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# Reliability and Entropy of Delay PUFs: *A Theoretical Analysis*

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# Physically Unclonable Functions (PUFs)

## Motivating Examples

**Nintendo's Switch can be hacked to run custom apps and games**

A nightmare

Home | Access Control | Passwords

**1.4B stolen passwords are free for the taking: What we know now**

LinkedIn password breach, and others like it, are still paying dividends for criminals

**List of data breaches**

From Wikipedia, the free encyclopedia

For a broader coverage related to this topic, see [Data breach](#).

This is a list of **data breaches**, using data compiled from various sources, including press reports, government news releases and mainstream news articles. The list includes those involving records, although many more smaller breaches occur continually. Breaches of large organizations where the number of records is still unknown are also listed. The various methods used are listed.

Most breaches occur in North America. It is estimated that the average cost of a data breach will be over \$150 million by 2020, with the global annual cost forecast to be \$2.1 trillion.<sup>[1][2]</sup> It records were exposed as a result of data breaches.<sup>[3]</sup> [vigilante.pw](#) lists over 2,100 websites which have had their databases breached, containing over 2 billion user entries in total.

Entity	Year	Records	Organization type	Method	Sources
Yahoo	2013	3,000,000,000	web	hacked	<a href="#">[279k296]</a>
Yahoo	2014	500,000,000	web	h	
Friend Finder Networks	2016	412,214,295	web	pr	
Massive American business hack including eBay and Nasdaq	2012	160,000,000	financial	h	
Adobe Systems	2013	152,000,000	tech	h	
Under Armour	2018	150,000,000	Consumer Goods	h	
eBay	2014	145,000,000	web	h	
Equifax	2017	143,000,000	financial, credit reporting	pr	
Heartland	2009	130,000,000	financial	h	

**175,000 IoT cameras can be remotely hacked thanks to flaw, says security researcher**

Researchers have found that it's trivial to remotely access one brand of security camera.

By Danny Palmer | July 31, 2017 -- 16:01 GMT (27:01 BST) | Topic: [Security](#)

# Physically Unclonable Functions (PUFs)

## PUF Definition

### Definition (strong PUF)

Physical device defined by:

- Input: challenge bit-string  $C \in \{0, 1\}^n$
- Output: bit response  $\mathcal{P}(C) \in \{0, 1\}$ .

The device should **not** be **clonable** (physically and mathematically).

### Note

Real PUFs are not deterministic and subject to

- noise
- aging
- etc.



# Types of Unclonable Functions

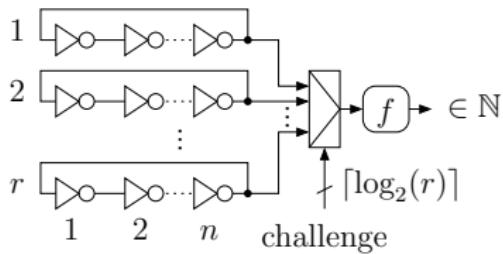
- SRAM PUF
- Delay PUFs:
  - Arbiter PUF
  - Ring oscillator
  - RO-sum PUF
  - Loop-PUF
  - ...
- Optical PUFs
- Glitch PUF
- VIA PUF

# Types of Unclonable Functions

## Zoom on Delay PUFs

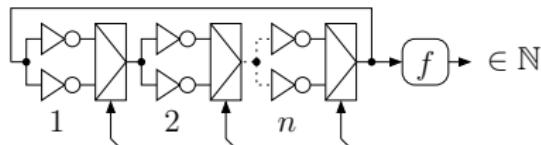
Ring-oscillator PUF

( $r$  rings of  $n$  inverters)



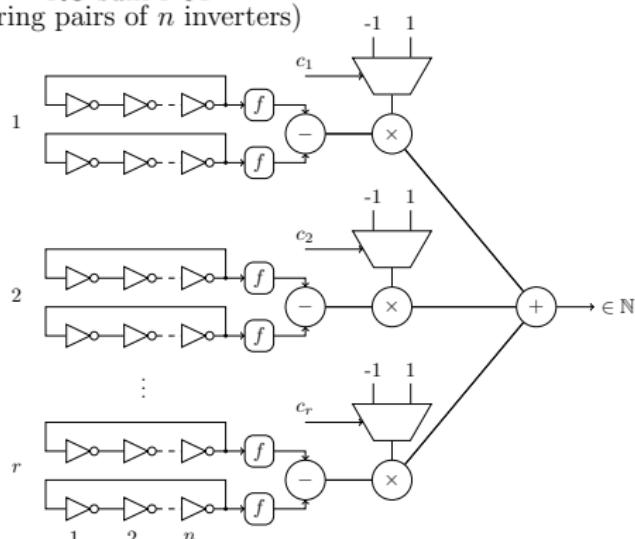
Loop-PUF

(with  $n$  inverters)



