

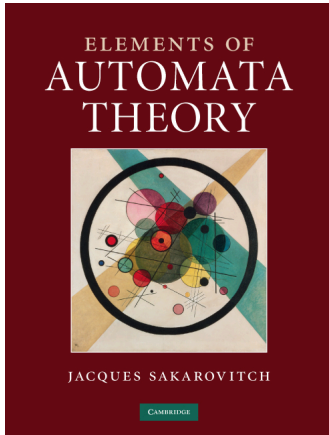
Introduction to weighted automata theory

Lectures given at
the 19th Estonian Winter School in Computer Science

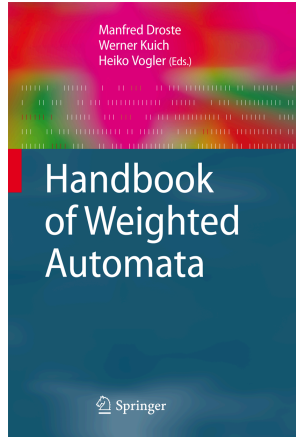
Jacques Sakarovitch

CNRS / Telecom ParisTech

Based on



Chapter III



Chapter 4

The presentation is very much inspired by a joint work with

Marie-Pierre Béal (Univ. Paris-Est)

and

Sylvain Lombardy (Univ. Bordeaux)

entitled

On the equivalence and conjugacy of weighted automata,

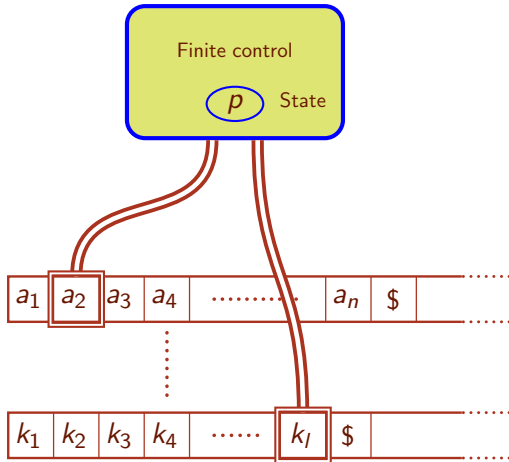
a first version of which has been published in *Proc. of CSR 2006*

and whose final complete version is still in preparation.

Lecture I

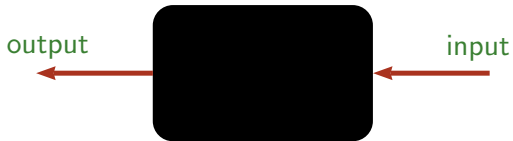
The model of (finite) weighted automata

A touch of general system theory



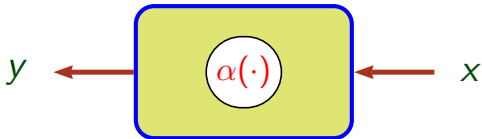
Paradigm of a machine for the computer scientists

A touch of general system theory



Paradigm of a machine for the rest of the world

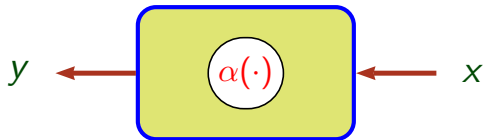
A touch of general system theory



$$y = \alpha(x)$$

Paradigm of a machine for the rest of the world

A touch of general system theory

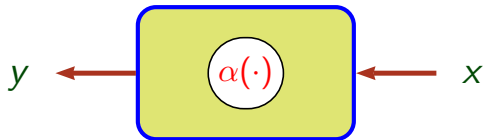


$$y = \alpha(x)$$

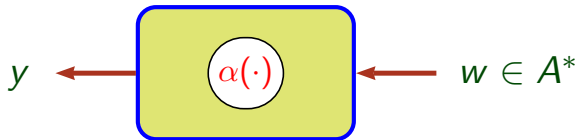
$$x \in \mathbb{R}^n, \quad y \in \mathbb{R}^m$$

Paradigm of a machine for the rest of the world

Getting back to computer science

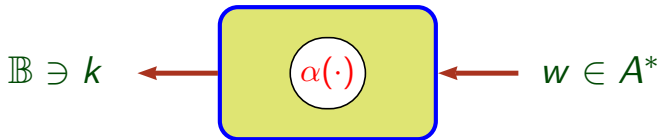


Getting back to computer science



The input belongs to a *free monoid* A^*

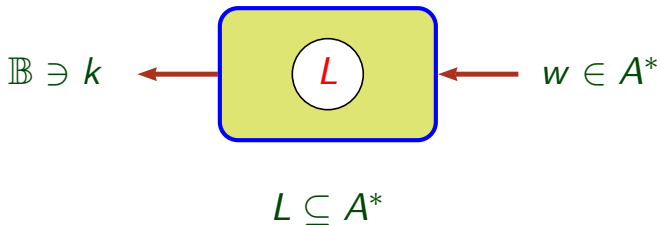
Getting back to computer science



The input belongs to a *free monoid* A^*

The output belongs to the *Boolean semiring* \mathbb{B}

Getting back to computer science

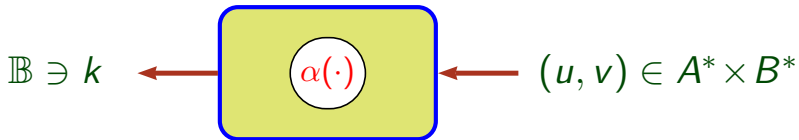


The input belongs to a *free monoid* A^*

The output belongs to the *Boolean semiring* \mathbb{B}

The function realised is *a language*

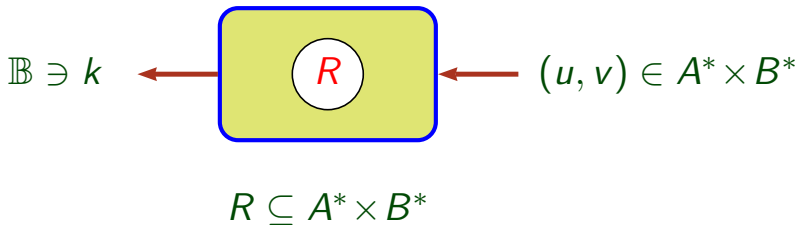
Getting back to computer science



The input belongs to a *direct product of free monoids* $A^* \times B^*$

The output belongs to *the Boolean semiring* \mathbb{B}

Getting back to computer science

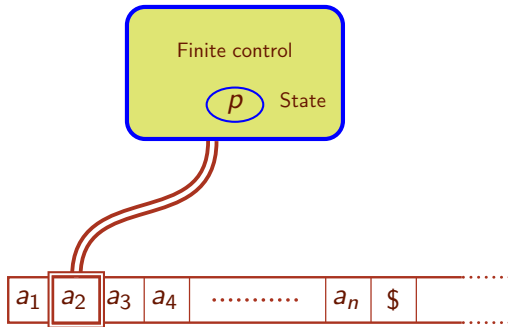


The input belongs to a *direct product of free monoids* $A^* \times B^*$

The output belongs to *the Boolean semiring* \mathbb{B}

The function realised is *a relation between words*

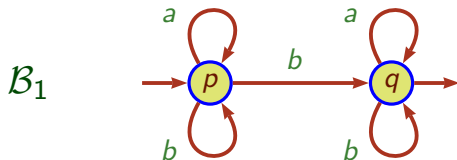
The simplest Turing Machine



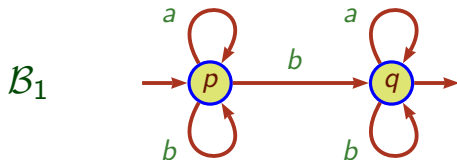
Direction of movement of the read head

The 1 way 1 tape Turing Machine (1W1TM)

The simplest Turing Machine is equivalent to finite automata

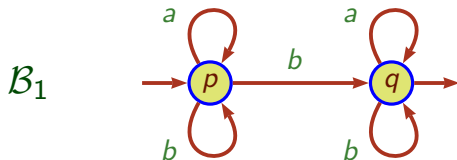


The simplest Turing Machine is equivalent to finite automata



$bab \in A^*$

The simplest Turing Machine is equivalent to finite automata

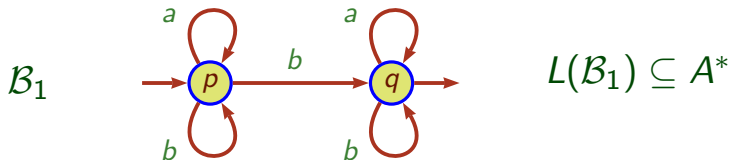


$bab \in A^*$

$\rightarrow p \xrightarrow{b} p \xrightarrow{a} p \xrightarrow{b} q \rightarrow$

