



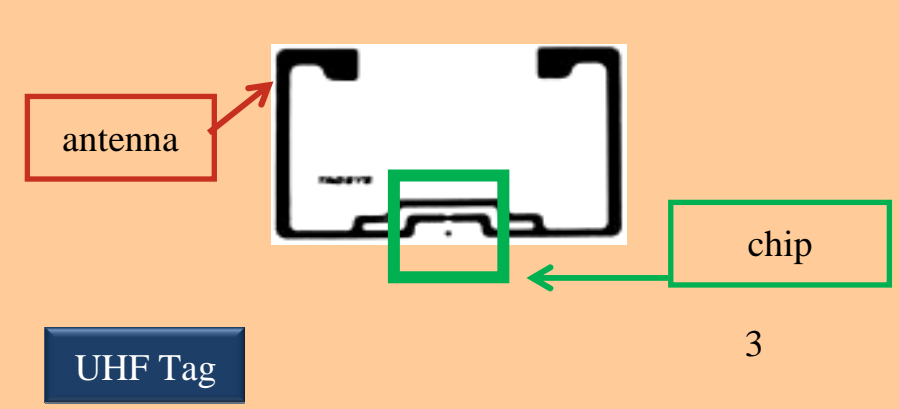
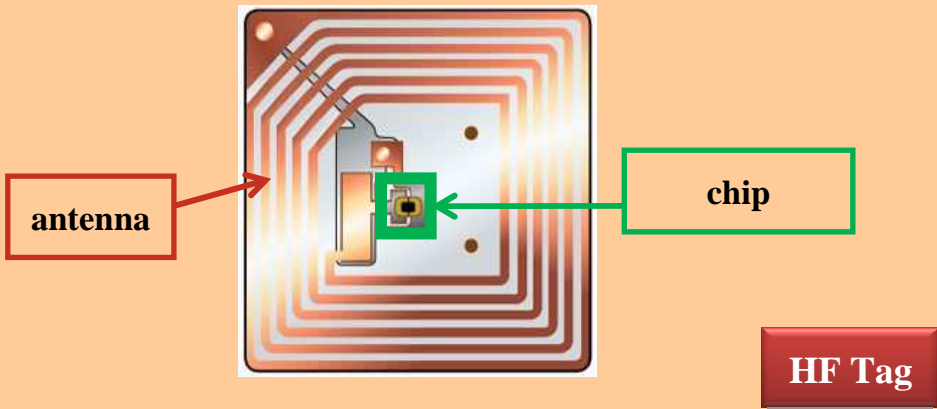