RO-sum PUF

( $r$  ring pairs of  $n$  inverters)



## Modelisation of Delay PUFs

Ideal (noiseless) output:  $\mathcal{P}(C) = \text{sign}(\delta_C)$ .

For a PUF subject to additive noise  $Z$ :

$$\mathcal{P}(C) = \text{sign}(\delta_C + Z)$$

where:

- **Static** randomness:  $\delta_C \sim \mathcal{N}(0, \Sigma^2)$
- **Dynamic** randomness:  $Z \sim \mathcal{N}(0, \sigma^2)$

$$SNR = \frac{\Sigma^2}{\sigma^2}$$

### Independency Hypothesis

$\mathcal{P}(C)$  independent for different challenges  $C$   
(made possible by restricting challenges).

# Reliability

## BER: Definition and Expression

### Definition

BER (bit error rate): probability that the outcome differs from the ideal output:

$$\text{BER} = \mathbb{P}_Z[\text{sign}(\delta_C + Z) \neq \text{sign}(\delta_C)]$$

Averaged over the static randomness:

$$\widehat{\text{BER}} = \mathbb{E}_{\delta_C}[\text{BER}]$$

### Theorem (Closed Form Expressions for BER)

$$\text{BER} = \frac{1}{2} \operatorname{erfc}\left(\frac{|\delta_C|}{\sigma\sqrt{2}}\right)$$

$$\widehat{\text{BER}} = \frac{1}{\pi} \arctan\left(\frac{1}{\sqrt{\text{SNR}}}\right)$$

# BER Expression Proofs

## Proof (BER)..

Assume  $\delta_C > 0$  (symmetrical proof for  $\delta_C < 0$ ).

$$\mathbb{P}[\delta_C + Z < 0] = \mathbb{P}[Z < -\delta_C] = \frac{1}{\sqrt{2\pi\sigma^2}} \int_{-\infty}^{-\delta_C} e^{\frac{-z^2}{2\sigma^2}} dz = \frac{1}{2} \operatorname{erfc}\left(\frac{\delta_C}{\sigma\sqrt{2}}\right) \quad \square$$

## Proof (Averaged BER)..

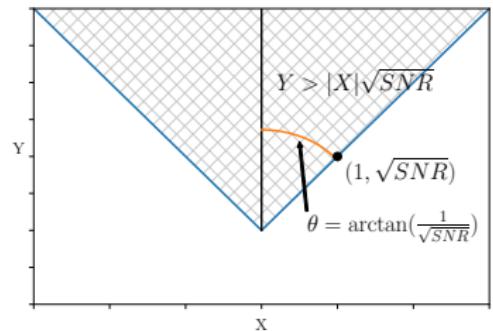
$$\mathbb{P}[\operatorname{sign}(\delta_C + Z) \neq \operatorname{sign}(\delta_C)] = \mathbb{P}[Z > |\delta_C|].$$

But

$$Z > |\delta_C| \Leftrightarrow \frac{Z}{\sigma} > \frac{|\delta_C|}{\sum} \frac{\Sigma}{\sigma} \Leftrightarrow Y > |X| \sqrt{SNR}$$

