

# Guidance of a diffusion model for material decomposition in photon-counting computed tomography

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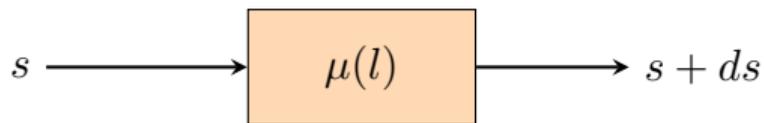
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- Spectral CT uses energy-dependent information
  - Allows to reconstruct basis material density images.
- It is an ill-posed inverse problem
  - Requires regularization or *prior*.
- From handcrafted to learned *prior*
  - Generative model as regularization.

# Standard Computed Tomography (CT)

We consider the Linear Attenuation Coefficient (LAC) of an object.  
For an incoming ray of intensity  $s$ , Beer-Lambert's law gives the relative absorption of photons as a function of the LAC :



$$\frac{ds}{s} = -\mu(l)dl.$$

$$\implies s_f = s_0 \exp \left( - \underbrace{\int_{\mathcal{L}} \mu(l) dl}_{:=R(\mu)} \right).$$

# Standard Computed Tomography (CT)

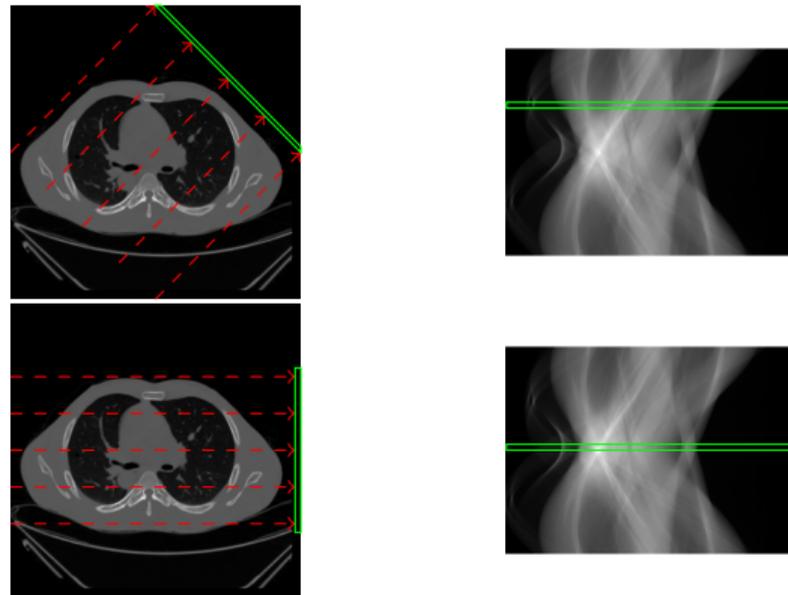


Figure: LAC image  $\mu \in \mathbb{R}^J$  and its corresponding projection  $R(\mu) \in \mathbb{R}^I$ .

# Standard Computed Tomography (CT)

In standard CT, we aim to reconstruct a linear attenuation coefficient (LAC) image  $\boldsymbol{\mu} \in \mathbb{R}^J$  from the measures  $\mathbf{y} \in \mathbb{R}^I$ . We assume that  $\mathbf{y} = (y_1, y_2, \dots, y_I) \in \mathbb{R}^I$  is a realization of a random Poisson variable  $(\mathbf{Y} | \boldsymbol{\mu})$ .

$$\begin{aligned}(\mathbf{Y} | \boldsymbol{\mu}) &\sim \text{Poisson}(\bar{\mathbf{y}}(\boldsymbol{\mu})), \\ \bar{y}_i(\boldsymbol{\mu}) &= s e^{-[R(\boldsymbol{\mu})]_i}.\end{aligned}$$

# Spectral CT

Spectral CT : Discriminate energy dependence of the LAC.

$$\boldsymbol{\mu} \leftarrow \boldsymbol{\mu}(\epsilon)$$

$$s \leftarrow s(\epsilon)$$

$$\bar{y}_i(\boldsymbol{\mu}) = s e^{-[R(\boldsymbol{\mu})]_i} \leftarrow \int_0^{+\infty} s(\epsilon) e^{-[R\boldsymbol{\mu}(\epsilon)]_i} d\epsilon.$$

$s(\epsilon)$  is the photon flux (intensity) as a function of the energy.

