PROCEEDINGS OF SPIE

SPIEDigitalLibrary.org/conference-proceedings-of-spie

Recent advances in high-speed data communications using mid-infrared quantum cascade lasers

Frédéric Grillot, Olivier Spitz, Pierre Didier, Hamza Dely, Thomas Bonazzi, et al.

> Frédéric Grillot, Olivier Spitz, Pierre Didier, Hamza Dely, Thomas Bonazzi, Elie Awwad, Angela Vasanelli, Carlo Sirtori, "Recent advances in high-speed data communications using mid-infrared quantum cascade lasers," Proc. SPIE 12440, Novel In-Plane Semiconductor Lasers XXII, 124400H (15 March 2023); doi: 10.1117/12.2651132



Event: SPIE OPTO, 2023, San Francisco, California, United States

Recent advances in high-speed data communications using mid infrared quantum cascade lasers

Frédéric Grillot^{1,2,*}, Olivier Spitz^{1,5}, Pierre Didier^{1,3}, Hamza Dely⁴, Thomas Bonazzi⁴, Elie Awwad¹, Angela Vasanelli⁴, and Carlo Sirtori⁴

¹LTCI Telecom Paris, Institut Polytechnique de Paris, 19 Place Marguerite Perey, Palaiseau 91120, France

²Center for High Technology Materials, University of New-Mexico, 1313 Goddard SE, Albuquerque, New Mexico 87106, USA

³mirSense, Centre d'intégration NanoInnov, 8 Avenue de la Vauve, Palaiseau 91120, France ⁴Laboratoire de Physique de l'École Normale Supérieure, ENS, Université PSL, CNRS,

Sorbonne Université, Université Paris Cité, 24 rue Lhomond, 75005 Paris, France

⁵now with CREOL, College of Optics and Photonics, University of Central Florida, Orlando,

Florida 32816, USA

ABSTRACT

Mid-Wave Infrared (MIR) free-space optical communication offers multiple advantages, such as improved transmission capacity through the atmosphere and immunity to electromagnetic interference. In addition, MIR transmission between 8-12 microns provides stealth for the communication signal thanks to the random thermal blackbody radiation having a strong background at these wavelengths, hence greatly reducing the probability of adversaries intercepting a MIR laser signal. Quantum cascade lasers (QCL) are optical sources of choice to target this wavelength domain. They are unipolar semiconductor lasers from which stimulated emission is obtained via electronic transitions between discrete energy states inside the conduction band. This work reports on a full unipolar quantum optoelectronics communication system based on a 9-micron QCL and on a Starkeffect modulator. Two different receivers are considered for high-speed detection, namely an uncooled quantum cascade detector (QCD) and a nitrogen-cooled quantum well infrared photodetector (QWIP). We evaluate the maximum data rate of our link in a back-to-back (B2B) configuration before adding a multi-pass Herriott cell so as to increase the transmission length of the light path up to 31 meters. By using pulse shaping, pre- and post-processing, we reach a record bitrate both 2-level (OOK) and 4-level (PAM-4) modulation scheme for a 31-meter propagation link and a bit error rate (BER) compatible with standard error-correction codes. Overall, we believe that our unipolar quantum system is of paramount importance for the development of cost-effective. reliable and versatile free-space optics data links.

Keywords: quantum cascade devices, unipolar quantum optoelectronics, mid-infrared modulator, high-speed photonics, free-space communication

1. INTRODUCTION

The beginning of the 21st century has seen the apogee of fiber telecommunication with wavelength division multiplexed systems exhibiting more than 100 Gbit/s per channel.¹ Yet, alternatives are sought after in order to deploy future generations of communication systems. One of the options relies on free-space optics (FSO) communication with either near-infrared lasers^{2,3} that were initially optimized for fiber communications or with longer wavelengths.^{4,5} The mid-infrared domain has two bands of interest (between 3-5 μ m and between 8-12 μ m, respectively) for FSO, which are called atmospheric transparency windows.⁶ In particular, mid-infrared wavelengths are known for their resistance to degraded weather conditions, such as fog, and could thus be