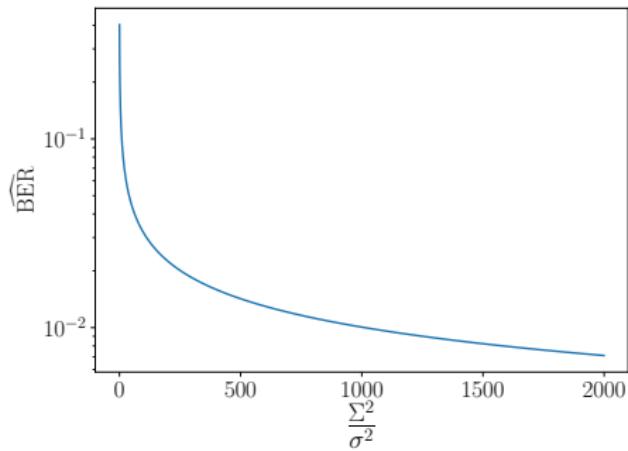
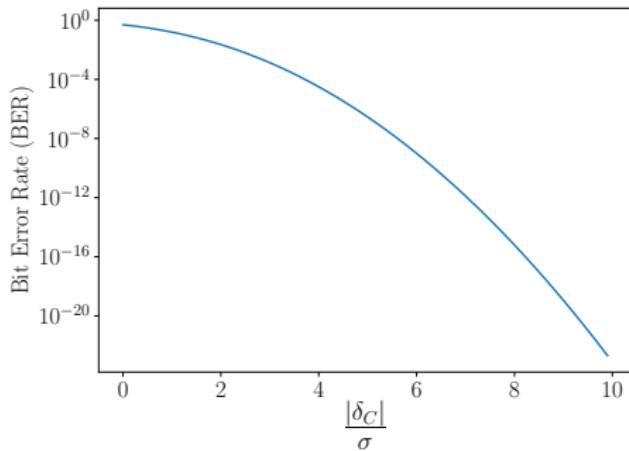
where  $X, Y \sim \mathcal{N}(0, 1)$

□



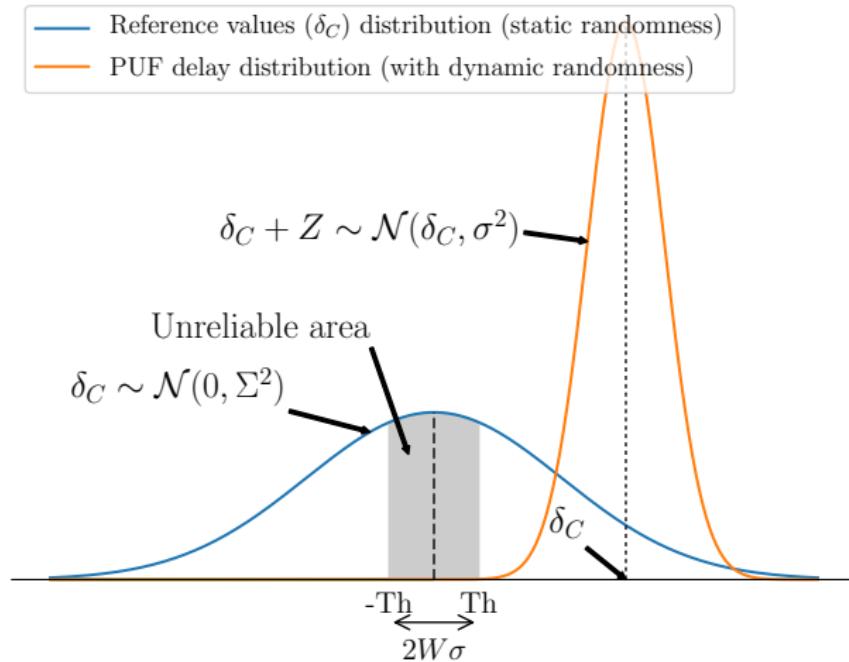
# Reliability

## Evolution of the BER



# Dynamic vs Static Randomness

## An Illustration





## Reliability Improvement : Filtering

- Discard "unstable" bits
- Unstable:  $|\delta_C| < \text{Th} = W\sigma$
- Tradeoff: improves reliability but reduces number of output bits

$$W = \text{relative threshold proportion} = \frac{\text{Th}}{\sigma}$$

# Reliability Improvement

## Closed-Form Expression for Filtered Output

Filtered BER depends on  $W$  as well as the SNR:

### Theorem (BER After Filtering)

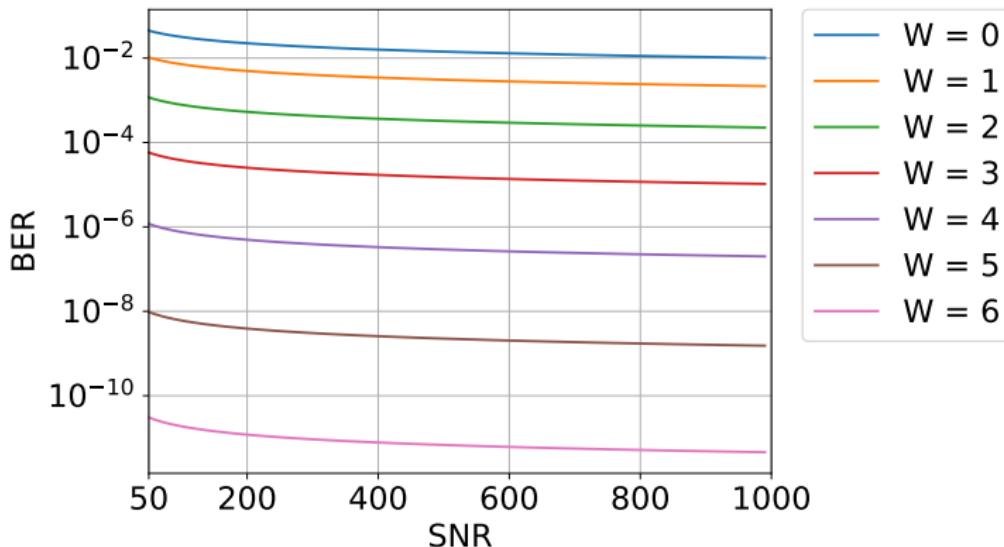
$$\widehat{\text{BER}_{\text{filt}}} = \frac{2}{\text{erfc}\left(\frac{W}{\sqrt{2} \cdot \sqrt{\text{SNR}}}\right)} \left( T(W, \frac{1}{\sqrt{\text{SNR}}}) + \frac{1}{4} \text{erf}\left(\frac{W}{\sqrt{2} \cdot \sqrt{\text{SNR}}}\right) (\text{erf}\left(\frac{W}{\sqrt{2}}\right) - 1) \right)$$

where  $T$  is Owen's  $T$ -function:

$$T(h, a) = \frac{1}{2\pi} \int_0^a \frac{e^{-\frac{1}{2}h^2(1+x^2)}}{1+x^2} dx.$$

# Reliability Improvement : Summary

## BER as a function of $W$ and SNR



BER mainly depends on  $W$ , less sensitive on the SNR.

# Reliability Improvements

## Entropy Loss

Assuming  $n$  independent challenge responses, entropy  $H = n$ .

After filtering, the remaining entropy is the number of "stable" bits:

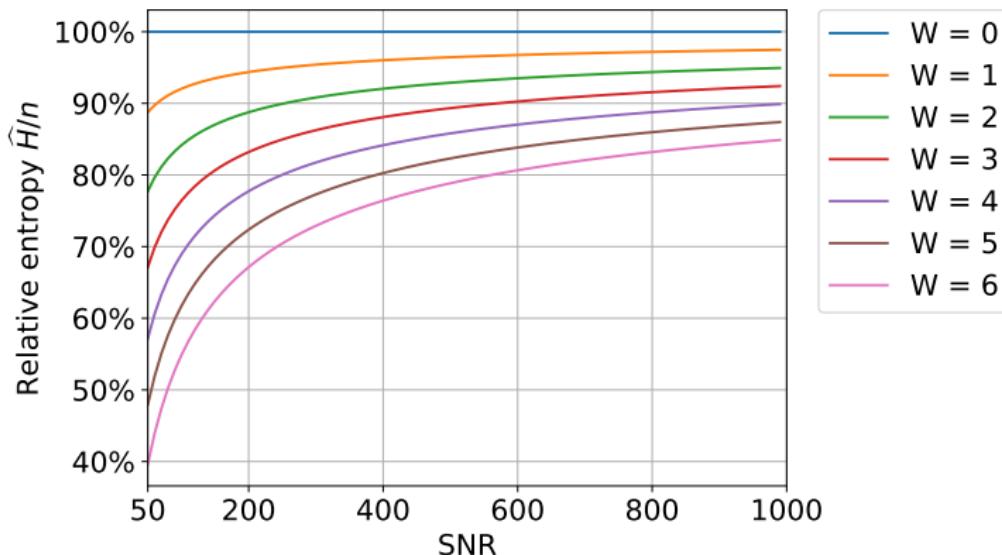
### Theorem (Entropy After Filtering)