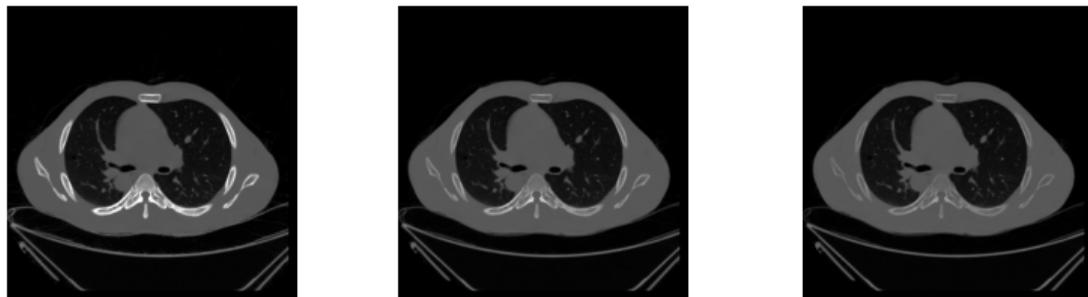
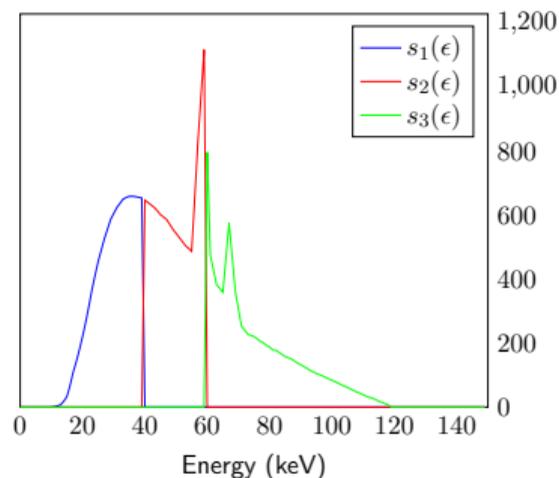
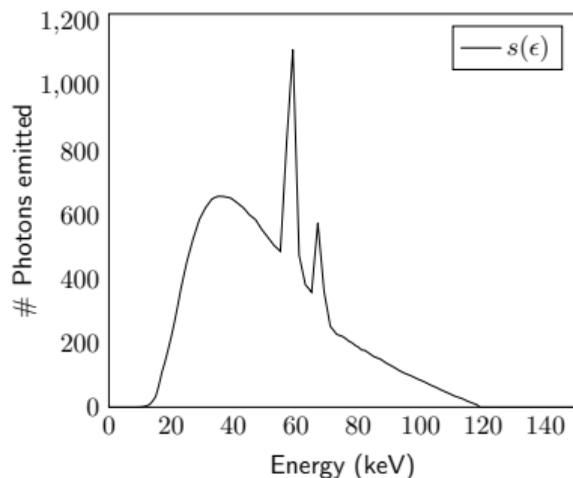


Figure: Example of a spectral image  $\boldsymbol{\mu}(\epsilon)$  for three selected energies (40, 80 and 120 keV).

# Spectrum partition into energy bins

$$\bar{y}_i(\mathbf{x}) = \int_0^{+\infty} s(\epsilon) e^{-[R\boldsymbol{\mu}(\epsilon)]_i} d\epsilon.$$

We divide the energy spectrum into  $K$  energy bins (intervals of the form  $[\epsilon_k, \epsilon_{k+1}]$ ) and obtain measures for each bins regrouped into the random variable  $\mathbf{Y} \in \mathbb{R}^{I \times K}$ .



# Spectral CT

We can leverage this energy dependent LAC function  $\mu(\epsilon)$  to obtain N material images  $\mathbf{x} = (\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_N)$  using the following relation:

$$\mu(\epsilon) = Q(\mathbf{x}, \epsilon) = \sum_{n=1}^N q_n(\epsilon) \mathbf{x}_n$$

where  $q_n$  is the known n-th material attenuation function.

