



IP PARIS



Multi-User communications

MICAS 921

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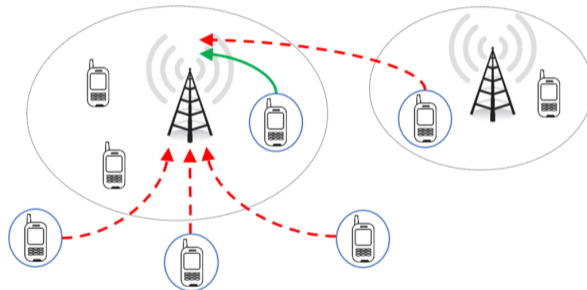




A Coordinated Uplink Scheduling and Power Control Algorithm for Multicell Networks

- A distributed iterative algorithm that schedules and allocates power jointly
- Uplink existing procedure does not account for interference
- Some Attempt with Game-theory
- [1] (Pb.4 of the lecture) is the closest related problem we have studied together
- **Usefull Toolbox from the lecture** : Fix point fractionnal programming (Dinkelbach 1967 [2]) (Jongs 2012 [3])

Framework [6]



(a) Uplink multicell network

Problem Formulation

We consider J BSs each and denote U_i the set of users associated to BS i . We would like to maximize the utility of the network.

$$(P_0) : \begin{cases} \max_{\mathbf{s}, \mathbf{p}} f_0(\mathbf{s}, \mathbf{p}) = \sum_{i=1}^J w_{s_i} \log\left(1 + \frac{|h_{i,s_i}|^2 p_{s_i}}{\sum_{j \neq i} |h_{i,s_j}|^2 p_{s_j} + \sigma^2}\right) \\ \text{s.t. } s_i \in U_i \text{ and } 0 \leq P_{s_i} \leq P \end{cases}$$

HARD! Non-Convex Mixed-Integer Problem

What makes this problem hard ?

- \mathbf{s} is discrete
- The problem is not convex in \mathbf{p}
- scheduling and power depends with neighboring cells through denominator (interference)

Then what can we do ? **Remove the interference !**

Removing the SINR

We introduce the variable γ_i for the uplink SINR at BS i . The problem can now be reformulated as

$$\left\{ \begin{array}{l} \max_{\mathbf{s}, \mathbf{p}, \boldsymbol{\gamma}} \sum_{i=1}^J w_{s_i} \log(1 + \gamma_i) \\ \text{s.t. } \gamma_i = \frac{|h_{i,s_i}|^2 p_{s_i}}{\sum_{j \neq i} |h_{i,s_j}|^2 p_{s_j} + \sigma^2} \\ s_j \in U_i \\ 0 \leq P_{s_i} \leq P \end{array} \right.$$

Lagrangian Reformulation

$$L(\mathbf{s}, \mathbf{p}, \boldsymbol{\gamma}, \boldsymbol{\lambda}) = \sum_{i=1}^J w_{s_i} \log(1 + \gamma_i) - \sum_{i=1}^J \lambda_i \left(\gamma_i - \frac{|h_{i,s_i}|^2 p_{s_i}}{\sum_{j \neq i} |h_{i,s_j}|^2 p_{s_j} + \sigma^2} \right)$$

At the optimum we obtain

$$\gamma_i = \frac{w_{s_i}}{\lambda_i} - 1$$

and

$$\lambda_i = w_{s_i} - \frac{w_{s_i} |h_{i,s_i}|^2 p_{s_i}}{\sum_j |h_{i,s_j}|^2 p_{s_j} + \sigma^2}.$$

The new formulation without SINR

$$f_r(\mathbf{s}, \mathbf{p}, \boldsymbol{\gamma}) = \sum_{i=1}^J w_{s_i} \log(1 + \gamma_i) - \sum_{i=1}^J w_{s_i} \gamma_i + \sum_{i=1}^J \frac{w_{s_i} (\gamma_i + 1) |h_{i,s_i}|^2 p_{s_i}}{\sum_j |h_{i,s_j}|^2 p_{s_j} + \sigma^2}$$

Easier! \mathbf{s}, \mathbf{p} are outside the logarithm. But how to handle the ratio? (see [5],[3])

A quadratic trick!