*Average (over static randomness) entropy for  $n$  delay elements:*

$$\widehat{H(n, W)}_{SNR} = n \cdot \text{erfc}\left(\frac{W}{\sqrt{2\text{SNR}}}\right).$$

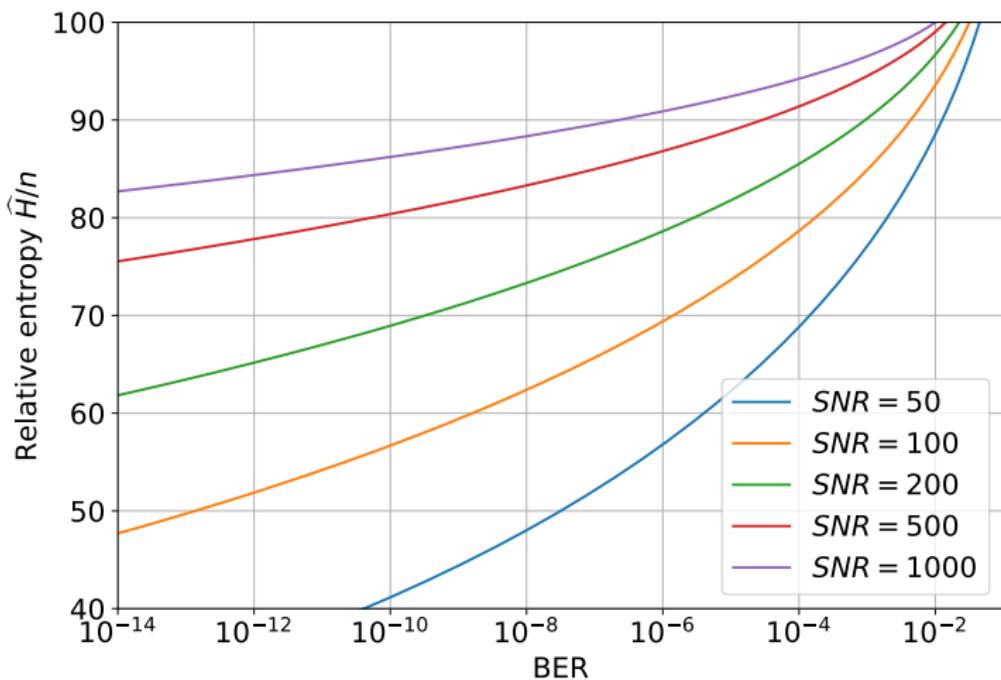
# Reliability Improvements

## Entropy Loss Figure



High number of rejected output bits for small SNR.

## Conclusion: Entropy/BER Tradeoff After Filtering





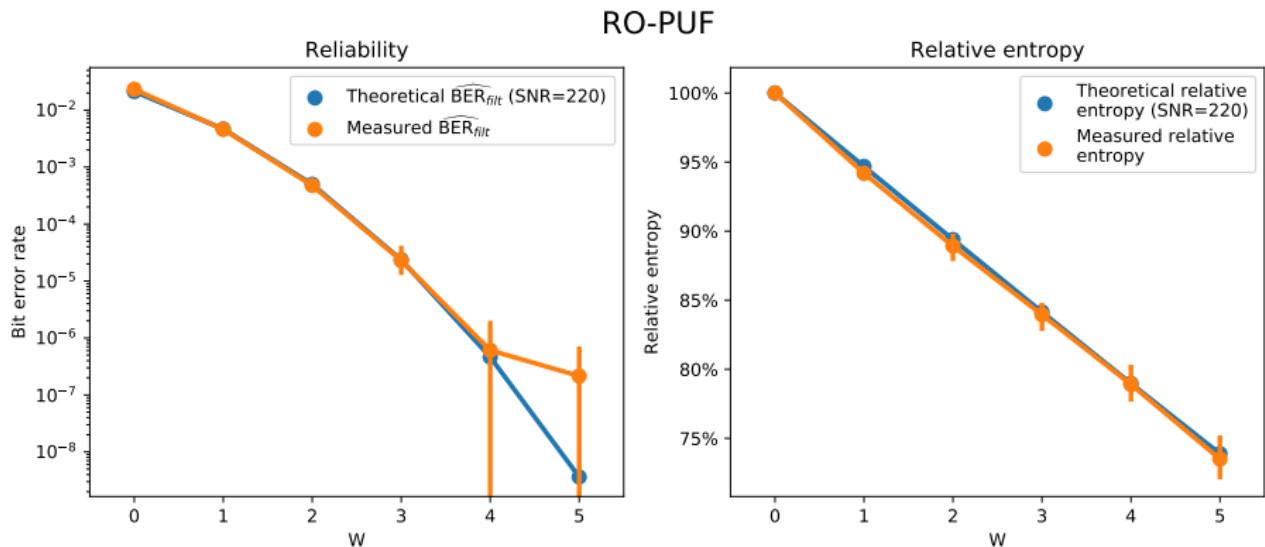
# Is our Static/Dynamic Gaussian Model Valid ?