F.G.: E-mail: grillot@telecom-paris.fr

Novel In-Plane Semiconductor Lasers XXII, edited by Alexey A. Belyanin, Peter M. Smowton, Proc. of SPIE Vol. 12440, 124400H · © 2023 SPIE · 0277-786X · doi: 10.1117/12.2651132

Further author information:



Figure 1. Experimental setup of the high-speed data communication at mid-infrared wavelength. The setup includes three unipolar quantum devices: a quantum cascade laser, an amplitude Stark-effect modulator and a quantum well infrared photodetector (or alternatively, a quantum cascade detector).

useful for communications in maritime environments where visible wavelength is also a potential candidate.⁷ Despite a few proof-of-concept experiments of outside mid-infrared free-space transmissions,⁸ those wavelengths still lack critical off-the-shelf components that are widely available at near-infrared wavelength, such as external modulators and optical amplifiers. Recent years have seen the development of various technologies for external modulation of mid-infrared light,⁹⁻¹¹ but their electrical bandwidth is lower than that of RF-optimized QCLs.^{12,13} This explains why, so far, experiments demonstrating high-speed transmission with QCLs focused on direct modulation schemes.^{14–16} With the latter, it is possible to achieve multi-Gbit/s data rates or uncompressed HDvideo transmission.¹⁷ However, some applications require faster data rates, up to 100 Gbit/s, equivalent to those already demonstrated with near-infrared semiconductor lasers. We recently unveiled novel amplitude Stark-effect modulators operating at a wavelength of 9 μ m.¹⁸ By modulating the voltage of these external modulators, one can change the wavelength of the maximum of absorption and thus attenuate or transmit the light coming from a QCL. These modulators combine a modulation bandwidth of several GHz with a large modulation depth, which are ideal for high-speed free-space communication at mid-infrared wavelength. In this work, we show that the combination of a room temperature QCL, an external Stark-effect modulator, a 31-meter multi-pass cell and a cryogenic intersubband detector allows one to achieve free-space data rates of several dozens of Gbit/s and this paves the way towards outdoors long-distance communication based on unipolar quantum technologies.

2. EXPERIMENTAL SETUP

We carry out the experimental demonstration with the setup presented in Fig. 1. The QCL is biased high above threshold so that it can emit roughly 100 mW of optical power at 9 μ m. This optical power is more than enough to mitigate the optical losses of our channel at this wavelength and would be compatible with a transmission distance of hundreds of meters. The laser is kept at a stabilized room temperature thanks to a temperature controller. The mid-infrared beam first impinges the amplitude Stark-effect modulator that is linked to a custom coplanar waveguide and RF-mounted for high-speed operation. This modulator is both DC-biased and electrically driven with the message to be transmitted. The characteristics of the optical channel¹⁹ must be taken into account in order to pre-map (i. e. voluntarily distort) the electrical signal to be transmitted so that it complies with the limited bandwidth of the full system. The signal is pre-mapped with a Matlab program and then loaded to the Socionext arbitrary waveform generator (AWG) with a fixed sampling per symbol. The AWG



Figure 2. (a) Eye diagram of the transmission when a 20 Gbit/s OOK message is applied to the external modulator and the QWIP is located right after the modulator. (b) Eye diagram of the transmission when a 20 Gbit/s OOK message is applied to the external modulator and the Herriott cell extends the length of the optical path, as shown in Fig. 1. With this latter configuration, the BER shows a slight degradation but this does not affect the error-correction processing.