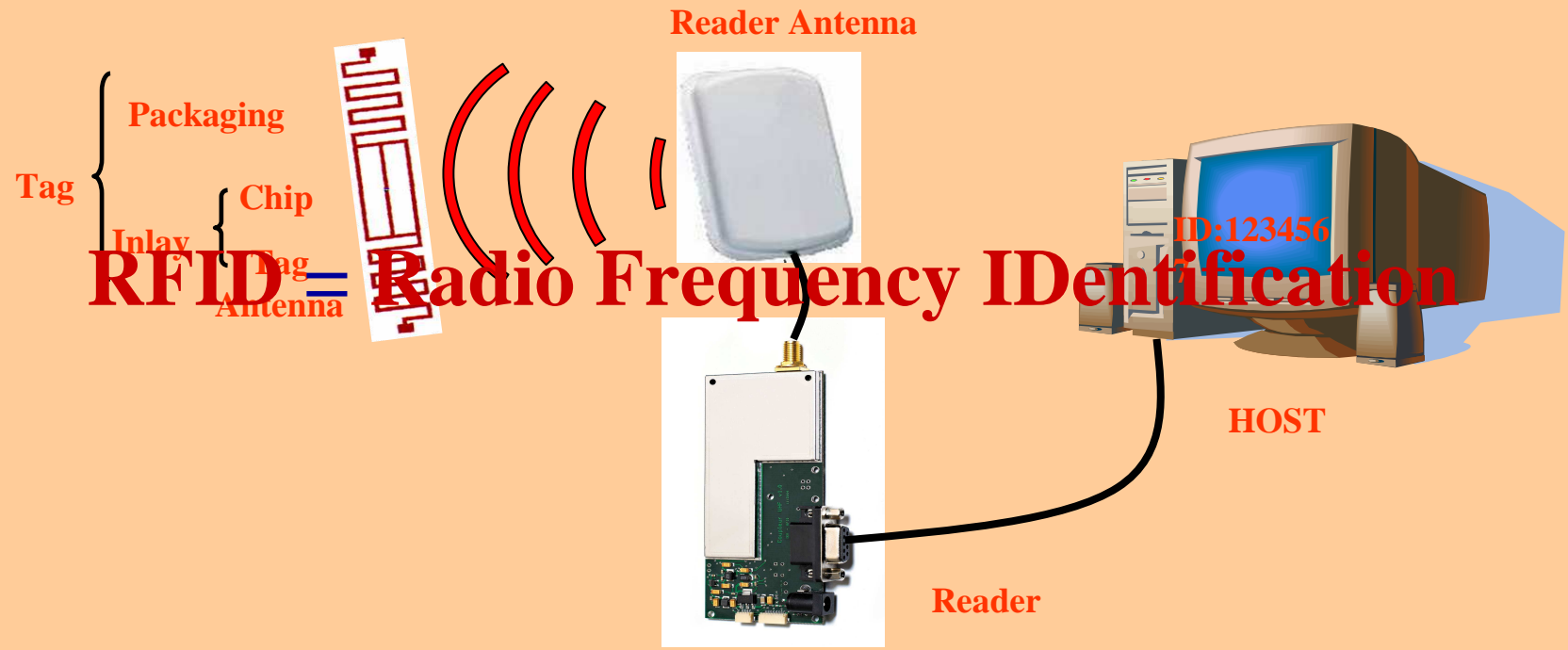
RFID UHF pour l'identification et la traçabilité des objets