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The simplest Turing Machine is equivalent to finite automata



$$bab \in A^*$$

$$\rightarrow p \xrightarrow{b} p \xrightarrow{a} p \xrightarrow{b} q \rightarrow$$

$$\rightarrow p \xrightarrow{b} q \xrightarrow{a} q \xrightarrow{b} q \rightarrow$$

$$L(\mathcal{B}_1) = \{w \in A^* \mid w \in A^* b A^*\} = \{w \in A^* \mid |w|_b \geq 1\}$$

Rational (or regular) languages

Languages accepted (or recognized) by finite automata

=

Languages described by rational (or regular) expressions

=

Languages defined by MSO formulae

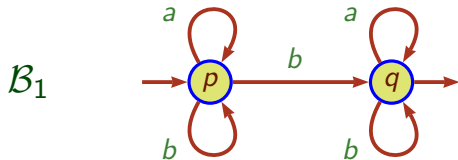
Remarkable features of the finite automaton model

Decidable equivalence (decidable inclusion)

Closure under complement

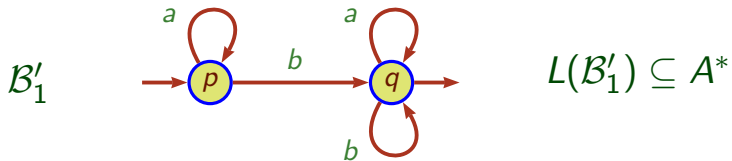
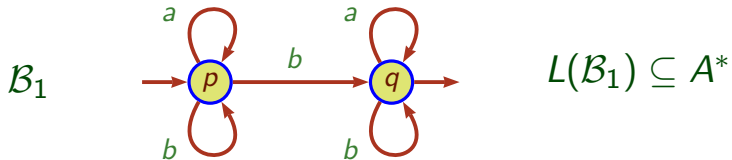
Canonical automaton (minimal deterministic automaton)

Automata versus languages

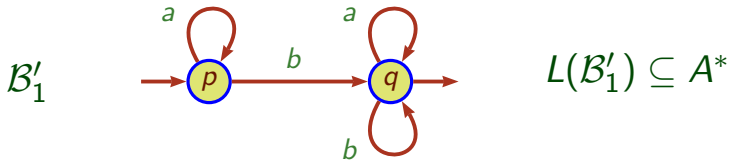
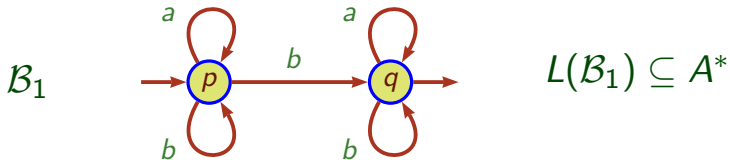


$$L(\mathcal{B}_1) \subseteq A^*$$

Automata versus languages

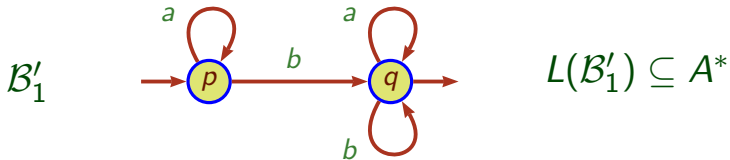
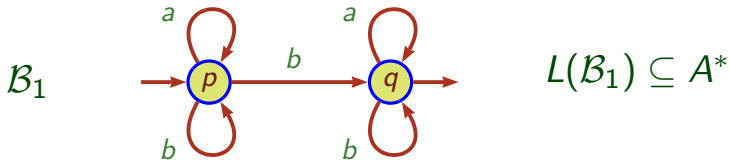


Automata versus languages



$$L(\mathcal{B}_1) = L(\mathcal{B}'_1) = \{w \in A^* \mid |w|_b \geq 1\}$$

Automata versus languages



$$L(\mathcal{B}_1) = L(\mathcal{B}'_1) = \{w \in A^* \mid |w|_b \geq 1\} = A^*bA^*$$

Ambiguity: a preliminary to multiplicity

Here, *automaton* stands for *classical (Boolean) automaton*.

Definition

A (trim) automaton \mathcal{A} is *unambiguous*
if *no* word
is the label of more than one successful computation of \mathcal{A} .

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It is decidable whether an automaton is ambiguous or not.

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Definition

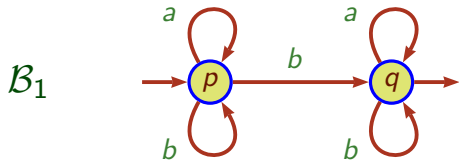
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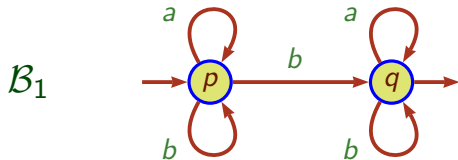
Proof ?

Ambiguity: a preliminary to multiplicity

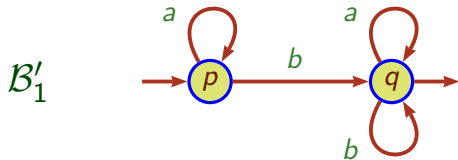


$$L(\mathcal{B}_1) = A^* b A^*$$

Ambiguity: a preliminary to multiplicity

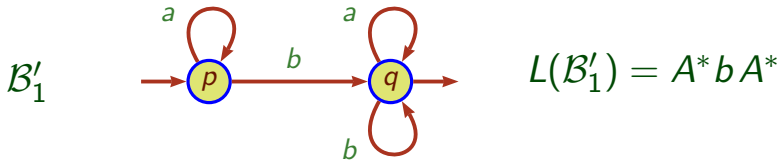
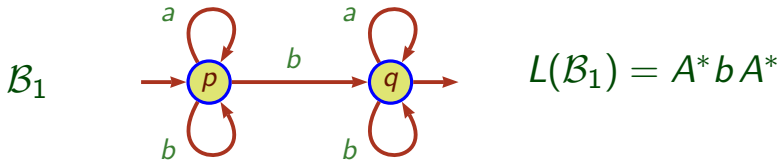


$$L(\mathcal{B}_1) = A^* b A^*$$



$$L(\mathcal{B}'_1) = A^* b A^*$$

Ambiguity: a preliminary to multiplicity

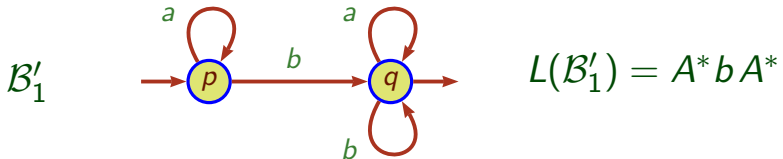
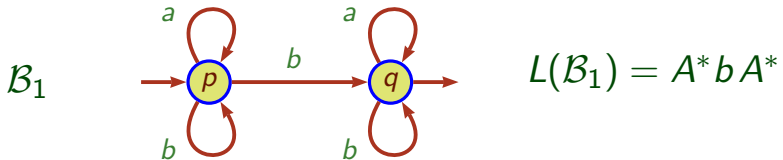


Counting the number of successful computations

$|\mathcal{B}_1| : bab \mapsto 2$

$|\mathcal{B}'_1| : bab \mapsto 1$

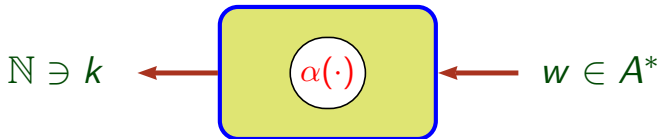
Ambiguity: a preliminary to multiplicity



Counting the number of successful computations

$$|\mathcal{B}_1| : w \longmapsto |w|_b \qquad |\mathcal{B}'_1| : w \longmapsto 1$$

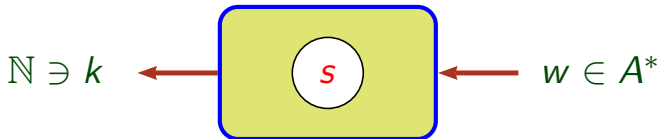
A new automaton model



The input belongs to a *free monoid* A^*

The output belongs to the *integer semiring* \mathbb{N}

A new automaton model



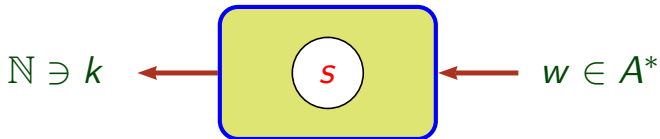
$$s: A^* \rightarrow \mathbb{N}$$

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The function realised is *a function from* A^* *to* \mathbb{N}