Figure 3. (a) Eye diagram of the transmission when a 20 Gbit/s PAM-4 message is applied to the external modulator and the Herriott cell extends the length of the optical path, as shown in Fig. 1.

acts as a digital-to-analog converter with a maximum bandwidth of 30 GHz and a maximum peak-to-peak output voltage of 1.5 V. Because there is a strong impedance mismatch between the AWG output and the modulator input, a 25-dB amplifier is required in order to achieve a large modulation depth. After the modulation step, the mid-infrared light escapes the modulator, enters the Herriott cell (HC) and bounces 80 times (that corresponds to a free-space propagation of 31 meters) before exiting and being collected by either a room-temperature quantum cascade detector (QCD) or a cryogenic quantum well infrared photodetector (QWIP). The detector converts the mid-infrared light into an electrical signal that is subsequently amplified with a 25-dB amplifier before being recorded with a 100 GS/s oscilloscope (Tektronix, DPO70000SX, 33 GHz bandwidth). The recorded signal is then post-processed with steps including resampling at a constant sampling per symbol and feed-forward equalization (FFE). Finally, the bit error rate (BER) is derived and the eye diagram is plotted. When the Herriott cell is not inserted between the modulator and the detector, the total propagation distance is roughly 2 meters and this corresponds to a back-to-back (B2B) configuration.

3. RESULTS

In the following, we apply PRBS (pseudo-random binary sequence) signals to the external modulator and the sequence length is 2^{15} symbols for the three configurations described hereafter. An increase of the transmission distance has an impact on the quality of the transmission and this can be analyzed with the bit error rate (BER). This is shown in Fig. 2 where the eye diagram of a 20 Gbit/s communication is shown, first for a back-to-back configuration (Fig. 2 (a)) and then for a Herriott cell configuration (Fig. 2 (b)) when considering a 2-level modulation format (OOK, on-off keying). The two eye diagrams look similar but a closer look at the BER shows that the error rate is 0.0054% in the short-range communication while it is 0.014% in the long-range communication. In a real-field experiment with propagation of hundreds of meters, the attenuation of the atmosphere should give a similar trend. In this experiment, the degradation of the BER does not mainly come from the free-space propagation but from the small absorption (in the order of 1%) of the two mirrors inside the Herriott cell. As the light is reflected 80 times by one of these two mirrors before reaching the detector, the mid-infrared power at the output of the Herriott cell is actually less than 50% of the mid-infrared power at the input of the Herriott cell. In other words, adding the multi-pass cell leads to a ~ 3-dB attenuation. In comparison, this is roughly the attenuation caused by Mie scattering at 9 μ m when the beam travels 900 meters when the visibility is 1 km (fog conditions).²⁰

Apart from the OOK format, we also tested a 4-level modulation format (PAM-4, pulse amplitude modulation) at 10 Gbaud, which corresponds to 20 Gbit/s too (Fig. 3). When the Herriott cell is introduced in the optical path, the BER is now 0.15 %, corresponding to degraded conditions of operation compared to the OOK format. Yet, the BER previously shown for the OOK format and that for the PAM-4 format are low enough to be corrected with conventional error codes. Indeed, when the BER is below 0.38%, it is possible to get rid of all the remaining errors by adding a 7% bit overhead. For the 20 Gbit/s example shown here, this means that the error-free transmission rate becomes 18.6 Gbit/s. This data rate is sufficient to transmit uncompressed high-quality videos with 4K60 (3840 pixels \times 2160 pixels at 60 frames per second) resolution and HDR (high dynamic range) format and this will improve our current direct-modulation setup that can only transmit videos with 720p30 (1280 pixels \times 720 pixels at 30 frames per second) resolution.¹⁷

4. CONCLUSIONS

This paper demonstrates a novel free-space laser transmission system operating at 9 μ m and based on unipolar quantum technology. We showed data transmission at 20 Gbit/s for both an OOK format and a PAM-4 format when the free-space propagation distance is roughly 30 meters. In those configurations, the BER is found fully compatible with standard error-correction codes working with a 7% bit overhead. Recent findings in intersubband technology are very promising for the development of new high-speed applications. QWIP detectors working up to 220 GHz are already available²¹ and could be the cornerstone of hybrid photonics-wireless transmission systems based on mid-infrared heterodyne photomixing,²² in combination with an amplitude Stark-effect modulator like the one presented in this proof-of-concept communication experiment. In addition, recent efforts about private free-space communication at mid-infrared wavelength²³ could take advantage of external modulators to increase the rate of the private datacom. Overall, the 20 Gbit/s FSO communication results shown here are of paramount importance for the development of cost-effective, reliable and versatile free-space data links in the mid-infrared.