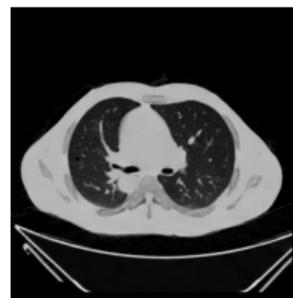
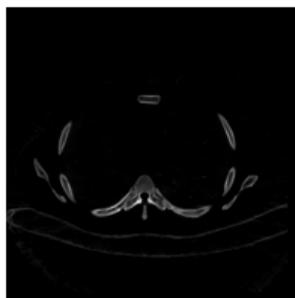


Figure: Example of a material image  $\mathbf{x}$  for two materials (bones and soft tissues).

→ **Spectral** forward model:

$$(\mathbf{Y}_{sCT} \mid \boldsymbol{\mu}) \sim \text{Poisson}(\bar{\mathbf{y}}(\boldsymbol{\mu})),$$
$$\bar{y}_{k,i}(\boldsymbol{\mu}) = \int_0^{+\infty} s_k(\epsilon) e^{-[R\boldsymbol{\mu}(\epsilon)]_i} d\epsilon.$$

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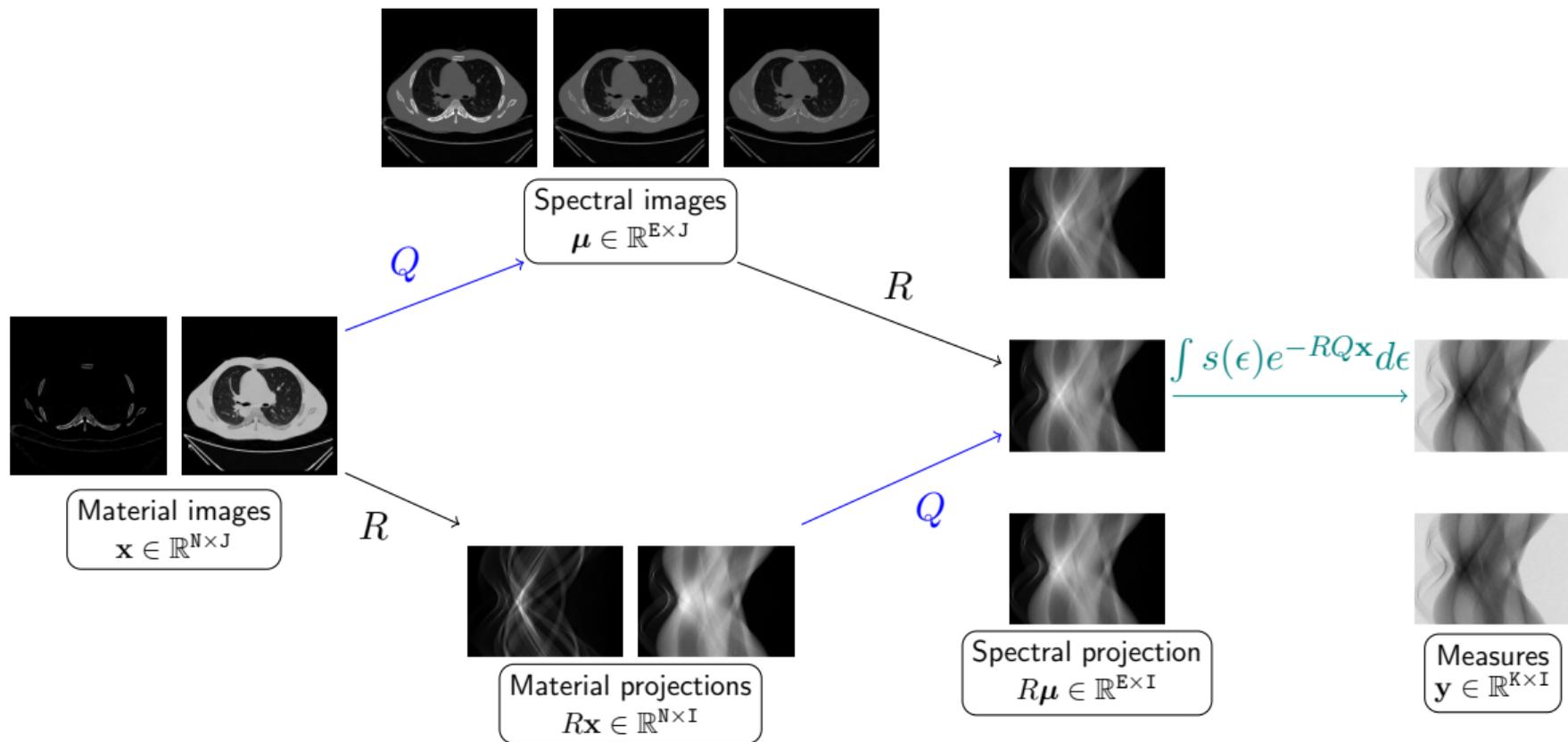
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$$\bar{y}_{k,i}(\boldsymbol{\mu}) = \int_0^{+\infty} s_k(\epsilon) e^{-[R\boldsymbol{\mu}(\epsilon)]_i} d\epsilon.$$