A new automaton model



$$s: A^* \rightarrow \mathbb{N}$$

$$s \in \mathbb{N}\langle\langle A^* \rangle\rangle$$

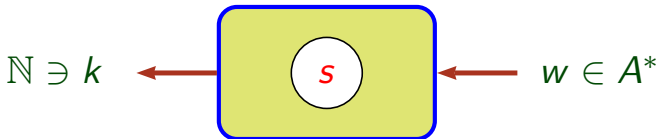
The input belongs to a *free monoid* A^*

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The function realised is *a function from* A^* *to* \mathbb{N}

we call it *a series*

A new automaton model



$$s: A^* \rightarrow \mathbb{N}$$

$$s \in \mathbb{N}\langle\langle A^* \rangle\rangle$$

$$s_1 = b + ab + ba + 2bb + aab + \dots + 2bba + 3bbb + \dots$$

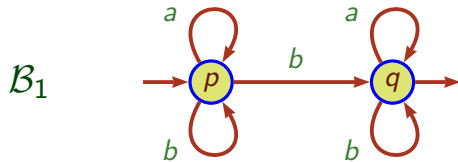
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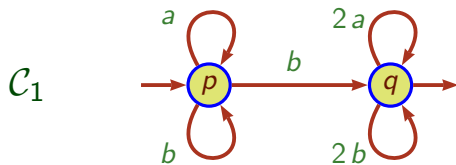
The function realised is a *function from* A^* to \mathbb{N}

we call it a *series*

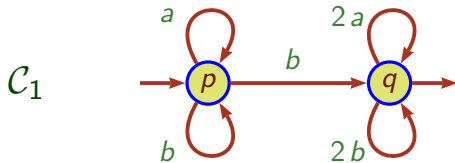
The weighted automaton model



The weighted automaton model



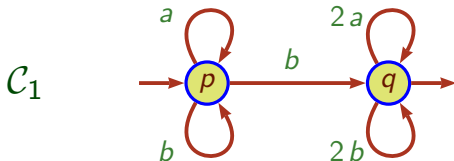
The weighted automaton model



$$\xrightarrow{1} p \xrightarrow{b} p \xrightarrow{a} p \xrightarrow{b} q \xrightarrow{1}$$

$$\xrightarrow{1} p \xrightarrow{b} q \xrightarrow{2a} q \xrightarrow{2b} q \xrightarrow{1}$$

The weighted automaton model

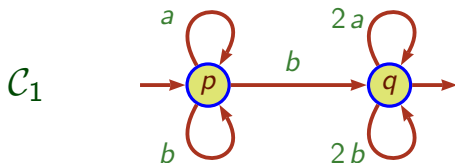


$$\xrightarrow{1} p \xrightarrow{b} p \xrightarrow{a} p \xrightarrow{b} q \xrightarrow{1}$$

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- ▶ Weight of a path c : *product* of the weights of transitions in c
- ▶ Weight of a word w : *sum* of the weights of paths with label w

The weighted automaton model



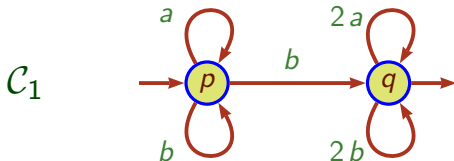
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$$bab \mapsto 1 + 4 = 5$$

The weighted automaton model



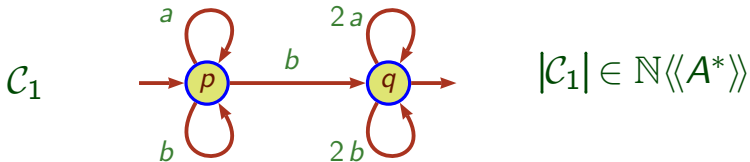
$$\xrightarrow{1} p \xrightarrow{b} p \xrightarrow{a} p \xrightarrow{b} q \xrightarrow{1}$$

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- ▶ Weight of a path c : *product* of the weights of transitions in c
- ▶ Weight of a word w : *sum* of the weights of paths with label w

$$bab \mapsto 1 + 4 = 5 = \langle 101 \rangle_2$$

The weighted automaton model



$$\xrightarrow{1} p \xrightarrow{b} p \xrightarrow{a} p \xrightarrow{b} q \xrightarrow{1}$$

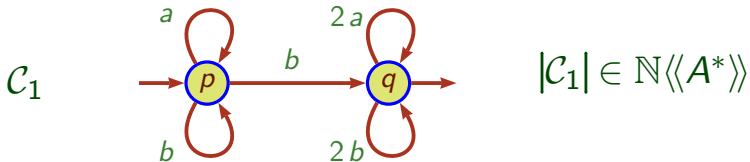
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$$bab \mapsto 1 + 4 = 5$$

$$|C_1|: A^* \longrightarrow \mathbb{N}$$

The weighted automaton model

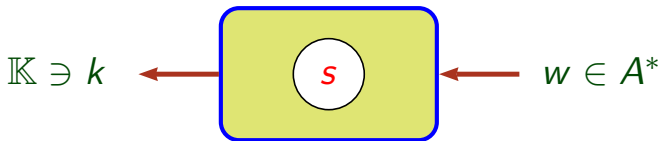


$$\begin{aligned} & \xrightarrow{1} p \xrightarrow{b} p \xrightarrow{a} p \xrightarrow{b} q \xrightarrow{1} \\ & \xrightarrow{1} p \xrightarrow{b} q \xrightarrow{2a} q \xrightarrow{2b} q \xrightarrow{1} \end{aligned}$$

- ▶ Weight of a path c : *product* of the weights of transitions in c
- ▶ Weight of a word w : *sum* of the weights of paths with label w

$$|\mathcal{C}_1| = b + ab + 2ba + 3bb + aab + 2aba + \dots + 5bab + \dots$$

The weighted automaton model



$$s: A^* \rightarrow \mathbb{K}$$

$$s \in \mathbb{K}\langle\langle A^* \rangle\rangle$$

The input belongs to a *free monoid* A^*

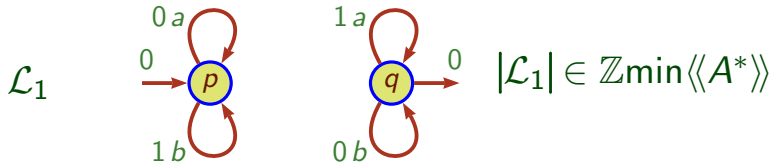
The output belongs to a *semiring* \mathbb{K}

The function realised is *a function from* A^* *to* \mathbb{K} : *a series* in $\mathbb{K}\langle\langle A^* \rangle\rangle$

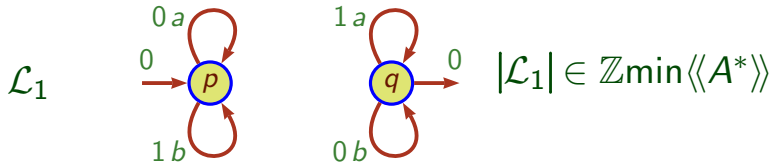
Richness of the model of weighted automata

- ▶ \mathbb{B} 'classic' automata
- ▶ \mathbb{N} 'usual' counting
- ▶ $\mathbb{Z}, \mathbb{Q}, \mathbb{R}$ numerical multiplicity
- ▶ $\langle \mathbb{Z} \cup +\infty, \min, + \rangle$ Min-plus automata
- ▶ $\langle \mathbb{Z}, \min, \max \rangle$ fuzzy automata
- ▶ $\mathfrak{P}(B^*) = \mathbb{B}\langle\langle B^* \rangle\rangle$ transducers
- ▶ $\mathbb{N}\langle\langle B^* \rangle\rangle$ weighted transducers
- ▶ $\mathfrak{P}(F(B))$ pushdown automata

Another example

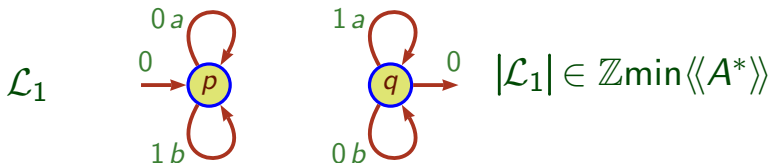


Another example



$$\begin{array}{ccccccc} \xrightarrow{0} & p & \xrightarrow{1b} & p & \xrightarrow{0a} & p & \xrightarrow{1b} & p & \xrightarrow{0} \\ \xrightarrow{0} & q & \xrightarrow{0b} & q & \xrightarrow{1a} & q & \xrightarrow{0b} & q & \xrightarrow{0} \end{array}$$

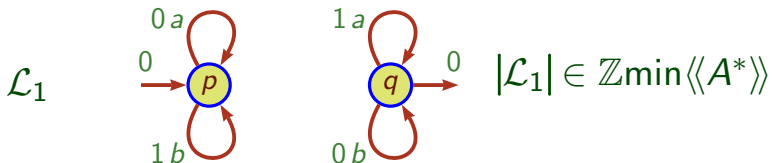
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- ▶ Weight of a path c :
 product, that is, the *sum*, of the weights of transitions in c
- ▶ Weight of a word w :
 sum, that is, the *min* of the weights of paths with label w .