Acknowledgments

The authors acknowledge the financial support of the Direction Générale de l'Armement (DGA) and the ENS-Thales Chair. Authors also would like to thank Adel Bousseksou (C2N) for providing the liquid nitrogen.

REFERENCES

 G. Charlet, J. Renaudier, H. Mardoyan, P. Tran, O. B. Pardo, F. Verluise, M. Achouche, A. Boutin, F. Blache, J.-Y. Dupuy, et al., "Transmission of 16.4-bit/s capacity over 2550 km using PDM QPSK modulation format and coherent receiver," *Journal of Lightwave Technology* 27(3), pp. 153–157, 2009.

- [2] L. Li, R. Zhang, Z. Zhao, G. Xie, P. Liao, K. Pang, H. Song, C. Liu, Y. Ren, G. Labroille, et al., "High-Capacity Free-Space Optical Communications Between a Ground Transmitter and a Ground Receiver via a UAV Using Multiplexing of Multiple Orbital-Angular-Momentum Beams," Scientific Reports 7(1), p. 17427, 2017.
- [3] J. Poliak, R. M. Calvo, and F. Rein, "Demonstration of 1.72 Tbit/s optical data transmission under worstcase turbulence conditions for ground-to-geostationary satellite communications," *IEEE Communications Letters* 22(9), pp. 1818–1821, 2018.
- [4] O. Spitz, P. Didier, L. Durupt, D. A. Díaz-Thomas, A. N. Baranov, L. Cerutti, and F. Grillot, "Free-space communication with directly modulated mid-infrared quantum cascade devices," *IEEE Journal of Selected Topics in Quantum Electronics* 28(1: Semiconductor Lasers), pp. 1–9, 2021.
- [5] X. Pang, O. Ozolins, S. Jia, L. Zhang, R. Schatz, A. Udalcovs, V. Bobrovs, H. Hu, T. Morioka, Y.-T. Sun, et al., "Bridging the terahertz gap: Photonics-assisted free-space communications from the submillimeterwave to the mid-infrared," *Journal of Lightwave Technology* 40(10), pp. 3149–3162, 2022.
- [6] L. Flannigan, L. Yoell, and C. Xu, "Mid-wave and long-wave infrared transmitters and detectors for optical satellite communications-a review," *Journal of Optics* 24(4), p. 043002, 2022.
- [7] H. Song, R. Zhang, N. Hu, H. Zhou, X. Su, H. Song, K. Zou, K. Pang, C. Liu, D. Park, et al., "Dynamic aerosol and dynamic air-water interface curvature effects on a 2-Gbit/s free-space optical link using orbitalangular-momentum multiplexing," Nanophotonics 11(4), pp. 885–895, 2022.
- [8] S. Blaser, D. Hofstetter, M. Beck, and J. Faist, "Free-space optical data link using Peltier-cooled quantum cascade laser," *Electronics Letters* **37**(12), pp. 778–780, 2001.
- [9] S. Pirotta, N.-L. Tran, A. Jollivet, G. Biasiol, P. Crozat, J.-M. Manceau, A. Bousseksou, and R. Colombelli, "Fast amplitude modulation up to 1.5 GHz of mid-IR free-space beams at room-temperature," *Nature communications* 12(1), p. 799, 2021.
- [10] T. H. N. Nguyen, N. Koompai, V. Turpaud, M. Montesinos-Ballester, J. Peltier, J. Frigerio, A. Ballabio, R. Giani, J.-R. Coudevylle, C. Villebasse, *et al.*, "1 GHz electro-optical silicon-germanium modulator in the 5-9 μm wavelength range," *Optics Express* **30**(26), pp. 47093–47102, 2022.