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Professeur à l'Université Paris-Est

Agenda

- Generalities and Principles
- HF versus UHF
- UHF Spectrum regulations
- Back Scattering Modulation and Maximum Read Range
- UHF antennas (860-960 MHz)
- Propagation, absorption, detuning issues
- EPC Gen2 protocol
 - Integrated circuit – Memory
 - Coding and Modulation
 - Anticollision algorithms

RFID Principles



RFID Principles

- **Data** is stored in a **chip** connected to an antenna
- Uses radio frequency transmission in either, inductive **near field** or radiating **far field**.
- Ability to automatically identify **multiple objects without line of sight**.
- Tags can be **passive**, semi-passive or **active**, **with** or **without security**.

RFID Principles

- Different Frequencies are used : **LF, HF, UHF.....**
- Could replace **the bar code !!!**
 - **Simultaneous** reading of a large number of tags
 - **Tag does not need to be within line of sight of the reader**
 - **Tag may be embedded in the tracked object**
- Used for **many applications** in a growing number of **markets world-wide.**

RFID Applications



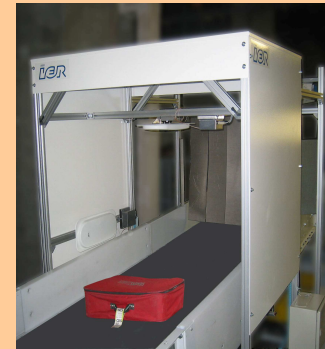
Animal Identification



Industrial Identification



Textile Logistic



Baggage Logistic



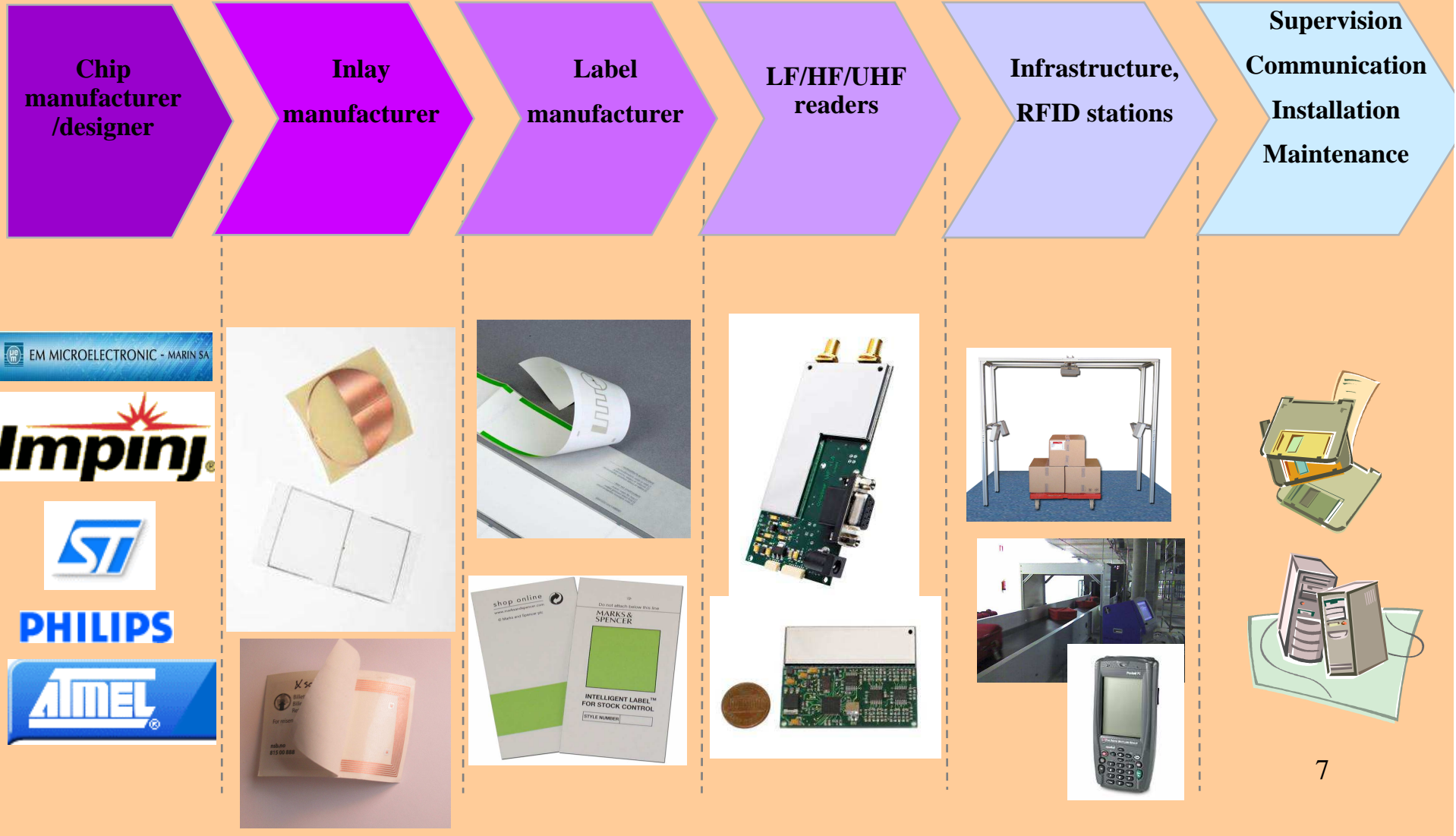
Pharmaceutical Identification

- Real-time inventory and stock control
- Supply chain management (fashion, retail, pharmaceuticals)
- Libraries
- Rental
- Animal ID