Another example



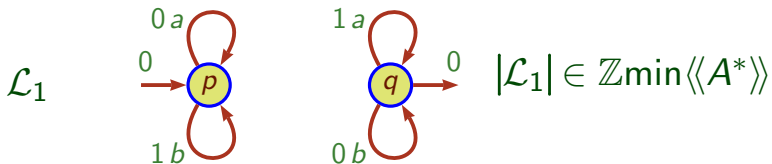
$$\begin{array}{ccccccc} \xrightarrow{0} & p & \xrightarrow{1b} & p & \xrightarrow{0a} & p & \xrightarrow{1b} & p & \xrightarrow{0} & \\ \xrightarrow{0} & q & \xrightarrow{0b} & q & \xrightarrow{1a} & q & \xrightarrow{0b} & q & \xrightarrow{0} & \end{array}$$

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sum, that is, the *min* of the weights of paths with label w .

$$bab \mapsto \min(1 + 0 + 1, 0 + 1 + 0) = 1$$

$$|\mathcal{L}_1|: A^* \longrightarrow \mathbb{Zmin}$$

Another example



$$\begin{array}{ccccccc} 0 & \rightarrow & p & \xrightarrow{1b} & p & \xrightarrow{0a} & p & \xrightarrow{1b} & p & \xrightarrow{0} & \rightarrow \\ 0 & \rightarrow & q & \xrightarrow{0b} & q & \xrightarrow{1a} & q & \xrightarrow{0b} & q & \xrightarrow{0} & \rightarrow \end{array}$$

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$$|\mathcal{C}_1| = 01_{A^*} + 0a + 0b + 1ab + 1ba + 0bb + \dots + 1bab + \dots$$

Series play the role of **languages**

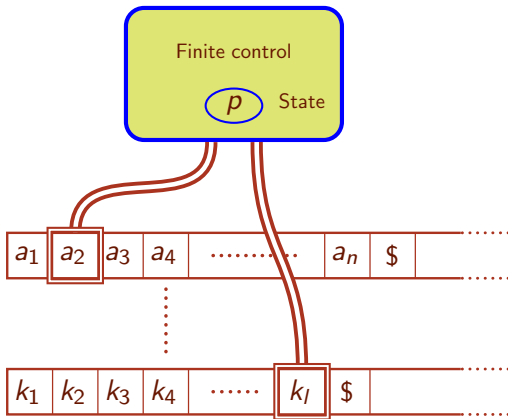
$\mathbb{K}\langle\langle A^* \rangle\rangle$ plays the role of $\mathfrak{P}(A^*)$

Weighted automata theory

is linear algebra

of computer science

The Turing Machine equivalent to finite transducers



Direction of movement of the k read heads

The 1 way k tape Turing Machine (1WkTM)

Outline of the lectures

1. Rationality
2. Recognisability
3. Reduction and equivalence
4. Morphisms of automata

Lecture II

Rationality

Outline of Lecture II

- ▶ The set of series $\mathbb{K}\langle\langle A^* \rangle\rangle$ is a \mathbb{K} -algebra.
- ▶ Automata are (essentially) **matrices**: $\mathcal{A} = \langle I, E, T \rangle$
- ▶ Computing the behaviour of an automaton boils down to solving a **linear system** $X = E \cdot X + T$ (s)
- ▶ Solving the linear system (s) amounts to **invert** the matrix $(Id - E)$ (hence the name **rational**)
- ▶ The inversion of $Id - E$ is realised by an **infinite sum** $Id + E + E^2 + E^3 + \dots$: the **star** of E
- ▶ What can be computed by a finite automaton is exactly what can be computed by the star operation (together with the algebra operations)

The semiring $\mathbb{K}\langle\langle A^* \rangle\rangle$

\mathbb{K} semiring

A^* free monoid

$$s \in \mathbb{K}\langle\langle A^* \rangle\rangle$$

$$s: A^* \rightarrow \mathbb{K}$$

$$s: w \mapsto \langle s, w \rangle$$

$$s = \sum_{w \in A^*} \langle s, w \rangle w$$

Point-wise addition

$$\langle s + t, w \rangle = \langle s, w \rangle + \langle t, w \rangle$$

Cauchy product

$$\langle st, w \rangle = \sum_{uv=w} \langle s, u \rangle \langle t, v \rangle$$

$\{(u, v) \mid uv = w\}$ finite

\implies

Cauchy product well-defined

$\mathbb{K}\langle\langle A^* \rangle\rangle$ is a semiring

The semiring $\mathbb{K}\langle\langle M \rangle\rangle$

\mathbb{K} semiring

M monoid

$$s \in \mathbb{K}\langle\langle M \rangle\rangle$$

$$s: M \rightarrow \mathbb{K}$$

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$$s = \sum_{m \in M} \langle s, m \rangle m$$

Point-wise addition

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Cauchy product

$$\langle st, m \rangle = \sum_{xy=m} \langle s, x \rangle \langle t, y \rangle$$

$\forall m \{ (x, y) \mid xy = m \}$ finite \implies Cauchy product well-defined

The semiring $\mathbb{K}\langle\langle M \rangle\rangle$

Conditions for $\{(x, y) \mid xy = m\}$ finite for all m

Definition

M is *graded* if M equipped with a length function φ

$$\varphi: M \rightarrow \mathbb{N} \quad \varphi(mm') = \varphi(m) + \varphi(m')$$

M f.g. and graded $\implies \mathbb{K}\langle\langle M \rangle\rangle$ is a semiring

Examples

\mathbb{M} trace monoid, then $\mathbb{K}\langle\langle M \rangle\rangle$ is a semiring

$\mathbb{K}\langle\langle A^* \times B^* \rangle\rangle$ is a semiring

$F(A)$, the free group on A , is not graded

The algebra $\mathbb{K}\langle\langle M \rangle\rangle$

\mathbb{K} semiring

M f.g. graded monoid

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$$\langle s + t, m \rangle = \langle s, m \rangle + \langle t, m \rangle$$

Cauchy product

$$\langle st, m \rangle = \sum_{xy=m} \langle s, x \rangle \langle t, y \rangle$$

External multiplication

$$\langle ks, m \rangle = k \langle s, m \rangle$$

$\mathbb{K}\langle\langle M \rangle\rangle$ is an algebra

The star operation

$$t \in \mathbb{K}$$

$$t^* = \sum_{n \in \mathbb{N}} t^n$$

How to define infinite sums ?

One possible solution

Topology on \mathbb{K}

Definition of summable families and of their sum

t^* defined if $\{t^n\}_{n \in \mathbb{N}}$ summable

Other possible solutions

axiomatic definition of star, equational definition of star

The star operation

$$t \in \mathbb{K}$$

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The star operation

$$t \in \mathbb{K}$$

$$t^* = \sum_{n \in \mathbb{N}} t^n$$

- ▶ $\forall \mathbb{K} \quad (0_{\mathbb{K}})^* = 1_{\mathbb{K}}$
- ▶ $\mathbb{K} = \mathbb{N} \quad \forall x \neq 0 \quad x^*$ not defined.
- ▶ $\mathbb{K} = \mathcal{N} = \mathbb{N} \cup \{+\infty\} \quad \forall x \neq 0 \quad x^* = \infty$.
- ▶ $\mathbb{K} = \mathbb{Q} \quad (\frac{1}{2})^* = 2$ with the natural topology,
 $(\frac{1}{2})^*$ is undefined with the discrete topology.

The star operation

$$t \in \mathbb{K} \qquad t^* = \sum_{n \in \mathbb{N}} t^n$$

In any case

$$t^* = 1_{\mathbb{K}} + t t^*$$

Star has the same flavor as the inverse

If \mathbb{K} is a ring

$$t^* (1_{\mathbb{K}} - t) = 1_{\mathbb{K}}$$

$$\frac{1_{\mathbb{K}}}{1_{\mathbb{K}} - t} = 1_{\mathbb{K}} + t + t^2 + \cdots + t^n + \cdots$$

Star of series

$$s \in \mathbb{K}\langle\langle A^* \rangle\rangle$$

When is $s^* = \sum_{n \in \mathbb{N}} s^n$ defined ?

