# An Information-Theoretic View of Cache-Aided Cellular Networks

Michèle Wigger

Joint work with Shirin Saeedi Bidokthi, Shlomo Shamai (Shitz), and Roy Timo.

IWCIT, Tehran, Iran, 3 May 2016



Wigger - An Information-Theoretic View of Cache-Aided Cellular Networks

# Content Delivery Networks



- Store contents in caches before file demands even known
- Reduce network load and latency during high-congestion periods
- Idea useful if certain files very popular and known in advance

# Distributed Caches: Promising Solution for Cellular Networks



• Can cache at main BSs, picoBSs, femtoBSs, or directly at end users

## **File Popularities**



- Static file popularity follows a Zipf distribution  $P(x) = Cx^{-\alpha}$
- Evolution of file popularities (youtube videos) can also be predicted

Use pro-active caching to improve cellular systems!

# **Cellular Scenarios**



- All files equally popular  $\rightarrow$  interested in worst-case performance
- Centralized protocol on how to fill caches
- Caches filled during nights when demands not yet known

Library: Files  $W_1, W_2, \ldots, W_D$  of nR bits each (no popularities)



<u>Library:</u> Files  $W_1, W_2, \ldots, W_D$  of nR bits each



Communication in two phases:

• Caching phase: Tx fills caches without knowing demands  $d_1, \ldots, d_5$ 



Communication in two phases:

- Caching phase: Tx fills caches without knowing demands  $d_1, \ldots, d_5$
- Delivery phase: Tx describes  $W_{d_1}, \ldots, W_{d_5}$  to Rxs 1, ..., 5, respectively, through  $n\rho$  common bits



Rates-Memories Tradeoff

For which  $(\rho, R, M_1, \ldots, M_K)$  is error-free data transmission possible?

#### Naive Uncoded Caching for K = 2 Receivers



• Split 
$$W_d = (W_d^{(c)}, W_d^{(u)})$$
 of rates  $rac{M}{D}$  and  $R - rac{M}{D}$ 

## Naive Uncoded Caching for K = 2 Receivers



• Split 
$$W_d = (W_d^{(c)}, W_d^{(u)})$$
 of rates  $rac{M}{D}$  and  $R - rac{M}{D}$ 

#### Rates-Memory Trade-Off

Reconstruction is possible, if  $R \leq \frac{1}{2}\rho + \frac{M}{D}$ 

### Coded caching for K = 2 Receivers [Maddah-Ali&Niesen 2013]



Library: Files  $W_1, W_2, \ldots, W_D$  of nR bits each

• Split 
$$W_d = \left(W_d^{(c1)}, W_d^{(c2)}, W_d^{(u)}\right)$$
 of rates  $\frac{M}{D}$ ,  $\frac{M}{D}$ , and  $R - 2\frac{M}{D}$ 

#### Coded caching for K = 2 Receivers [Maddah-Ali&Niesen 2013]



• Split  $W_d = (W_d^{(c1)}, W_d^{(c2)}, W_d^{(u)})$  of rates  $\frac{M}{D}$ ,  $\frac{M}{D}$ , and  $R - 2\frac{M}{D}$ 

# Rates-Memory Trade-Off Reconstruction possible, if $R \leq \frac{1}{2}\rho + \frac{M}{D} + \frac{M}{2D}$

#### Coded caching for K = 3 Receivers [Maddah-Ali&Niesen 2013]



• Split 
$$W_d = (W_d^{(c1)}, W_d^{(c2)}, W_d^{(c3)}, W_d^{(u)})$$
 of rates  $\frac{M}{2D}, \frac{M}{2D}, \frac{M}{2D}, R - \frac{3M}{2D}$ 

• Save two parts at each receiver

#### Coded caching for K = 3 Receivers [Maddah-Ali&Niesen 2013]



• Split  $W_d = (W_d^{(c1)}, W_d^{(c2)}, W_d^{(c3)}, W_d^{(u)})$  of rates  $\frac{M}{2D}, \frac{M}{2D}, \frac{M}{2D}, R - \frac{3M}{2D}$ 

• Save two parts at each receiver

Rates-Memory Trade-Off Reconstruction possible, if  $R \leq \frac{1}{3}\rho + \frac{M}{D} + \frac{M}{3D}$ 

# Local and Global Caching Gains $K \ge 2$ [Maddah-Ali&Niesen 2013]



#### Coded caching achieves

Reconstruction possible, if 
$$\rho_{\text{coded}} \geq K(R - \frac{M}{D}) \cdot \min \left\{ \frac{1}{1 + KM/R/D}, \frac{D}{K} \right\}$$

$$1 \leq rac{
ho^{\star}(R,M)}{
ho_{ ext{coded}}(R,M)} \leq 12, \qquad orall K, 
ho, D, M.$$