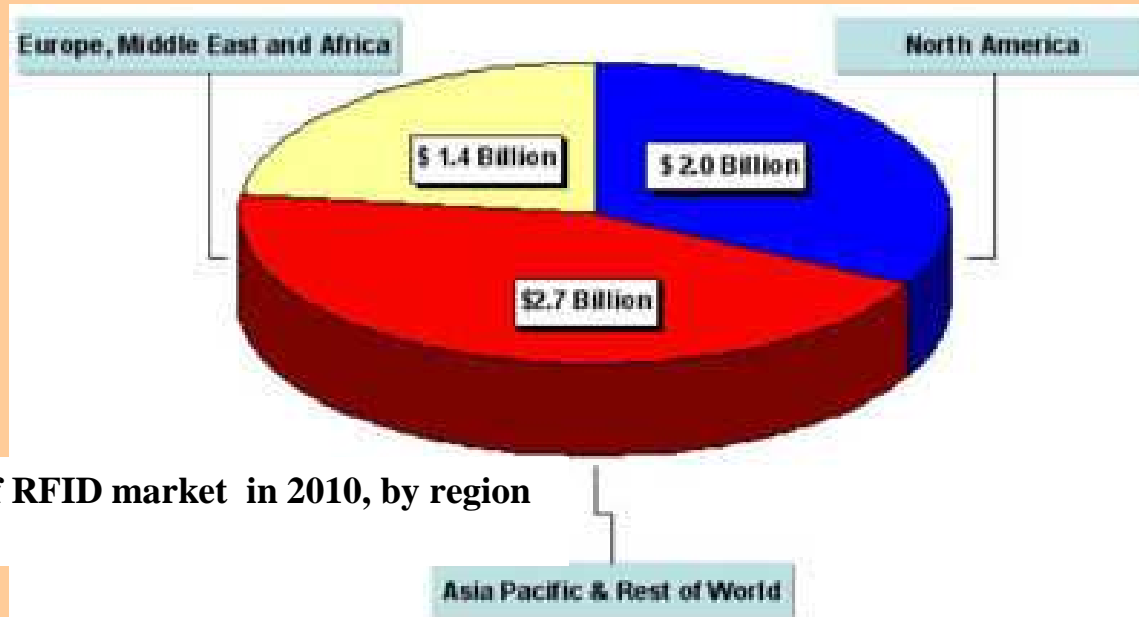


Library

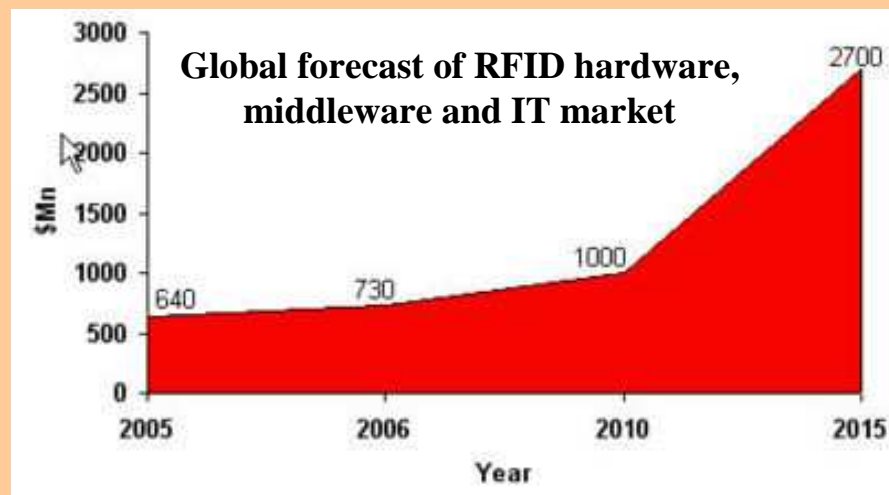
Les métiers de la RFID



Market Potential



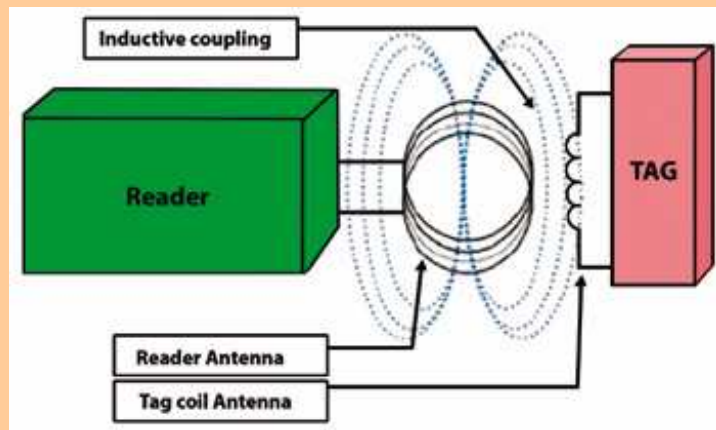
Estimated value share of RFID market in 2010, by region



HF versus UHF

Inductive Coupling - Propagation coupling

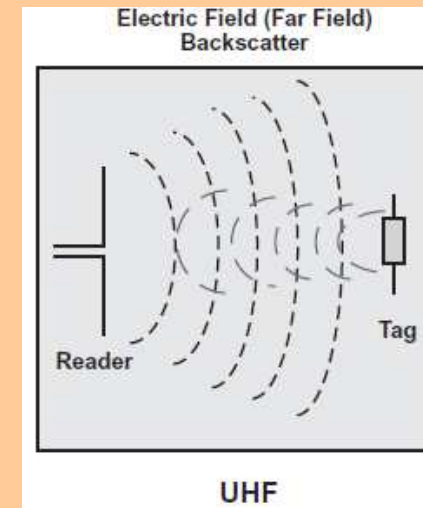
Near field (HF)