Topology on \mathbb{K} yields topology on $\mathbb{K}\langle\langle A^* \rangle\rangle$

s proper $s_0 = \langle s, 1_{A^*} \rangle = 0_{\mathbb{K}}$

$$s \text{ proper} \implies s^* \text{ defined}$$

Rational series

$\mathbb{K}\langle A^* \rangle \subseteq \mathbb{K}\langle\langle A^* \rangle\rangle$ subalgebra of polynomials

$\mathbb{K}\text{Rat } A^*$ closure of $\mathbb{K}\langle A^* \rangle$ under

- ▶ sum
- ▶ product
- ▶ exterior multiplication
- ▶ and **star**

$\mathbb{K}\text{Rat } A^* \subseteq \mathbb{K}\langle\langle A^* \rangle\rangle$ subalgebra of rational series

Fundamental theorem of finite automata

Theorem

$$s \in \mathbb{K}\text{Rat } A^* \iff \exists \mathcal{A} \in \text{WA}(A^*) \quad s = |\mathcal{A}|$$

Fundamental theorem of finite automata

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Kleene theorem ?

Fundamental theorem of finite automata

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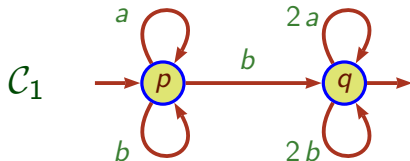
Kleene theorem ?

Theorem

M finitely generated graded monoid

$$s \in \mathbb{K}\text{Rat } M \iff \exists \mathcal{A} \in \text{WA}(M) \quad s = |\mathcal{A}|$$

Automata are matrices



$$C_1 = \langle I_1, E_1, T_1 \rangle = \left\langle \begin{pmatrix} 1 & 0 \end{pmatrix}, \begin{pmatrix} a+b & b \\ 0 & 2a+2b \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right\rangle .$$

Automata are matrices

$$\mathcal{A} = \langle I, E, T \rangle$$

$E =$ incidence matrix

Automata are matrices

$$\mathcal{A} = \langle I, E, T \rangle$$

E = incidence matrix

Notation

$\mathbf{wl}(x)$ = weighted label of x

In our model, e transition $\Rightarrow \mathbf{wl}(e) = k a$

Automata are matrices

$$\mathcal{A} = \langle I, E, T \rangle \quad E = \text{incidence matrix}$$

Notation

$\mathbf{wl}(x)$ = weighted label of x

In our model, e transition $\Rightarrow \mathbf{wl}(e) = k a$

$$E_{p,q} = \sum \{ \mathbf{wl}(e) \mid e \text{ transition from } p \text{ to } q \}$$

Automata are matrices

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$$E_{p,q} = \sum \{ \mathbf{wl}(e) \mid e \text{ transition from } p \text{ to } q \}$$

Lemma

$$E_{p,q}^n = \sum \{ \mathbf{wl}(c) \mid c \text{ computation from } p \text{ to } q \text{ of length } n \}$$

Automata are matrices

$$\mathcal{A} = \langle I, E, T \rangle \quad E = \text{incidence matrix}$$

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Automata are matrices

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$$E^*_{p,q} = \sum \{\mathbf{wl}(c) \mid c \text{ computation from } p \text{ to } q\}$$

$$|\mathcal{A}| = I \cdot E^* \cdot T$$

Automata are matrices

\mathbb{K} semiring

M graded monoid

$\mathbb{K}\langle\langle M \rangle\rangle^{Q \times Q}$ is isomorphic to $\mathbb{K}^{Q \times Q}\langle\langle M \rangle\rangle$

$E \in \mathbb{K}\langle\langle M \rangle\rangle^{Q \times Q}$ E proper \implies E^* defined

Automata are matrices

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Theorem

The entries of E^* are

in the rational closure of the entries of E

Fundamental theorem of finite automata

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Theorem

The entries of E^* are

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Theorem

The family of behaviours of weighted automata over M

with coefficients in \mathbb{K} is rationally closed.

The collect theorem

$\mathbb{K}\langle\langle A^* \times B^* \rangle\rangle$ is isomorphic to $[\mathbb{K}\langle\langle B^* \rangle\rangle]\langle\langle A^* \rangle\rangle$

Theorem

Under the above isomorphism,

$\mathbb{K}\text{Rat } A^* \times B^*$ corresponds to $[\mathbb{K}\text{Rat } B^*]\text{Rat } A^*$

Lecture III

Recognisability

Outline of Lecture III

- ▶ **Representation** and recognisable series.
- ▶ Automata over free monoids are **representations**
- ▶ The notion of **action** and deterministic automata
- ▶ The **reachability** space and the control morphism
- ▶ The notion of **quotient** and the minimal automaton
- ▶ The **observation** morphism
- ▶ The **representation** theorem

Recognisable series

\mathbb{K} semiring

A^* free monoid

Recognisable series

\mathbb{K} semiring

A^* free monoid

\mathbb{K} -representation

Q finite

$$\mu: A^* \rightarrow \mathbb{K}^{Q \times Q}$$

morphism

$$(I, \mu, T)$$

$$I \in \mathbb{K}^{1 \times Q}$$

$$\mu: A^* \rightarrow \mathbb{K}^{Q \times Q}$$

$$T \in \mathbb{K}^{Q \times 1}$$

Recognisable series

\mathbb{K} semiring

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\mathbb{K} -representation

Q finite

$\mu: A^* \rightarrow \mathbb{K}^{Q \times Q}$

morphism

(I, μ, T)

$I \in \mathbb{K}^{1 \times Q}$

$\mu: A^* \rightarrow \mathbb{K}^{Q \times Q}$

$T \in \mathbb{K}^{Q \times 1}$

(I, μ, T) realises (recognises) $s \in \mathbb{K}\langle\langle A^* \rangle\rangle$

$\forall w \in A^* \quad \langle s, w \rangle = I \cdot \mu(w) \cdot T$

Recognisable series

\mathbb{K} semiring

A^* free monoid

\mathbb{K} -representation

Q finite $\mu: A^* \rightarrow \mathbb{K}^{Q \times Q}$ morphism

(I, μ, T) $I \in \mathbb{K}^{1 \times Q}$ $\mu: A^* \rightarrow \mathbb{K}^{Q \times Q}$ $T \in \mathbb{K}^{Q \times 1}$

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$s \in \mathbb{K}\langle\langle A^* \rangle\rangle$ **recognisable** if s realised by a \mathbb{K} -representation

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$s \in \mathbb{K}\langle\langle A^* \rangle\rangle$ **recognisable** if s realised by a \mathbb{K} -representation

$\mathbb{K}\text{Rec } A^* \subseteq \mathbb{K}\langle\langle A^* \rangle\rangle$ submodule of recognisable series

Recognisable series

\mathbb{K} semiring

A^* free monoid

\mathbb{K} -representation

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Example

$$I = (1 \ 0) , \quad \mu(a) = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} , \quad \mu(b) = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} , \quad T = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

(I, μ, T) realises $\sum_{w \in A^*} |w|_b w \in \mathbb{K}\text{Rec } A^*$

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M monoid

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$\mathbb{K}\text{Rec } M \subseteq \mathbb{K}\langle\langle M \rangle\rangle$ submodule of recognisable series

The key lemma

\mathbb{K} semiring

A^* free monoid

The key lemma

\mathbb{K} semiring

A^* free monoid

$$\mu: A^* \rightarrow \mathbb{K}^{Q \times Q}$$

defined by

$$\{\mu(a)\}_{a \in A}$$

The key lemma

\mathbb{K} semiring

M monoid

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The key lemma

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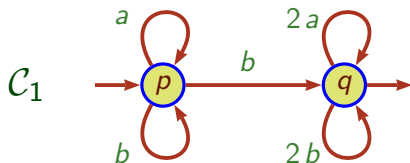
$\mu: A^* \rightarrow \mathbb{K}^{Q \times Q}$ defined by $\{\mu(a)\}_{a \in A}$

Lemma

$$\mu: A^* \rightarrow \mathbb{K}^{Q \times Q} \quad X = \sum_{a \in A} \mu(a) a$$

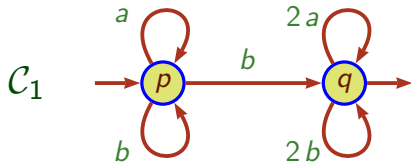
$$\forall w \in A^* \quad \langle X^*, w \rangle = \mu(w)$$

Automata are matrices



$$\mathcal{C}_1 = \langle I_1, E_1, T_1 \rangle = \left\langle \begin{pmatrix} 1 & 0 \end{pmatrix}, \begin{pmatrix} a+b & b \\ 0 & 2a+2b \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right\rangle .$$