We can replace the spectral image  $\boldsymbol{\mu}$  by its material representation  $\boldsymbol{\mu} = Q(\mathbf{x}, \epsilon)$ .

→ **Material** forward model:

$$(\mathbf{Y}_{MD} \mid \mathbf{x}) = (\mathbf{Y}_{sCT} \mid \boldsymbol{\mu} = Q(\mathbf{x}, \epsilon))$$
$$\sim \text{Poisson}(\bar{\mathbf{y}}(\mathbf{x})),$$
$$\bar{y}_{l,i}(\mathbf{x}) = \int_0^{+\infty} s_k(\epsilon) e^{-[RQ(\mathbf{x}, \epsilon)]_i} d\epsilon.$$

# Modelisation



# One-step and Two-step approaches

Given an energy-binned measurement  $\mathbf{y} \in \mathbb{R}^{K \times I}$ , maximum a *posteriori* (MAP) spectral CT material decomposition can be achieved in two ways:

## Two-step approach

$$(\hat{\boldsymbol{\mu}}_k)_{k=1,2,\dots,K} \in \arg \max_{\boldsymbol{\mu} \in \mathbb{R}^{K \times J}} p_{sCT}(\mathbf{y}|\boldsymbol{\mu}) \cdot p_{\boldsymbol{\mu}}(\boldsymbol{\mu})$$

then solving

$$Q(\mathbf{x}, \epsilon_k) = \hat{\boldsymbol{\mu}}_k \quad \forall k = 1, 2, \dots, K.$$

(Or the other way around)

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(Or the other way around)

→ Using a generative model to learn the prior  $p_{\boldsymbol{\mu}} / p_{\mathbf{X}}$ .

## One-step approach

$$\hat{\mathbf{x}} \in \arg \max_{\mathbf{x} \in \mathbb{R}^{N \times J}} p_{MD}(\mathbf{y}|\mathbf{x}) \cdot p_{\mathbf{X}}(\mathbf{x})$$

→ Combines both inverse problems.

## Diffusion models [3, 6] (forward)

We denote by  $\mathbf{W} \in \{\boldsymbol{\mu}, \mathbf{X}\}$  the random vector which can be either  $\boldsymbol{\mu}$  or  $\mathbf{X}$  depending on which strategy we wish to use (One-step or Two-step).

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*Denosing Diffusion Probabilistic Model* (DDPM) (Ho et al.) version of diffusion models: Starting from  $\mathbf{W}_0 \sim p_{\text{data}}(\mathbf{w}) \approx p(\mathbf{w})$ , we apply a Markov process:

$$\begin{aligned} (\mathbf{W}_t \mid \mathbf{W}_{t-1} = \mathbf{w}_{t-1}) &\sim \mathcal{N}(\sqrt{\alpha_t} \mathbf{w}_{t-1}, (1 - \alpha_t)I_J), \quad t \in \{1, 2, \dots, T\} \\ \implies (\mathbf{W}_t \mid \mathbf{W}_0 = \mathbf{w}_0) &\sim \mathcal{N}(\sqrt{\bar{\alpha}_t} \mathbf{w}_0, (1 - \bar{\alpha}_t)I_J), \quad t \in \{1, 2, \dots, T\} \end{aligned}$$

with  $\bar{\alpha}_t = \prod_{s=1}^t \alpha_s$ .