Inductive coupling

- Frequencies : LF (125 kHz) and HF (13,56 MHz)
- Impedance variation
- Loop antennas

Far field (UHF)



Propagation Coupling

- Frequencies : UHF (900 MHz) and MW (2,45 GHz)
- Backscattered modulation
- Dipole antennas

UHF vs HF (1)

UHF

- Based on electromagnetic waves
 - Electric Field
 - Magnetic Field
 - Best Performances in “Far-Field” (FF)
- Long Range
 - E and H field in FF decrease with $1/r$
- Performances in presence of dielectrics
 - bad

Dipole
antenna

Loop
antenna

HF

- Based on Magnetic Field
 - in “Near-Field” (NF)
- “Short” Range
 - H field in NF decrease with $1/r^3$
- Performances in presence of high dielectrics
 - good

UHF vs HF (2)

Low Frequency (LF): ~125 kHz

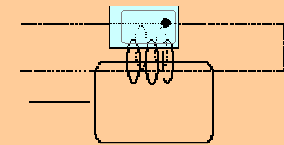
Inductive coupling

RW distances: 1m

Data rate 10 kbits/s

Metal: low perturbations

Water: no perturbations



Inductive Coupling

High Frequency (HF): 13,56 MHz

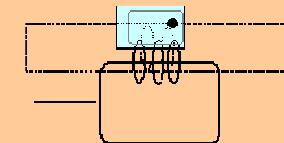
Inductive coupling

RW distances: Max: 1m

Data rate ≥ 100 kbits/s

Metal: high perturbations

Water: no perturbations



Inductive Coupling

Ultra High Frequency (UHF): ~900 MHz

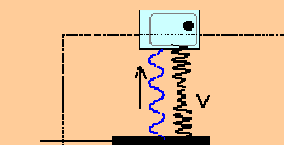
E-field coupling

RW distances: up to 10 m

Data rate ≥ 256 kbits/s

Metal: high perturbations

Water: med. perturbations



Propagation Coupling

Micro Wave (MW): ~2,45 GHz

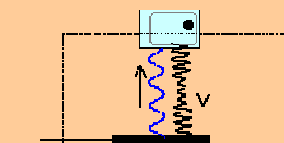
E-field coupling

RW distances: >10 m

Data rate ≥ 256 kbits/s

Metal: high perturbations

Water: high perturbations



Propagation Coupling

Security

People

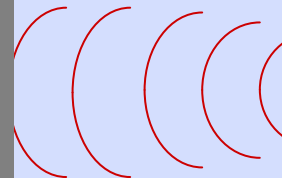


Smart cards, key fobs,....