⇒ Experimental validation needed !

Experimental setup:

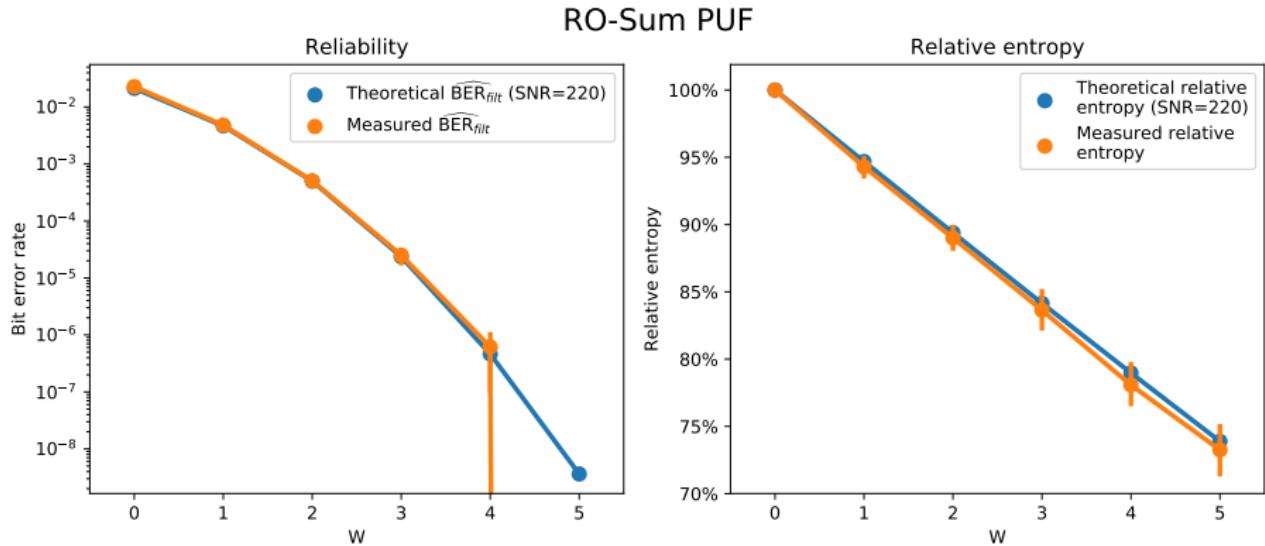
PUF type	Challenge restriction	Evaluation
Ring oscillator	Pairs of independent oscillators	Difference of two delays
RO-sum PUF	Orthogonal challenges	Sum/difference of 48 delays
Loop-PUF	Orthogonal challenges	Native PUFs