- [11] G. V. Georgiev, W. Cao, W. Zhang, L. Ke, D. J. Thomson, G. T. Reed, M. Nedeljkovic, and G. Z. Mashanovich, "Near-IR & Mid-IR Silicon Photonics Modulators," Sensors 22(24), p. 9620, 2022.
- [12] Y. Zhou, J. Liu, S. Zhai, N. Zhuo, J. Zhang, S. Liu, L. Wang, F. Liu, and Z. Wang, "High-speed operation of single-mode tunable quantum cascade laser based on ultra-short resonant cavity," *AIP Advances* 11(1), p. 015325, 2021.
- [13] X. Gao, K. Yang, Y.-X. Zhu, J.-Q. Liu, S.-Q. Zhai, S.-M. Liu, N. Zhuo, J.-C. Zhang, L.-J. Wang, F.-Q. Liu, et al., "Room-temperature high-speed mid-infrared quantum cascade laser with π -shape metal contact," *Electronics Letters* **59**(2), p. e12704, 2023.
- [14] J. Mikołajczyk, "A comparison study of data link with medium-wavelength infrared pulsed and CW quantum cascade lasers," in *Photonics*, 8(6), p. 203, MDPI, 2021.
- [15] O. Spitz, K. Yang, A. Guillaume-Manca, P. Didier, J. Liu, and F. Grillot, "Multi-Gb/s free-space communication with energy-efficient room-temperature quantum cascade laser emitting at 8.1 μm," in 2021 IEEE Photonics Conference (IPC), pp. 1–2, IEEE, 2021.
- [16] M. Joharifar, H. Dely, X. Pang, R. Schatz, D. Gacemi, T. Salgals, A. Udalcovs, Y.-T. Sun, Y. Fan, L. Zhang, et al., "High-Speed 9.6-μm Long-Wave Infrared Free-Space Transmission with a Directly-Modulated QCL and a Fully-Passive QCD," Journal of Lightwave Technology, 2022.
- [17] P. Didier, K. Yang, O. Spitz, A. Guillaume-Manca, J. Liu, and F. Grillot, "High-definition video broadcasting with a room-temperature quantum cascade laser emitting in the long-wave infrared domain," in *Novel In-Plane Semiconductor Lasers XXI*, **12021**, pp. 77–83, SPIE, 2022.
- [18] H. Dely, T. Bonazzi, O. Spitz, E. Rodriguez, D. Gacemi, Y. Todorov, K. Pantzas, G. Beaudoin, I. Sagnes, L. Li, et al., "10 Gbit s- 1 free space data transmission at 9 μm wavelength with unipolar quantum optoelectronics," Laser & Photonics Reviews 16(2), p. 2100414, 2022.
- [19] P. Didier, H. Dely, T. Bonazzi, O. Spitz, E. Awwad, E. Rodriguez, A. Vasanelli, C. Sirtori, and F. Grillot, "High-capacity free-space optical link in the midinfrared thermal atmospheric windows using unipolar quantum devices," *Advanced Photonics* 4(5), p. 056004, 2022.

- [20] A. Trichili, M. A. Cox, B. S. Ooi, and M.-S. Alouini, "Roadmap to free space optics," JOSA B 37(11), pp. A184–A201, 2020.
- [21] S. Barbieri, "Frequency response of patch-array QWIP photodetectors up to 220 GHz via mid-infrared photomixing," in *Quantum Sensing and Nano Electronics and Photonics XIX*, **12430**, SPIE, 2023.
- [22] F. Grillot, P. Didier, O. Spitz, L. Del Balzo, H. Kim, H. Dely, T. Bonazzi, E. Rodriguez, D. Gacemi, A. Vasanelli, et al., "Bridging the 100 GHz-10 THz domain with unipolar quantum optoelectronics," in *Terahertz Emitters, Receivers, and Applications XIII*, **12230**, pp. 42–48, SPIE, 2022.
- [23] O. Spitz, A. Herdt, J. Wu, G. Maisons, M. Carras, C.-W. Wong, W. Elsäßer, and F. Grillot, "Private communication with quantum cascade laser photonic chaos," *Nature communications* 12(1), p. 3327, 2021.