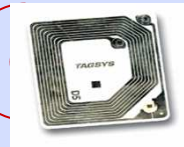


- Access Control
- Security
- Payment
- Passport/Visas
- Transport ticketing

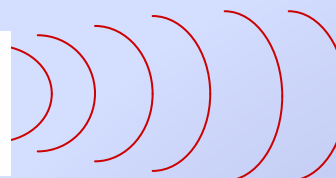
Short Range



Identification
Authentication
Data Security



Long Range



Identification



Security

Limited
Security

Objects

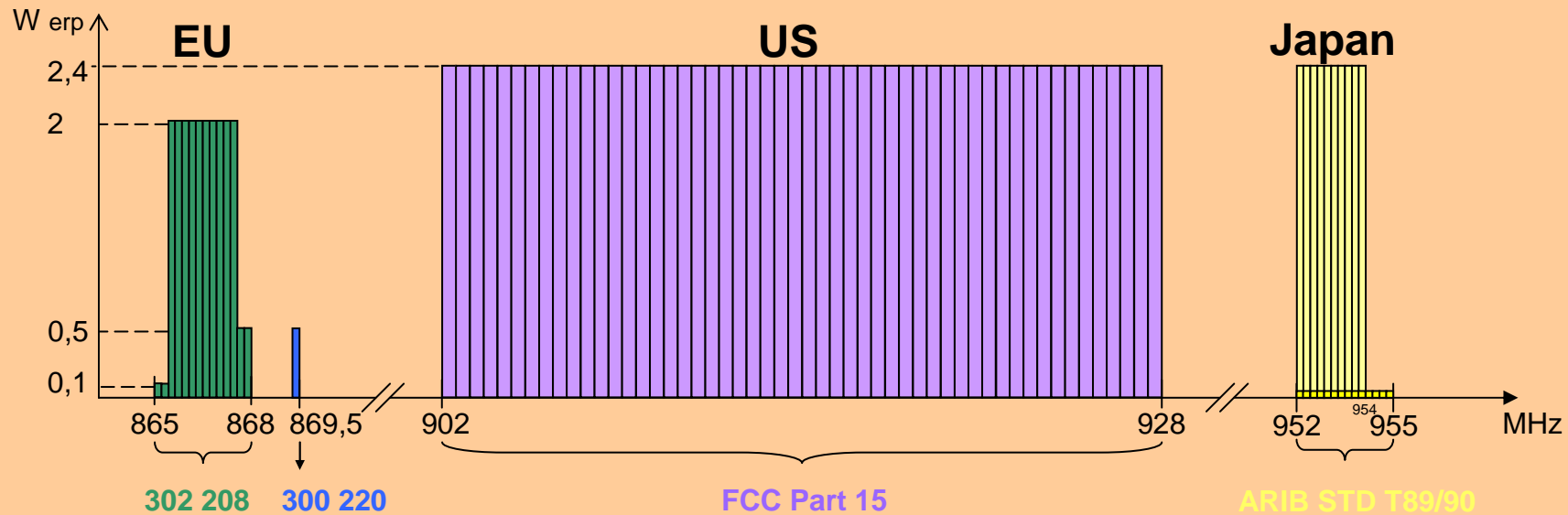


Labels, Tags

- Laundry
- Library
- Supply Chain
- Logistics
- Luggage
- Apparel
- Traceability

UHF Spectrum regulations

UHF regulation overview



	302 208	FCC Part 15	ARIB STD-T89/T90
Channel bandwidth	200 kHz	500 kHz	200 kHz
Channel nb	15	52	9 for (high power) 14 for (low power)
Synchronization	LBT	Frequency Hopping	LBT
Radiated power	2 Werp	2,4 Werp	2,4 Werp (high power) 12 mWerp (low power)

- Listen Before Talk technique: Interrogators are only permitted where they employ frequency agile techniques
- Only 10 sub bands – likely to be many more readers than that in same radio ‘space’ ⇒ Real risk of system degradation and data loss if these sub-bands are not used responsibly.

ETSI EN 300 208 limitations

1. **Very low listen threshold (-96 dBm)**

- ▶ in free space, a reader transmitting at 2W will be detected by another reader at a range of 78 km!
- ▶ sharing channel is thus almost impossible in a same area

2. **The Transmit spectrum mask defines spurious emissions at -36 dBm**

- ▶ this spurious level is not compatible with the listen level of -96 dBm
- ▶ readers in 2 adjacent channels must be spaced by 30 m