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with  $\bar{\alpha}_t = \prod_{s=1}^t \alpha_s$ .

$$\mathbf{W}_T \xrightarrow[T \rightarrow \infty]{\mathcal{L}} \mathbf{Z} \text{ with } \mathbf{Z} \sim \mathcal{N}(0, I_J).$$

We assume that each  $\mathbf{W}_t$  admits a density  $p_t$ .

# Diffusion models (reverse)

Reverse diffusion process [1, 3]:

$$\mathbf{W}_T \sim \mathcal{N}(0, I_J)$$

$$(\mathbf{W}_{t-1} \mid \mathbf{W}_t = \mathbf{w}_t) \sim \mathcal{N}(\mathbf{m}(\mathbf{w}_t, t), \sigma(t)^2 I_J),$$

with

$$\mathbf{m}(\mathbf{w}_t, t) = \frac{1}{\sqrt{\alpha_t}} \mathbf{w}_t + \frac{1 - \alpha_t}{\sqrt{\alpha_t}} \underbrace{\nabla \log(p_t)(\mathbf{w}_t)}_{\text{"score"}}$$

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The score function is intractable  $\rightarrow$  Approximation with a neural network  $s_\theta(\mathbf{w}_t, t)$  and the *Score Matching by Denoising* technique [5, 7].

$$\hat{\theta} \in \underset{\theta}{\text{Argmin}} \mathbb{E}_{\mathbf{W}_0, t, (\mathbf{W}_t \mid \mathbf{W}_0)} \left\{ \left\| s_\theta(\mathbf{w}_t, t) - \frac{\mathbf{w}_t - \sqrt{\bar{\alpha}_t} \mathbf{w}_0}{1 - \bar{\alpha}_t} \right\|_2^2 \right\},$$

The right term is a proxy for the true score, using Tweedie's formula. Learning the score is in fact closely related to learning a denoising function.

# Diffusion models as an a priori

Alter the diffusion process to take into account the measures

→ *conditional* score function  $\nabla \log p_t(\cdot | \mathbf{y})$ . Bayes rules:

$$\nabla \log p_t(\mathbf{w}_t | \mathbf{y}) = \nabla \log p_t(\mathbf{w}_t) + \nabla \log p_t(\mathbf{y} | \mathbf{w}_t).$$

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Diffusion Posterior Sampling <sup>1</sup> approximation:

$$\nabla \log p_t(\mathbf{y} | \mathbf{w}_t) \approx \nabla \log p(\mathbf{y} | \hat{\mathbf{w}}_0(\mathbf{w}_t, t)).$$

- $p(\mathbf{y} | \mathbf{w}_0)$  is one of the two forward models described earlier.
- $\hat{\mathbf{w}}_0(w_t, t)$  is obtained using Tweedie's formula.

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$$\mathbf{m}(\mathbf{w}_t, \mathbf{y}, t) = \frac{1}{\sqrt{\alpha_t}} \mathbf{w}_t + \frac{1 - \alpha_t}{\sqrt{\alpha_t}} \left( \underbrace{\nabla \log p_t(\mathbf{w}_t)}_{\text{unconditional score}} + \overbrace{\nabla \log p(\mathbf{y} | \hat{\mathbf{w}}_0(\mathbf{w}_t, t))}^{\text{conditional guidance}} \right)$$

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# One-step and Two-step DPS

Two-step Diffusion Posterior Sampling (TDPS) : reconstructs the spectral image  $\mathbf{x}$  then applies material composition matrix pseudo inverse in order to obtain material image  $\mathbf{z}$ .

$$\hat{\theta}_{\text{TDPS}} \in \underset{\theta}{\text{Argmin}} \mathbb{E}_{\mu_0, t, (\mu_t | \mu_0)} \left\{ \left\| s_{\theta}(\mu_t, t) - \frac{\mu_t - \sqrt{\bar{\alpha}_t} \mu_0}{1 - \bar{\alpha}_t} \right\|_2^2 \right\}.$$