#### Improvements

• Lower Bound with Gap 4.7

[C.-Y. Wang, S.-H. Lim, and M. Gastpar, "A New Converse Bound for Coded Caching", Arxiv, Jan. 2016]

• Upper Bound with Coded Caching Information

[M. Mohammadi. Amiri, D. Gündüz "Fundamental Limits of Caching: Improved Delivery Rate-Cache Capacity Trade-off", Arxiv, Apr. 2016]

#### Extensions

Decentralized caching

[M. A. Maddah-Ali, U. Niesen, "Decentralized coded caching attains order-optimal memory-rate tradeoff"]

#### Nonuniform or random demands

[U. Niesen and M. A. Maddah-Ali, "Coded caching with nonuniform demands"] [Ji, Tulino, Llorca, and Caire, "Order-optimal rate of caching and coded multicasting with random demands"]

#### Online caching phase

[R. Pedarsani, M. A. Maddah-Ali and U. Niesen, "Online coded caching"]

#### Delivery over Noisy Broadcast Channel (BC) [Saeedi, Timo, Wigger 2015-2016]



- New achievability: joint cache-channel scheme based on piggyback coding
- New converse for degraded BCs

#### Converse for Degraded BCs with Arbitrary Caches



#### Theorem (Saeedi, Timo, Wigger16)

$$C(M_1,\ldots,M_{\mathcal{K}}) \leq \min_{\mathcal{S}\subseteq\{1,\ldots,\mathcal{K}\}} \left( R_{\text{sym},\mathcal{S}}(M_1,\ldots,M_{\mathcal{K}}) + \frac{M_{\mathcal{S}}}{D} \right),$$

•  $R_{sym,S}$  and  $M_S$ : symmetric capacity and total cache at receivers in S

#### **Proof Outline**

• Step 1:

$$C(M_1, \dots, M_K) \leq I(U_1; Y_1) + \alpha_1,$$
  

$$C(M_1, \dots, M_K) \leq I(U_k; Y_k | U_1, \dots, U_{k-1}) + \alpha_k, \quad \forall k \in \{2, \dots, K\}$$
  
for  $(U_1, U_2, \dots, U_K)$  s.t.

$$U_1 \rightarrow U_2 \rightarrow \cdots \rightarrow U_K \rightarrow X \rightarrow Y_K \rightarrow Y_{K-1} \rightarrow \cdots \rightarrow Y_1$$

and real numbers  $\alpha_1, \ldots, \alpha_K \geq 0$  s.t.

$$\alpha_{k'} \leq \alpha_k, \qquad k, k' \in \{1, \dots, K\}, \ k' \leq k,$$
$$\sum_{k=1}^{K} \alpha_k \leq \frac{K}{D} \sum_{k \in \{1, \dots, K\}} M_k,$$

• Step 2: Show equal  $\alpha$ s are optimal.

#### Our New Joint Cache-Channel Scheme for Packet-Erasure BCs

• Receiver k gets erasure with probability  $\delta_k$  where  $\delta_1 \geq \delta_2 \geq \ldots \geq \delta_K$ 

$$Y_k^n = (X_1, X_2, \Delta, X_4, \Delta, \dots, X_{n-1}, \Delta)$$



# Example: Asymmetric Caches and Separate Channel Coding

<u>Library</u>: Files  $W_1, W_2, \ldots, W_D$  of nR bits each



• 
$$W_d = (W_d^{(c1)}, W_d^{(u)})$$
 of rates  $(\frac{M}{D}, R - \frac{M}{D})$ 

## Example: Asymmetric Caches and Separate Channel Coding



Separate Cache-Channel Coding 
$$\rightarrow$$
 No Global Caching Gain $p(\text{error}) \rightarrow 0$  if: $\frac{R - \frac{M}{D}}{F(1 - \delta_1)} + \frac{R}{F(1 - \delta_2)} \leq 1$ Standard Erasure BC: $p(\text{error})$  if : $\frac{R_1}{F(1 - \delta_1)} + \frac{R_2}{F(1 - \delta_2)} \leq 1$ 

Wigger - An Information-Theoretic View of Cache-Aided Cellular Networks

# Our Joint Cache-Channel Scheme for this Example



• 
$$W_d = (W_d^{(c1)}, W_d^{(u)})$$
 of sub-rates  $(\frac{M}{D}, \rho - \frac{M}{D})$ 

# Piggyback Coding to Send $(W_{d_1}^{(u)}, W_{d_2}^{(c1)})$ to Both Rxs



ma

Transmission of  $W_{d_2}^{(c1)}$  not affecting Rx 1 at all!

$$p(error) 
ightarrow 0$$
 as  $n 
ightarrow \infty$ :

$$imes \left\{ rac{R-rac{\mathsf{M}}{D}}{F(1-\delta_1)}, \; rac{R}{F(1-\delta_2)} 
ight\} \leq rac{n'}{n}$$