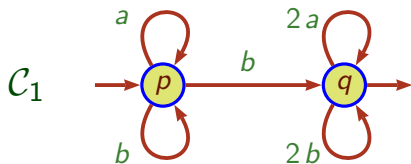
Automata over free monoids are representations



$$\mathcal{C}_1 = \langle h_1, E_1, T_1 \rangle = \left\langle \begin{pmatrix} 1 & 0 \end{pmatrix}, \begin{pmatrix} a+b & b \\ 0 & 2a+2b \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right\rangle .$$

$$E_1 = \begin{pmatrix} 1 & 0 \\ 0 & 2 \end{pmatrix} a + \begin{pmatrix} 1 & 1 \\ 0 & 2 \end{pmatrix} b$$

Automata over free monoids are representations

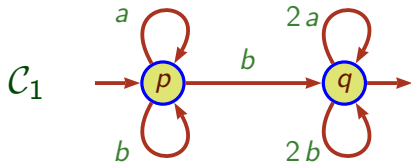


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Automata over free monoids are representations



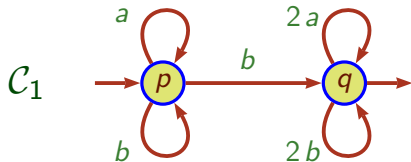
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$$|\mathcal{C}_1| = h_1 \cdot E_1^* \cdot T_1 = \sum_{w \in A^*} (h_1 \cdot \mu_1(w) \cdot T_1) w$$

Automata over free monoids are representations



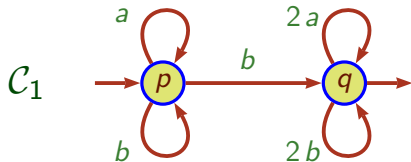
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$$|\mathcal{C}_1| = h_1 \cdot E_1^* \cdot T_1 = \sum_{w \in A^*} (h_1 \cdot \mu_1(w) \cdot T_1) w \quad |\mathcal{C}_1| \in \mathbb{K}\text{Rec } A^*$$

Automata over free monoids are representations



$$\mathcal{C}_1 = \langle I_1, E_1, T_1 \rangle = \left\langle \begin{pmatrix} 1 & 0 \\ 0 & 2 \end{pmatrix}, \begin{pmatrix} a+b & b \\ 0 & 2a+2b \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right\rangle .$$

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Conversely, representations are automata

The Kleene-Schützenberger Theorem

Fundamental Theorem of Finite Automata and Key Lemma
yield

Theorem

$$A \text{ finite} \Rightarrow \mathbb{K}\text{Rec } A^* = \mathbb{K}\text{Rat } A^*$$

The reachability set

$$\mathcal{A} = (I, \mu, T)$$

The reachability set

$$\mathcal{A} = (I, \mu, T)$$

Reachability set

$$\mathbf{R}_{\mathcal{A}} = \{I \cdot \mu(w) \mid w \in A^*\}$$

Reachability space

$$\mathbf{R}_{\mathcal{A}} \subseteq \mathbb{K}^Q$$

$$\langle \mathbf{R}_{\mathcal{A}} \rangle$$

The reachability set

$$\mathcal{A} = (I, \mu, T)$$

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Reachability space

$$\mathbf{R}_{\mathcal{A}} \subseteq \mathbb{K}^Q$$

$$\langle \mathbf{R}_{\mathcal{A}} \rangle$$

$$A^* \text{ acts on } \mathbf{R}_{\mathcal{A}} : \quad (I \cdot \mu(w)) \cdot a = (I \cdot \mu(w)) \cdot \mu(a) = I \cdot \mu(wa)$$

The reachability set

$$\mathcal{A} = (I, \mu, T)$$

Reachability set

$$\mathbf{R}_{\mathcal{A}} = \{I \cdot \mu(w) \mid w \in A^*\}$$

Reachability space

$$\mathbf{R}_{\mathcal{A}} \subseteq \mathbb{K}^Q \quad \langle \mathbf{R}_{\mathcal{A}} \rangle$$

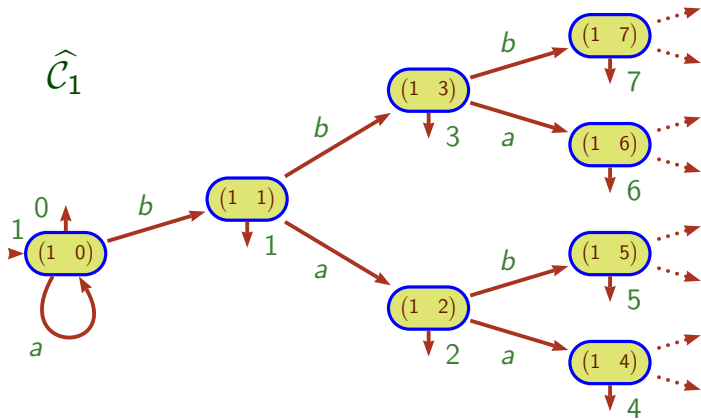
$$A^* \text{ acts on } \mathbf{R}_{\mathcal{A}} : \quad (I \cdot \mu(w)) \cdot a = (I \cdot \mu(w)) \cdot \mu(a) = I \cdot \mu(wa)$$

This action turns

$\mathbf{R}_{\mathcal{A}}$ into a **deterministic automaton** $\hat{\mathcal{A}}$
(possibly infinite)

The reachability set

$$C_1 = (I_1, \mu_1, T_1)$$



The reachability set

$$\mathcal{A} = (I, \mu, T)$$

Reachability set

$$\mathbf{R}_{\mathcal{A}} = \{I \cdot \mu(w) \mid w \in A^*\}$$

Reachability space

$$\mathbf{R}_{\mathcal{A}} \subseteq \mathbb{K}^Q \quad \langle \mathbf{R}_{\mathcal{A}} \rangle$$

$\mathbf{R}_{\mathcal{A}}$ is turned into a deterministic automaton $\hat{\mathcal{A}}$

The reachability set

$$\mathcal{A} = (I, \mu, T)$$

Reachability set

$$\mathbf{R}_{\mathcal{A}} = \{I \cdot \mu(w) \mid w \in A^*\}$$

Reachability space

$$\mathbf{R}_{\mathcal{A}} \subseteq \mathbb{K}^Q$$

$$\langle \mathbf{R}_{\mathcal{A}} \rangle$$

$\mathbf{R}_{\mathcal{A}}$ is turned into a deterministic automaton $\hat{\mathcal{A}}$

If $\mathbb{K} = \mathbb{B}$, $\hat{\mathcal{A}}$ is the (classical) determinisation of \mathcal{A}

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Counting in a locally finite semiring is not really counting

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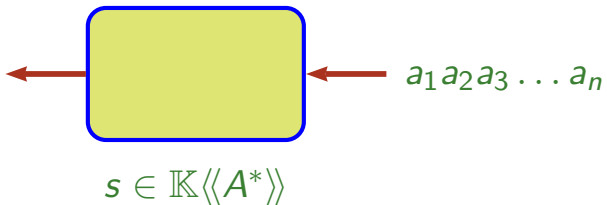
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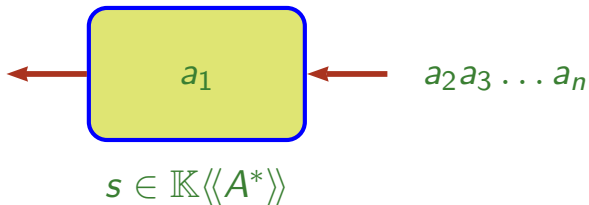
The control morphism is a morphism of actions

A basic construct: the quotient of series



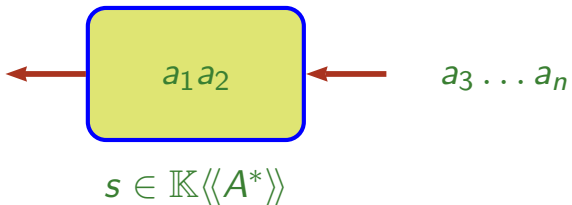
The input belongs to a free monoid A^*

A basic construct: the quotient of series



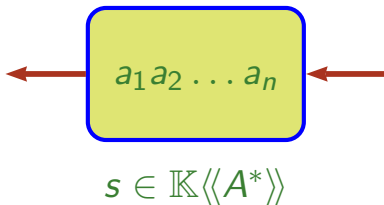
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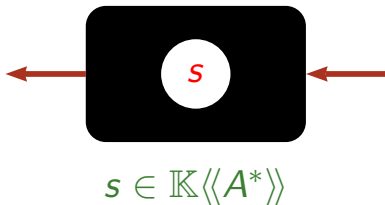
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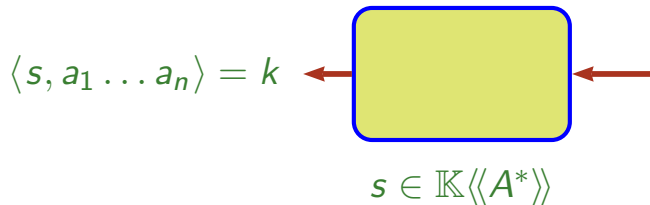
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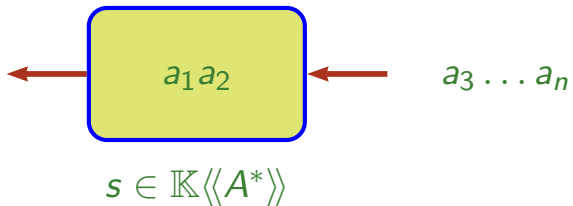
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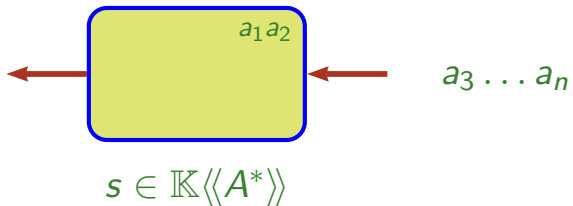