3. **The channel spacing is reduced to 200 kHz limiting the uplink data rate**

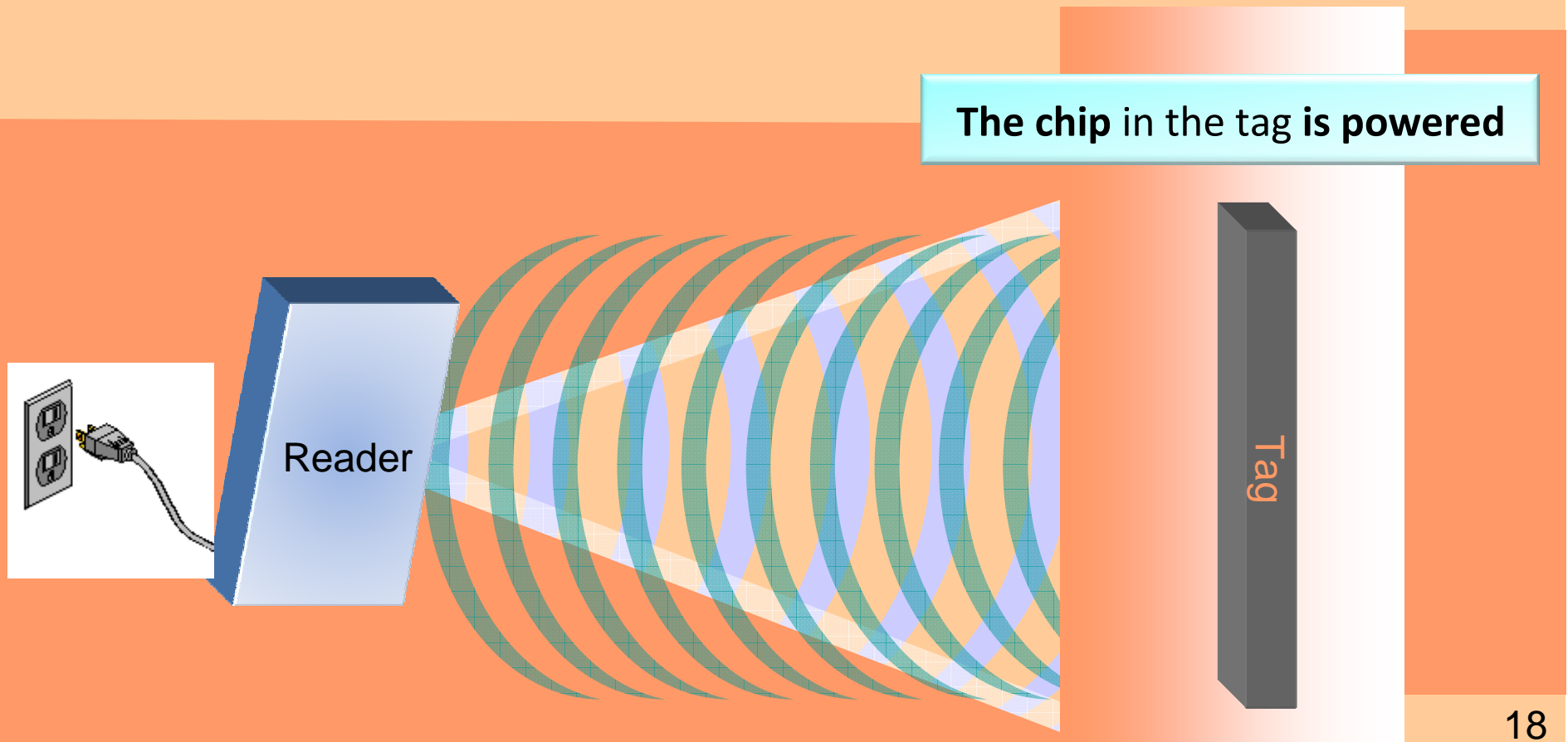
Conclusions

- ▶ performances with the current 302 208 regulation are very limited
- ▶ a task group (TG34) is updating the regulations
 - Limitation of 4 to 5 readers transmitting at the same time
 - Time multiplexing by “global listen” or by “radio communication” between readers

Back Scattering Modulation and Maximum Read Range

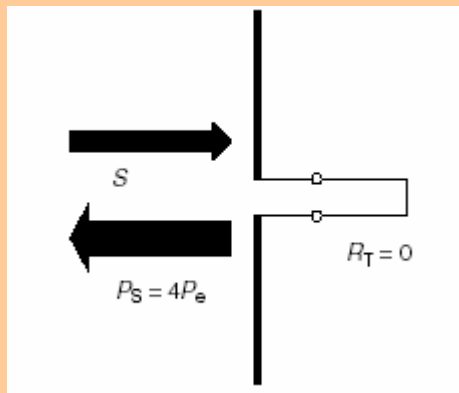
Reader/tag data exchange (UHF)

- The reader sends commands & energy to the tag via **pulse amplitude modulation**.
- The tag sends responses to the reader via **backscatter modulation**.