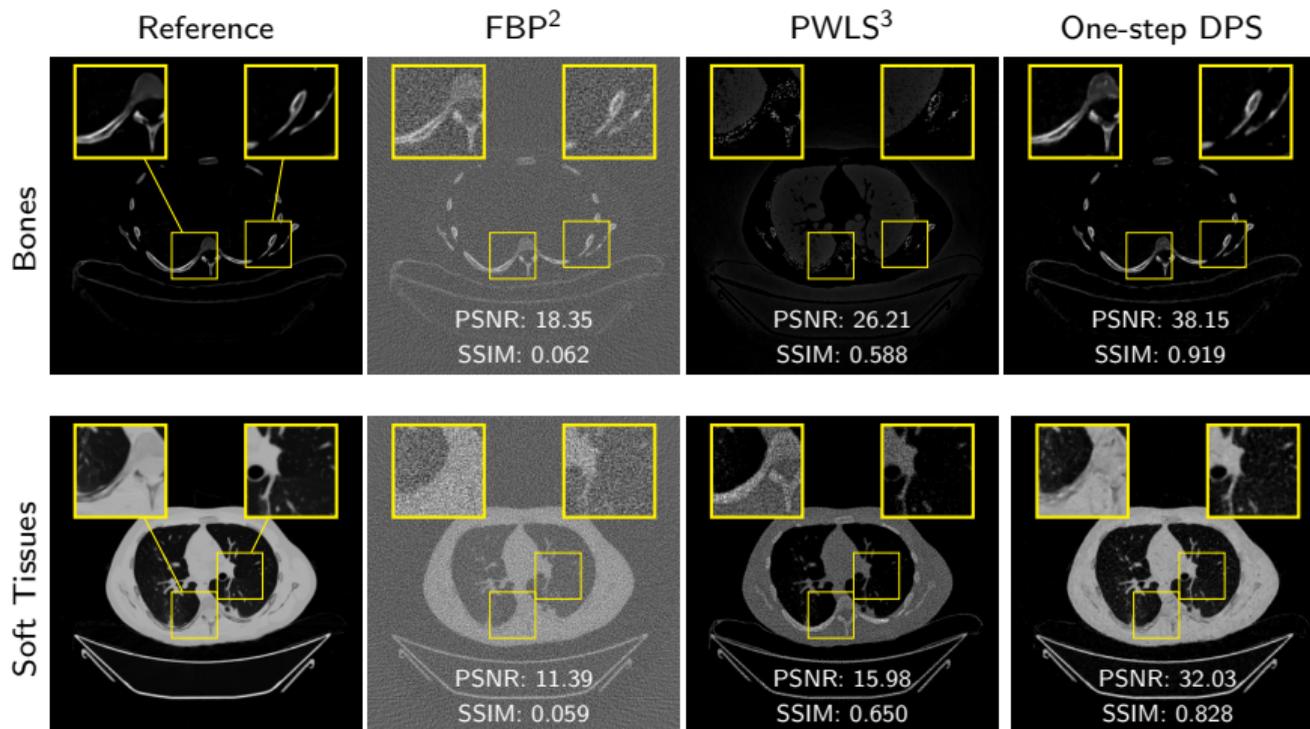
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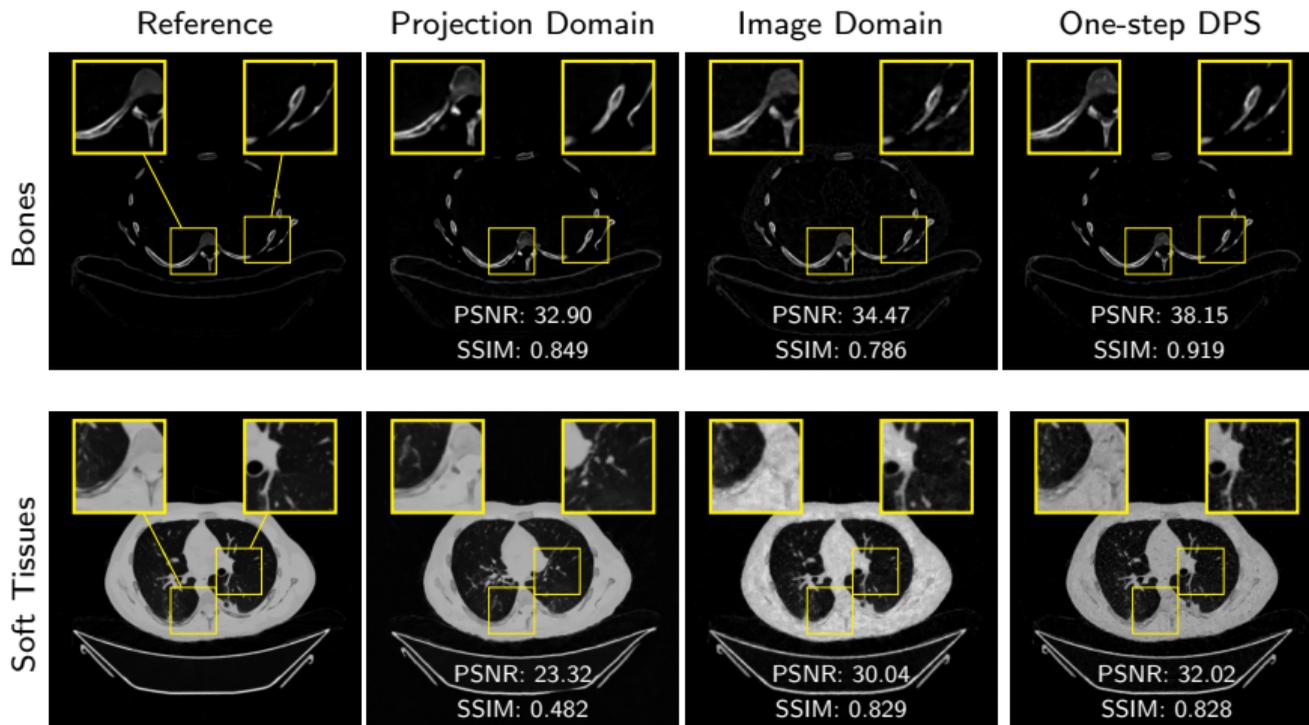
One-step Diffusion Posterior Sampling (ODPS) : Reconstructs directly the material images  $\mathbf{z}$