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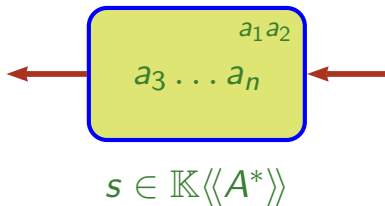
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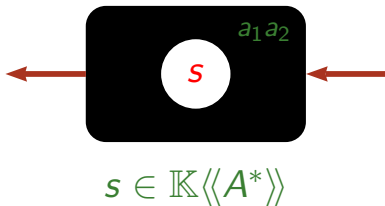
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A basic construct: the quotient of series



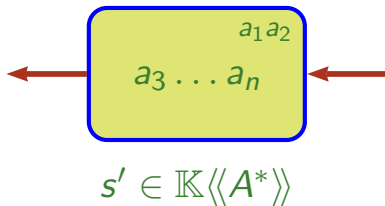
A basic construct: the quotient of series

$$\langle s, a_1 \dots a_n \rangle = k$$



$$s \in \mathbb{K}\langle\langle A^* \rangle\rangle$$

A basic construct: the quotient of series



A basic construct: the quotient of series



A basic construct: the quotient of series



$$k = \langle s', a_3 \dots a_n \rangle = \langle s, a_1 a_2 a_3 \dots a_n \rangle$$

A basic construct: the quotient of series

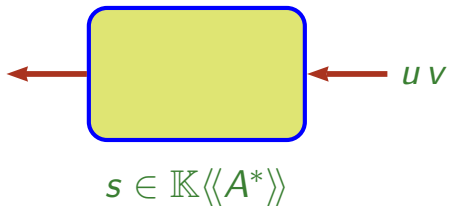


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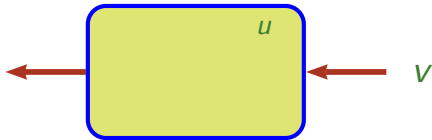
$$s' = [a_1 a_2]^{-1} s$$

The series s' is *the quotient* of s by $a_1 a_2$

A basic construct: the quotient of series



A basic construct: the quotient of series



A basic construct: the quotient of series



$$k = \langle s', v \rangle = \langle s, uv \rangle$$

A basic construct: the quotient of series



$$k = \langle s', v \rangle = \langle s, uv \rangle$$

$$s' = u^{-1}s$$

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The quotient operation

$$s \in \mathbb{K}\langle\langle A^* \rangle\rangle$$

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endomorphism of \mathbb{K} -modules

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$v^{-1}: \mathbb{K}\langle\langle A^* \rangle\rangle \longrightarrow \mathbb{K}\langle\langle A^* \rangle\rangle$ endomorphism of \mathbb{K} -modules

$$v^{-1}(s + t) = v^{-1}s + v^{-1}t \quad v^{-1}(ks) = k(v^{-1}s)$$

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Quotient is a (right) **action** of A^* on $\mathbb{K}\langle\langle A^* \rangle\rangle$

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Quotient is a (right) **action** of A^* on $\mathbb{K}\langle\langle A^* \rangle\rangle$

$$(uv)^{-1}s = v^{-1}(u^{-1}s)$$

The minimal automaton

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Quotient turns

\mathbf{R}_s into the **minimal automaton** \mathcal{A}_s of s
(possibly infinite)

The observation morphism

$$\mathcal{A} = (I, \mu, T)$$

$$\Phi_{\mathcal{A}}: \mathbb{K}^Q \longrightarrow \mathbb{K}\langle\langle A^* \rangle\rangle$$

$$\Phi_{\mathcal{A}}(x) = |(x, \mu, T)| = \sum_{w \in A^*} (x \cdot \mu(w) \cdot T) w$$

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$U \subseteq \mathbb{K}\langle\langle A^* \rangle\rangle$ submodule U stable (by quotient)

Theorem (Fliess 71, Jacob 74)

$s \in \mathbb{K}\text{Rec } A^* \iff \exists U \text{ stable } \textit{finitely generated} \ s \in U$

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 1_{A^*} \in & \mathbb{K}\langle A^* \rangle & \xrightarrow{A^*} & \mathbb{K}\langle A^* \rangle \\
 & \downarrow \Psi_A & & \downarrow \Psi_A \\
 I \in \text{Im } \Psi_A & \mathbb{K}^Q & \xrightarrow{A^*} & \mathbb{K}^Q \\
 & \downarrow \Phi_A & & \downarrow \Phi_A \\
 s \in \Phi_A(\text{Im } \Psi_A) & \mathbb{K}\langle\langle A^* \rangle\rangle & \xrightarrow{A^*} & \mathbb{K}\langle\langle A^* \rangle\rangle
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Lecture IV

Reduction and morphisms

Outline of Lecture IV

- ▶ An appetizing theorem
- ▶ **Reduction** of automata with weights in fields
- ▶ The **decidability of equivalence** problem
- ▶ The notion of **conjugacy** of automata
- ▶ **Out-morphisms** and **In-morphisms** of automata

An appetizing result

\mathbb{K} semiring

A^* free monoid

Definition

The *Hadamard product* of $s, t \in \mathbb{K}\langle\langle A^* \rangle\rangle$ is

$$\forall w \in A^* \quad \langle s \odot t, w \rangle = \langle s, w \rangle \langle t, w \rangle$$

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Theorem

If \mathbb{K} is *commutative*,

then $\mathbb{K}\text{Rec } A^*$ is closed under Hadamard product

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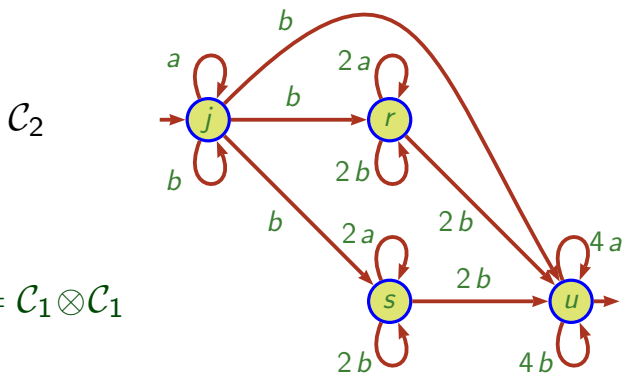
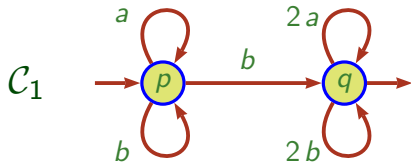
Theorem

If \mathbb{K} is *commutative*,

then $\mathbb{K}\text{Rec } A^*$ is closed under Hadamard product

$$|(I, \mu, T) \odot (J, \kappa, U)| = |(I \otimes J, \mu \otimes \kappa, T \otimes U)|$$

An appetizing result



$$\mathcal{C}_2 = \mathcal{C}_1 \otimes \mathcal{C}_1$$

Reduced representation

$$\mathcal{A} = (I, \mu, T)$$

\mathcal{A} is *reduced* if its *dimension* is *minimal*
(among all equivalent representations)

We suppose now that \mathbb{K} is a (skew) *field*

Proposition

\mathcal{A} is *reduced* iff $\Psi_{\mathcal{A}}$ is *surjective* and $\Phi_{\mathcal{A}}$ *injective*

Theorem

A reduced representation of $|\mathcal{A}|$ is *effectively computable*
(with *cubic* complexity)

Corollary

Equivalence of \mathbb{K} -recognisable series is *decidable*

Equivalence of weighted automata

Equivalence of weighted automata with weights in

the Boolean semiring \mathbb{B}	decidable
a subsemiring of a field	decidable
$(\mathbb{Z}, \min, +)$	undecidable
$\text{Rat } B^*$	undecidable
$\text{NRat } B^*$	decidable

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$(\mathbb{Z}, \min, +)$	undecidable

$\text{Rat } B^*$	undecidable
$\text{NRat } B^*$	decidable

Equivalence of transducers	undecidable
transducers with multiplicity in \mathbb{N}	decidable

functional transducers	decidable
finitely ambiguous $(\mathbb{Z}, \min, +)$	decidable

Conjugacy of automata

Definition

Let $\mathcal{A} = \langle I, E, T \rangle$ and $\mathcal{B} = \langle J, F, U \rangle$ be two \mathbb{K} -automata.