# Performance of Joint Cache-Channel Scheme for Example Files $W_1, W_2, \ldots, W_D$ of nR bits each timesharing & Tx $\frac{\text{"piggyback-}}{\text{coding!"}X^n} = \underbrace{\begin{array}{c} \text{to } \text{Rx } 1\& \text{Rx } 2 \\ W_4^{(w)} W_4^{(e1)} \\ W_4^{(w)} \end{array}}_{A} \underbrace{\begin{array}{c} \text{to } \text{Rx } 2 \\ W_4^{(w)} \\ W_4^{(w)} \end{array}}_{A}$ Packet Erasure • $W_d = (W_d^{(c1)}, W_d^{(u)})$ of sub-rates $(\frac{M}{D}, R - \frac{M}{D})$ Broadcast Channel $Y_1^n$ Rx J Rx 2 $W^{(c1)}_{\cdot}$ Mn bits



# Extension to Single Cache and $K_s$ strong receivers



 Piggyback cached-parts for all strong receivers on weak receiver's uncached part

## Performance for Single Cache and $K_s$ strong receivers

• Joint cache-channel coding

$$R(M) = \begin{cases} F\left(\frac{1}{1-\delta_{w}} + \frac{K_{s}}{1-\delta_{s}}\right)^{-1} + \frac{M}{D}, & \text{if } \frac{M}{D} \in [0,\Gamma_{1}] & \text{tight!} \\ F\frac{(1-\delta_{s})}{1+K_{s}} + \frac{M}{(1+K_{s})D}, & \text{if } \frac{M}{D} \in (\Gamma_{1},\Gamma_{2}], \\ F(1-\delta_{s}), & \text{if } \frac{M}{D} \ge \Gamma_{2}, \end{cases}$$
  
with  $\Gamma_{1} := F\frac{(1-\delta_{s})}{K_{s}} \frac{(\delta_{w} - \delta_{s})}{(K_{s}(1-\delta_{w}) + (1-\delta_{s}))} \text{ and } \Gamma_{2} := \frac{(1-\delta_{s})}{K_{s}}F.$ 

• Separate cache-channel coding:

$$R(M) = \begin{cases} F\left(\frac{1}{1-\delta_{w}} + \frac{K_{s}}{1-\delta_{s}}\right)^{-1} + \frac{1-\delta_{s}}{1-\delta_{s}+K_{s}(1-\delta_{w})}\frac{M}{D}, & \text{if } \frac{M}{D} \in [0,\Gamma_{2}], \\ F(1-\delta_{s}), & \text{if } \frac{M}{D} \ge \Gamma_{2}, \end{cases}$$

## Numerical Comparison for Single Cache and K<sub>s</sub> strong receivers



 $\delta_{\rm w}=0.8,\ \delta_{\rm s}=0.2,\ {\it K}_{\rm s}=10,\ {\it D}=22,\ {\it F}=10$ 

# Joint Cache-Channel Scheme with Many Caches



• Split 
$$W_d = (W_d^{(t)}, W_d^{(t-1)})$$

• Weak receivers: Maddah-Ali&Niesen for  $W_d^{(t)}$  with (t + 1)-XORs and  $W_d^{(t-1)}$  with t-XORs

• Piggyback  $W_d^{(t)}$  for strong receivers on *t*-XORs to weak receivers

#### Numerical Comparison with Multiple Caches, Example I



 $\delta_{\rm w}=0.8,\ \delta_{\rm s}=0.2,\ K_{\rm w}=4,\ K_{\rm s}=16,\ D=50,\ F=10$ 

#### Numerical Comparison with Multiple Caches, Example II



 $\delta_{\rm w}=$  0.8,  $\delta_{\rm s}=$  0.2,  $K_{\rm w}=$  10,  $K_{\rm s}=$  10, D= 50, F= 10

## Most Significant Gains for Low Cache Sizes $M/D \leq \Gamma_1$

$$C(M) \geq R_0 + rac{M}{D} \cdot \gamma_{ ext{local}} \cdot \gamma_{ ext{global,sep}} \cdot \gamma_{ ext{global,joint}}, \qquad M/D \leq \Gamma_1,$$

where  $R_0$  is the symmetric capacity without caches and

$$egin{aligned} &\gamma_{ ext{local}} &:= rac{\mathcal{K}_{ ext{w}}(1-\delta_{ ext{s}})}{\mathcal{K}_{ ext{w}}(1-\delta_{ ext{s}})+\mathcal{K}_{ ext{s}}(1-\delta_{ ext{w}})}, \ &\gamma_{ ext{global,sep}} &:= rac{1+\mathcal{K}_{ ext{w}}}{2}, \ &\gamma_{ ext{global,joint}} &:= 1+rac{2\mathcal{K}_{ ext{w}}}{1+\mathcal{K}_{ ext{w}}}\cdotrac{\mathcal{K}_{ ext{s}}(1-\delta_{ ext{w}})}{\mathcal{K}_{ ext{w}}(1-\delta_{ ext{s}})}. \end{aligned}$$