$$\hat{\theta}_{\text{ODPS}} \in \underset{\theta}{\text{Argmin}} \mathbb{E}_{\mathbf{x}_0, t, (\mathbf{x}_t | \mathbf{x}_0)} \left\{ \left\| s_{\theta}(\mathbf{x}_t, t) - \frac{\mathbf{x}_t - \sqrt{\bar{\alpha}_t} \mathbf{x}_0}{1 - \bar{\alpha}_t} \right\|_2^2 \right\}.$$



<sup>2</sup>Filtered Backprojection and Pseudo inverse

<sup>3</sup>Penalized Weighted Least Square and Pseudo inverse



- Ordered subsets
- Log-likelihood gradient approximation :

$$\nabla_{\mathbf{w}_t} \log p(\mathbf{y} \mid \hat{\mathbf{w}}_0(\mathbf{w}_t, t)) \propto \nabla_{\mathbf{w}_0} \log p(\mathbf{y} \mid \hat{\mathbf{w}}_0(\mathbf{w}_t, t))$$

- Diffusion on latent spaces or transformation of images (Cascaded diffusion models [4], Wavelets Diffusion Models [2], ...)

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# Tweedie formula for DDPM

From the Markov process  $X_t | X_{t-1} = x_{t-1}$ , we can deduce that:

$$(X_t | X_0 = x_0) \sim \mathcal{N}(\sqrt{\bar{\alpha}_t}x_0, (1 - \bar{\alpha}_t)),$$

with  $\bar{\alpha}_t = \prod_{s=0}^t \alpha_s$ .

Tweedie formula :

$$\begin{aligned}\mathbb{E}[\sqrt{\bar{\alpha}_t}X_0 | X_t = x_t] &= x_t + (1 - \bar{\alpha}_t)\nabla \log(p_t)(x_t), \\ \rightarrow \hat{x}_0(x_t, t) &= \frac{x_t + (1 - \bar{\alpha}_t)\nabla \log(p_t)(x_t)}{\sqrt{\bar{\alpha}_t}},\end{aligned}$$

$$\hat{x}_0(x_t, t, s_\theta) = \frac{x_t + (1 - \bar{\alpha}_t)s_\theta(x_t, t)}{\sqrt{\bar{\alpha}_t}}.$$