\mathcal{A} is conjugate to \mathcal{B} if

$$\exists X \text{ } \mathbb{K}\text{-matrix} \quad IX = J, \quad EX = XF, \quad \text{and} \quad T = XU$$

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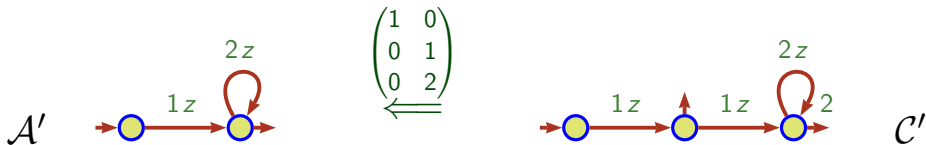
Conjugacy of automata

$$\mathcal{C}' = \left\langle (1 \ 0 \ 0), \begin{pmatrix} 0 & z & 0 \\ 0 & 0 & z \\ 0 & 0 & 2z \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix} \right\rangle \quad \mathcal{A}' = \left\langle (1 \ 0), \begin{pmatrix} 0 & z \\ 0 & 2z \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right\rangle$$

$$(1 \ 0 \ 0) \cdot \begin{pmatrix} 1 & 0 \\ 0 & 1 \\ 0 & 2 \end{pmatrix} = (1 \ 0),$$

$$\begin{pmatrix} 0 & z & 0 \\ 0 & 0 & z \\ 0 & 0 & 2z \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 \\ 0 & 1 \\ 0 & 2 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \\ 0 & 2 \end{pmatrix} \cdot \begin{pmatrix} 0 & z \\ 0 & 2z \end{pmatrix},$$

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$$IETT = IEEXU = IEXFU = IXFFU$$

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$$I E E T = I E E X U = I E X F U = I X F F U = J F F U$$

$$\text{and then} \quad I E^* T = J F^* U$$

Morphisms of weighted automata

Definition

A map $\varphi: Q \rightarrow R$ defines a $(Q \times R)$ -**amalgamation matrix** H_φ

$$\varphi_2: \{j, r, s, u\} \rightarrow \{i, q, t\} \quad \text{defines} \quad H_{\varphi_2} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Morphisms of weighted automata

Definition

$\mathcal{A} = \langle I, E, T \rangle$ and $\mathcal{B} = \langle J, F, U \rangle$ \mathbb{K} -automata
of dimension Q and R .

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$$I H_\varphi = J, \quad E H_\varphi = H_\varphi F, \quad T = H_\varphi U$$

\mathcal{B} is a **quotient** of \mathcal{A}

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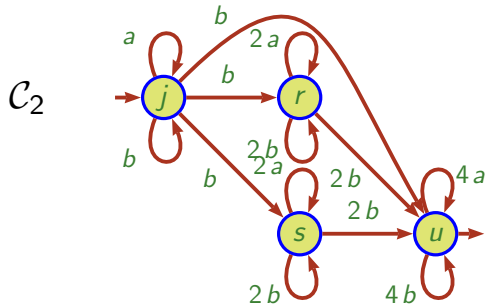
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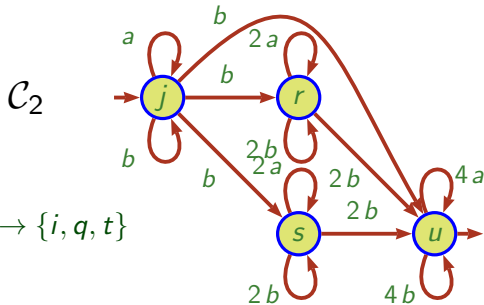
Directed notion

Price to pay for the **weight**

Morphisms of weighted automata

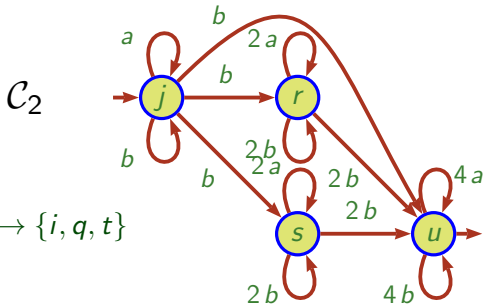


Morphisms of weighted automata



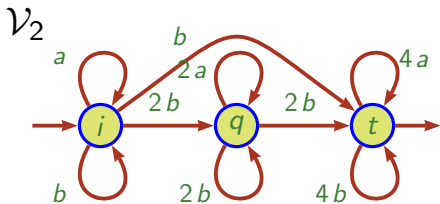
$$H_{\varphi_2} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Morphisms of weighted automata



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$$C_2 \xrightarrow{H_{\varphi_2}} \mathcal{V}_2$$

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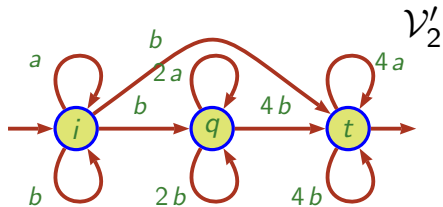
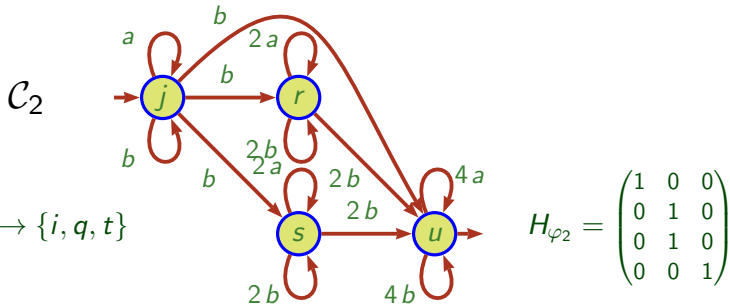
$$J {}^t H_\varphi = I, \quad F {}^t H_\varphi = {}^t H_\varphi E, \quad U = {}^t H_\varphi T$$

\mathcal{B} is a **co-quotient** of \mathcal{A}

Directed notion

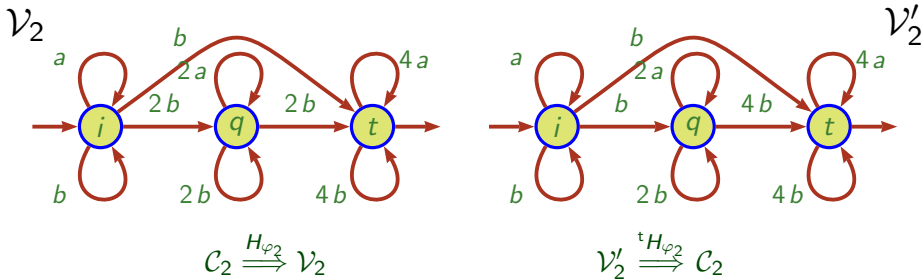
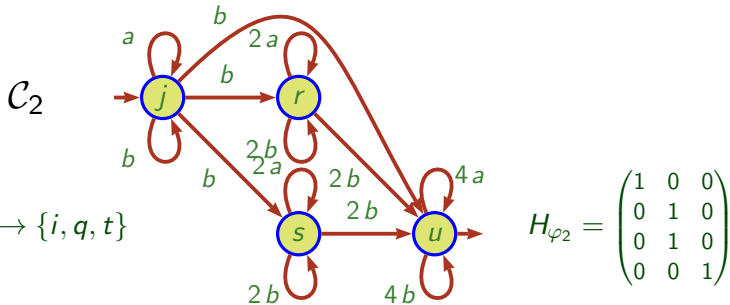
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Morphisms of weighted automata



$$\mathcal{V}'_2 \xrightarrow{H_{\varphi_2}} C_2$$

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Theorem

Every \mathbb{K} -automaton has a **minimal** quotient
that is effectively computable (by Moore algorithm).

Documents for these lectures

To be found at

<http://www.telecom-paristech.fr/~jsaka/EWSCS2014/>

In particular, a set of instructions for downloading

a $-\alpha$ release of a pre-experimental version of

the VAUCANSON 2 platform

implemented as a virtual machine interfaced with IPython