Lemma

Let A, B be two positive real valued function defined over the constraint set \mathcal{X} . Then

$$\left\{ \max_{x \in \mathcal{X}} \frac{A(x)}{B(x)} \right. \iff \left\{ \max_{x,y} 2y\sqrt{A(x)} - y^2 B(x) \right.$$

Démonstration.

Let $h_x : y \in \mathbb{R} \mapsto 2y\sqrt{A(x)} - y^2 B(x) \in \mathbb{R}$. Then $\max_y h_x(y) = \frac{A(x)}{B(x)}$. This proves the equivalence.

Decoupling of (s_i, p_{s_i}) over i .

$$f_r(\mathbf{s}, \mathbf{p}, \boldsymbol{\gamma}) = \sum_{i=1}^J w_{s_i} \log(1 + \gamma_i) - \sum_{i=1}^J w_{s_i} \gamma_i + \sum_{i=1}^J \frac{w_{s_i} (\gamma_i + 1) |h_{i,s_i}|^2 p_{s_i}}{\sum_j |h_{i,s_j}|^2 p_{s_j} + \sigma^2}$$

We apply the lemma J times for each ratio in the sum and switch the two sums. The function to maximise is now

$$f_q(\mathbf{s}, \mathbf{p}, \boldsymbol{\gamma}, \mathbf{y}) = \sum_{i=1}^J \left(w_{s_i} \log(1 + \gamma_i) - w_{s_i} \gamma_i - y_i^2 \sigma^2 \right. \\ \left. + 2y_i \sqrt{w_{s_i} (\gamma_i + 1) |h_{i,s_i}|^2 p_{s_i}} - \sum_{j=1}^J y_j^2 |h_{j,s_i}|^2 p_{s_i} \right)$$

Decoupled (s_i, p_{s_i}) in each cell. Easier and can be distributed!

With fixed γ, s, p , the optimal y^* is obtained by setting the gradient to zero

$$y_i^* = \frac{\sqrt{w_{s_i}(1 + \gamma_i)|h_{i,s_i}|^2 p_{s_i}}}{\sum_j |h_{i,s_j}|^2 p_{s_j} + \sigma^2}.$$

We then substitute y in the expression and find the optimal γ by setting the gradient to zero

$$\gamma_i^* = \frac{|h_{i,s_i}|^2 p_{s_i}}{\sum_{j \neq i} |h_{i,s_j}|^2 p_{s_j} + \sigma^2}.$$

Finally we compute the optimal power allocation if user s is selected at BS i ,

$$p_s^* = \min \left(\frac{w_s(1 + \gamma_i)|h_{i,s}|^2 y_i^2}{\left(\sum_j |h_{j,s}|^2 y_j^2\right)^2}, P \right).$$

Scheduling

$$s_i^* = \arg \max_{s \in \mathcal{U}_i} \left(G_i(s) - \sum_{j \neq i} D_j(s) \right)$$

where

$$G_i(s) = w_s \log(1 + \gamma_i) - w_{s_i} \gamma_i + 2y_i \sqrt{w_s(1 + \gamma_i) |h_{i,s}|^2 p_s^*} - p_s^* y_i^2 |h_{i,s}|^2$$

$$D_j(s) = y_j^2 |h_{j,s}|^2 p_s^*$$

The algorithm in a slide !

