

## ASSIGNMENT 5

**Exercise 1** (List decoding from erasures). We say that a code is  $(p, L)$ -erasure list-decodable if for any vector  $\mathbf{y} \in \{0, 1, *\}^n$  (where  $*$  denotes the erasure symbol) with at most  $pn$  erasures, there are at most  $L$  codewords that agree with  $\mathbf{y}$  in the unerased positions. For any vector  $\mathbf{c}$  and  $T \subset [n]$ , let  $\mathbf{c}_T$  denote the restriction of  $\mathbf{c}$  to  $T$ , i.e., it is the  $|T|$ -length vector  $(c_i : i \in T)$ . Formally, a code  $\mathcal{C} \subset \mathbb{F}_2^n$  is  $(p, L)$ -erasure list-decodable if for every  $T \subset [n]$  with  $|T| \geq (1-p)n$ , and  $\mathbf{y}' \in \{0, 1\}^{|T|}$ , we have

$$|\{\mathbf{c} \in \mathcal{C} : \mathbf{c}_T = \mathbf{y}'\}| \leq L.$$

Prove the following:

1. If  $\mathcal{C}$  has minimum distance  $d$ , then it is  $(\frac{d-1}{n}, 1)$ -list decodable.
2. For every  $\epsilon > 0$ , there exists a  $(p, L)$ -erasure list decodable code of rate

$$R \geq \frac{L}{L+1}(1-p) - \frac{1}{L} - \epsilon$$

*Hint:* Use random codes. For a fixed  $T, \mathbf{y}'$ , compute the probability that the codeword for a fixed message is equal to  $\mathbf{y}$  when restricted to  $T$ . Do this for  $L+1$  messages. Then take a union bound over messages,  $\mathbf{y}'$ , and  $T$ .

3. For every  $\epsilon > 0$ , there exists a linear  $(p, L)$ -erasure list-decodable code of rate

$$R \geq \frac{J-1}{J}(1-p) - \frac{1}{J-1} - \epsilon$$

where  $J = \lceil \log_2(L+1) \rceil$ .

4. Show that if a code of rate  $1-p+\epsilon$  is  $(p, L)$ -erasure list-decodable, then  $L = 2^{\Omega(n)}$ .

**Exercise 2** (Random graphs are good expanders). In this exercise, we will show that a randomly chosen bipartite graph is a good expander with high probability. Recall that a bipartite graph with  $n$  left vertices,  $m$  right vertices, and left degree  $D$  is a  $(\gamma, \alpha)$  expander if for all subsets  $S$  of left vertices with  $|S| \leq \gamma n$ , we have  $|N(S)| > \alpha|S|$ . Here,  $N(S)$  denotes the set of neighbours of  $S$ .

Let us pick a random graph in the following manner: For each left vertex, pick  $D$  neighbours uniformly at random from the set of all  $\binom{m}{D}$  subsets of right vertices. This is done independently for each vertex. Call the resulting random graph  $\mathcal{G}$ . We want to show that for all sufficiently large  $n$ , and  $m > 3n/4$ ,  $D > 32$ ,  $\gamma = 1/10$ ,  $\alpha = 5D/8$

$$\Pr[\mathcal{G} \text{ is not a } (\gamma, \alpha) \text{ expander}] = o(1).$$

1. Choose any set of left vertices  $S$  and set of right vertices  $T$ , with  $|S| = s \leq \gamma n$  and  $|T| \leq \alpha s$ . Compute the probability that  $N(S) \subset T$ .

2. Argue that

$$\Pr[\mathcal{G} \text{ is not a } (\gamma, \alpha) \text{ expander}] = \Pr[\exists S \subset \mathcal{L}, T \subset \mathcal{R} : |S| \leq \gamma n, |T| \leq \alpha |S|, N(S) \subset T]$$

where  $\mathcal{L}, \mathcal{R}$  denote the set of left and right vertices respectively.

3. Use the first two parts to get an upper bound on the probability that  $\mathcal{G}$  is not an expander.

4. Using the bound  $\binom{a}{b} \leq \left(\frac{ea}{b}\right)^b$ , prove that as long as  $m > 3n/4$ ,  $D > 32$ ,  $\gamma = 1/10$ ,  $\alpha = 5D/8$ , the probability that  $\mathcal{G}$  is not an expander is  $o(1)$ .

**Exercise 3.** Let  $\mathcal{G}$  be an  $(n, m, D, \gamma, \alpha)$  expander graph. Let  $\alpha = D(1 - \epsilon)$  for some  $0 < \epsilon < 1/2$ . Given any set of left vertices  $S$ , a right vertex  $v$  is said to be a unique neighbour of  $S$  if it is adjacent to exactly one vertex in  $S$ . Let  $U(S)$  denote the set of unique neighbours of  $S$ .

1. Fix any set of left vertices  $S$  such that  $|S| \leq \gamma n$ . How many edges leave  $S$ ? Using this, compute an upper bound on the number of vertices in  $N(S)$  that have more than one incident edge from  $S$ .
2. Use the above to argue that  $|U(S)| \geq D(1 - 2\epsilon)|S|$ .
3. Use the second part to argue that the minimum distance of the corresponding expander code is at least  $\gamma n$ .

*Hint:* Choose any nonzero codeword and label the left vertices by the codeword bits. Let  $S$  be the support set of vertices labelled 1. What can you say about  $U(S)$ ?

**Exercise 4.** Let  $\mathcal{G}$  be an  $(n, m, D, \gamma, D(1 - \epsilon))$  expander. We will now show that the minimum distance of the corresponding expander code is  $\geq 2\gamma(1 - \epsilon)n$ . We will prove this by contradiction. For the sake of contradiction, suppose that  $c^n$  is a nonzero codeword of Hamming weight less than  $2\gamma(1 - \epsilon)n$ .

- Label the set of left vertices of  $\mathcal{G}$  using  $c^n$ , and let  $S$  be the set of vertices labelled 1. Note that  $|S|$  is equal to the Hamming weight of  $c^n$ . What is the size of  $U(S)$ ?
- Pick  $Q \subset S$  such that  $|Q| = \gamma n$ . Compute the size of  $U(Q)$  and  $N(S \setminus Q)$  and argue that  $|U(S)| > 0$ .

**Exercise 5** (Encoding/decoding complexity of expander codes). Expander codes have low encoding and decoding complexity.

- What is the encoding complexity of an expander code?
- What is the computational complexity in each iteration of decoding an expander code? Use this to find the worst-case computational complexity assuming that the number of errors is less than  $\gamma(1 - 2\epsilon)n$ .