# Insights and Intuition

- Important to consider noisy communication channel:
  - Joint cache-channel coding (piggyback coding)
  - Caching gains combine with feedback gains
     [A. Ghorbel, M. Kobayashi, S. Yang, "Cache-enabled broadcast packet erasure channels with state feedback"
  - Interplay between caching gains and CSI gains

[J. Zhang and P. Elia, "Fundamental limits of cache-aided wireless BC: interplay of coded-caching and CSIT feedback"]

- $\bullet\,$  Larger caches for weak receivers  $\to$  even more important with joint cache-channel coding
- Piggyback coding useful whenever info for strong Rx in cache of weak Rx!

# An Information-Theoretic Result on Interference Channels

• Interference networks with tx-caches

[Maddah-Ali and Niesen, "Cache-aided interference channels," in Proc. of ISIT15]

- Allows interference cancellation
- Allows loadbalancing to avoid bottlenecks
- Allows improved interference alignment (X-channel)

#### Multi-Cell Model with 3 Communication Phases



**Q** Caching Phase: Server fills caches without knowing demands  $d_1, \ldots, d_K$ 

Ownload from Server: Txs download messages of connected receivers from server

S Delivery to Users: Txs communicate their messages to the receivers

#### Wyner's Cellular Networks [Shamai, Timo, Wigger 2016]



#### Per-User DoF Rate-Memory Tradeoff

S(M): largest S so that rate  $R = S \cdot \frac{1}{2} \log(1+P)$  achievable for large  $P \gg 1$  and  $M = \mu \cdot \frac{1}{2} \log(1+P)$ 

- Upper and lower bounds for symmetric and asymmetric Wyner network
- Complete interference mitigation possible

#### Bounds on Per-User DoF Rate-Memory Tradeoff for Asymmetric Model



#### Theorem (Shamai, Timo, Wigger'16)

$$\min\left\{\frac{2}{3} + \frac{3\mu}{D} \ , \ 1 + \frac{\mu}{D}\right\} \ge S \ge \begin{cases} \frac{2}{3} + \frac{3}{2}\frac{\mu}{D}, & \text{if } 0 \le \frac{\mu}{D} \le \frac{2}{3} \\ 1 + \frac{\mu}{D}, & \text{if } \frac{\mu}{D} \ge \frac{2}{3}. \end{cases}$$

- $S = 1 + \frac{\mu}{D}$  performance of interference-free P2P links with caches
- Factor  $\frac{3}{2}$  in lower bound: need two cache memories to free up one DoF

Interference can Completely be Canceled when  $\mu \geq 2/3D$ 



Scheme achieving  $\mu = 2/3$  and S = 5/3 for Asymmetric Network

• Split 
$$W_d = (W_d^{(1)}, W_{d_2}^{(2)})$$
 at DoF  $(1,1)$ 

• Round-rob scheme among all users

• Need  $\mu/D = 2/3$  and achieve S = 5/3



#### Converse for Asymmetric Network



- Choose an arbitrary demand vector (worst-case error)
- $\bullet$  Consider triples of receivers  $\rightarrow$  global caching gain limited by 3
- MAC-type bound with caching

#### Bounds on Per-User DoF Rate-Memory Tradeoff for Symmetric Model



#### Theorem (Shamai, Timo, Wigger'16)

$$\min\left\{\frac{2}{3} + \frac{6\mu}{D} \ , \ 1 + \frac{\mu}{D}\right\} \ge S \ge \begin{cases} \frac{2}{3} + \frac{4}{3}\frac{\mu}{D}, & \text{if } 0 \le \frac{\mu}{D} \le 1\\ 1 + \frac{\mu}{D}, & \text{if } \frac{\mu}{D} \ge 1. \end{cases}$$

- For  $\frac{\mu}{D}$ : performance of interference-free P2P links with caches
- Factor  $\frac{\mu}{D} \geq \frac{4}{3}$  in lower bound: need 3 cache memories to free up 1 DoF

# Coding Scheme for Symmetric Network



• Cache contents allow receivers to first cancel interference

• Needs 
$$\mu = 1$$
 for  $S = 2$ 

# Summary

- $\bullet\,$  Important to consider noisy channels  $\rightarrow\,$  joint cache-channel coding
- New piggyback coding idea
- Receiver-caching allows to completely cancel interference in cellular networks
   Smart code decign significantly reduces required cache memories
  - $\rightarrow$  smart code design significantly reduces required cache memories
- Outer bounds for degraded BCs and sparse interference networks with receiver caching