- **Input** : Channel Matrix H and convergence threshold ϵ
- **Initialization** : Initialize \mathbf{s} and \mathbf{p}
- **While not converged in each base station i do :**

- $\gamma_i \leftarrow \frac{|h_{i,s_j}|^2 p_{s_j}}{\sum_{j \neq i} |h_{i,s_j}|^2 p_{s_j} + \sigma^2}$

- $y_i \leftarrow \frac{\sqrt{w_{s_i}(1+\gamma_i)} |h_{i,s_j}|^2 p_{s_j}}{\sum_j |h_{i,s_j}|^2 p_{s_j} + \sigma^2}$

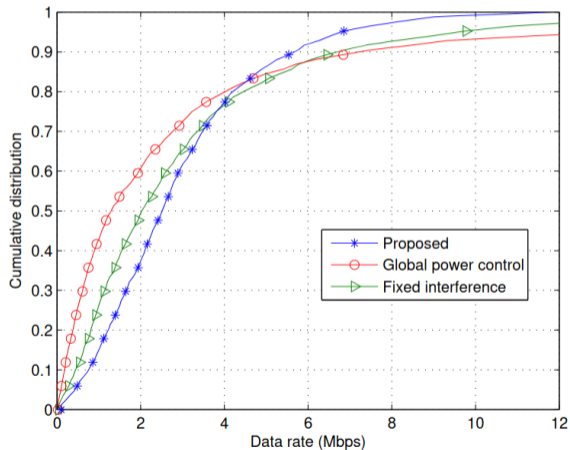
- Update (s, p) with previous equations
- Communicate (p_i, s_i) to the other base station

Convergence Property




Theorem (The algorithm converges monotonically.)

$$\begin{aligned} f_0(s^{t+1}, p^{t+1}) &= f_r(s^{t+1}, p^{t+1}, \gamma(s^{t+1}, p^{t+1})) \\ &\geq f_r(s^{t+1}, p^{t+1}, \gamma(s^t, p^t)) \\ &= f_q(s^{t+1}, p^{t+1}, \gamma(s^t, p^t), y(s^{t+1}, p^{t+1}, \gamma(s^t, p^t))) \\ &\geq f_q(s^{t+1}, p^{t+1}, \gamma(s^t, p^t), y(s^t, p^t, \gamma(s^t, p^t))) \\ &\geq f_q(s^t, p^t, \gamma(s^t, p^t), y(s^t, p^t, \gamma(s^t, p^t))) \\ &= f_r(s^t, p^t, \gamma(s^t, p^t)) \\ &= f_0(s^t, p^t) \end{aligned}$$

Experimental Validation



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Jongs Algorithm [4]

Algorithm 3.3: Jong's algorithm to optimally solve Problem 3.6.

Set $\epsilon > 0$, $t = 0$, initialize $\mathbf{u}^{(0)}$ and $\boldsymbol{\beta}^{(0)}$

Set $C_D := \epsilon + 1$.

while $C_D > \epsilon$ **do**

 Find \mathbf{x}_t^* by optimally solving the problem defined by (3.27)-(3.28) with $\mathbf{u}^{(t)}$ and $\boldsymbol{\beta}^{(t)}$.

 Set $C_D := \|\psi(\boldsymbol{\beta}^{(t)}, \mathbf{u}^{(t)}, \mathbf{x}_t^*)\|$.

 For $k = 1, \dots, j$, compute $u_k^{(t+1)}$ and $\beta_k^{(t+1)}$ using (3.31) and (3.32), respectively.

 Set $t = t + 1$.

end

Dinkelbach Algorithm [4]

Algorithm 3.1: Dinkelbach's algorithm to optimally solve Problem 3.4.

Set $\epsilon > 0$, $t = 0$ and $\lambda^{(0)} = 0$

Set $C_D = \epsilon + 1$.

while $C_D > \epsilon$ **do**

 Find \mathbf{x}_t^* by optimally solving the problem defined by (3.17)-(3.18) with $\lambda^{(t)}$.

 Set $C_D = f_0(\mathbf{x}^*) - \lambda^{(t)}h_0(\mathbf{x}^*)$.

 Compute $\lambda^{(t+1)}$ using (3.19).

 Set $t = t + 1$.

end
