from pure noise

Large delay:  $d = O(2^{\delta B})$ ,  $\delta > 0$



Theorem:

$$\mathbf{C}_{\text{asyn}}(\beta, \delta) = \mathbf{C}_{\text{asyn}}(\beta - \delta) \quad \text{for } 0 < \delta < \beta$$

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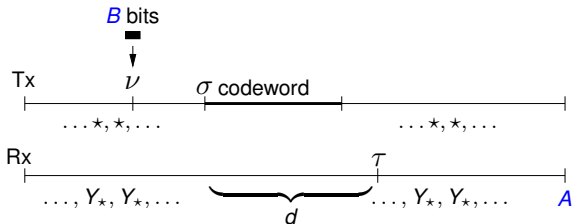
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Most information theory research assumes continuous communication. But bursty transmission brings lots of new problems. The one we considered is just an example.

## Example: Gaussian channel



- $x \rightarrow x + Z, Z \sim \mathcal{N}(0, N_0/2)$
- $\star = 0$
- $k(x) = x^2$

**Theorem:**  $\mathbf{C}_{\text{asyn}}(\beta) = \frac{\log_2 e}{N_0(1 + \beta)}$  where  $\beta = \frac{\log_2 A}{B}$