# Results - Ring Oscillator PUF



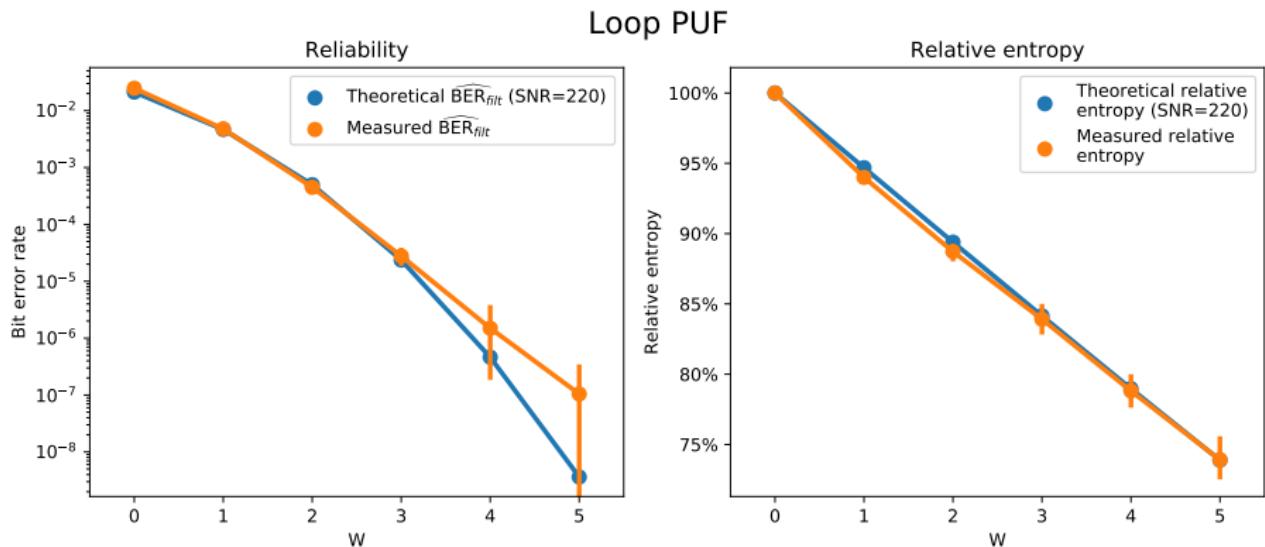
Rejected output bits as expected, too high BER.

# Results - RO-sum PUF



BER and relative entropy as expected.

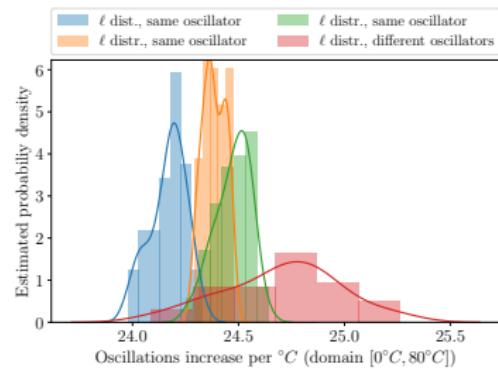
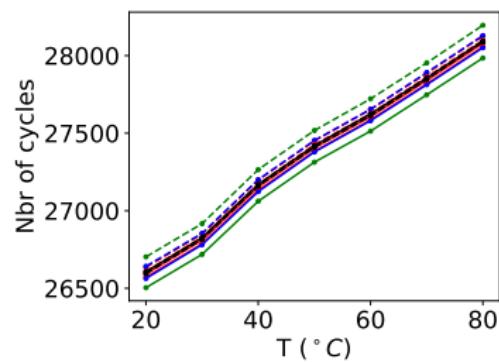
# Results - Loop PUF



Rejected output bits as expected, too high BER.

# Environmental Effects

## Temperature Dependency



Temperature dependency

Modelisation:

$$\mathcal{P}_\theta(C) = \delta_C + Z + \ell\theta$$

$\theta$ : temperature difference

Temperature coefficient

Modelisation:

$$\ell \sim \mathcal{N}(0, \sigma_\ell^2)$$

# Temperature Dependency

## Temperature-Dependent BER

### Definition

Temperature-dependent BER:

$$\widehat{BER}_\theta = \mathbb{P}[\text{sign}(\delta_C + Z + \ell\theta) \neq \text{sign}(\delta_C)]$$

### Theorem (BER Closed Form Expression)

$$\widehat{BER}_\theta = \frac{1}{\pi} \arctan\left(\frac{\sqrt{\sigma^2 + \theta^2 \sigma_\theta^2}}{\Sigma}\right)$$

For **average** BER, change in temperature equivalent to reduction in SNR.



## Wrap up

- A novel theoretical **modelization** for Delay-PUFs
- Bit **filtering**: **reliability** / **entropy** tradeoff
- Experimental **validation**
- Temperature dependency modelization

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