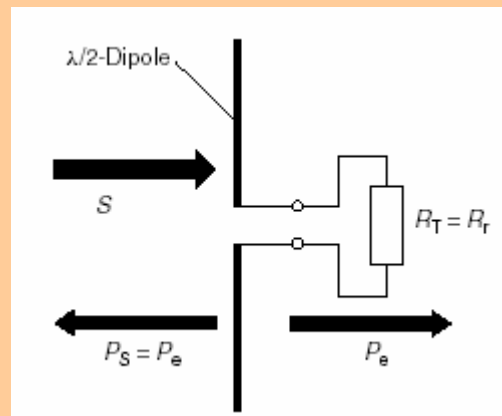


Backscattering concept (UHF)

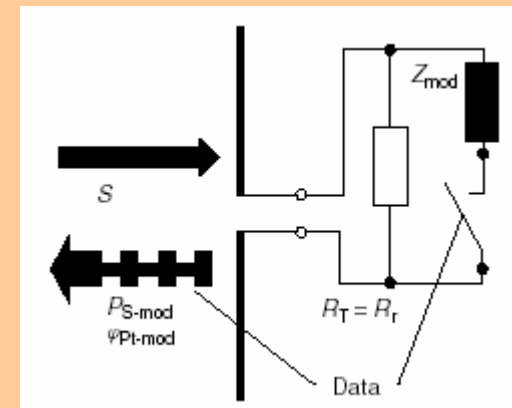
The tag changes its impedance by switching on and off a resistor (or a capacitor). This impedance variation will change the tag reflections seen by the reader antenna, i.e., the tag RCS=Radar Cross Section



Maximum reflection



Partial absorption



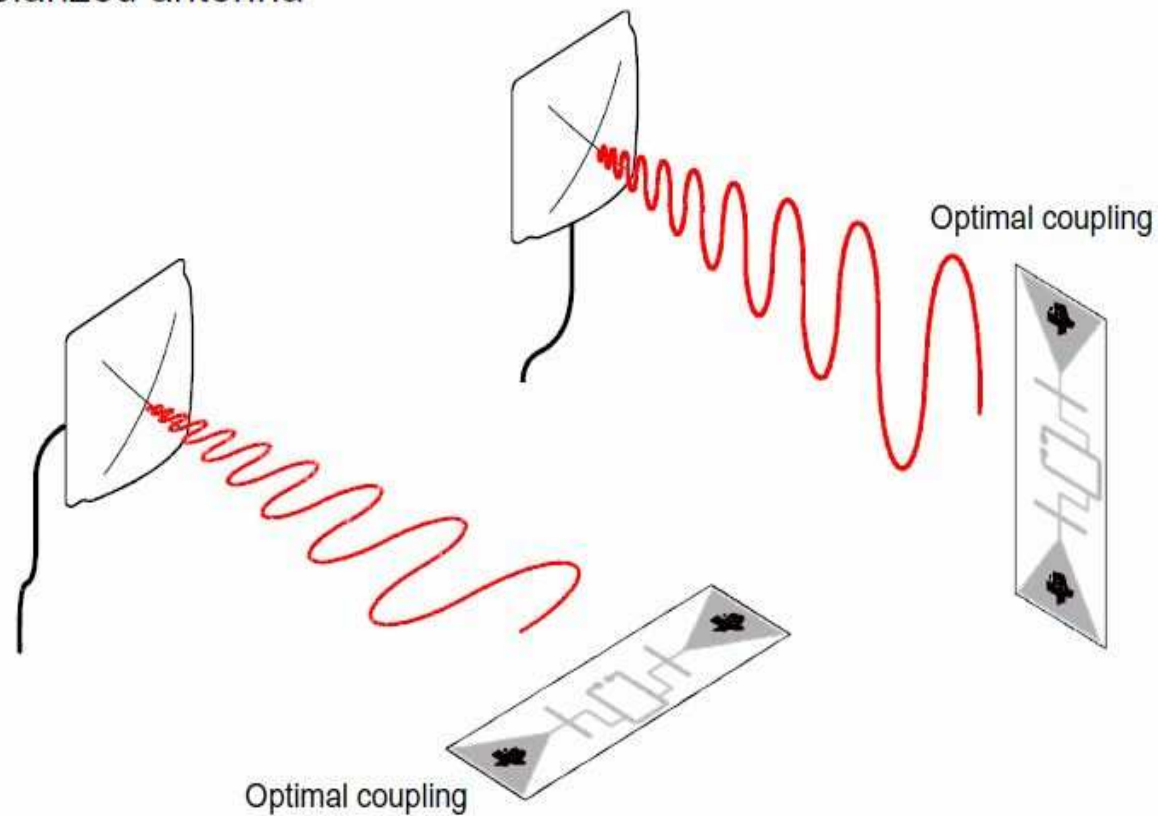
Backscattered Modulation

$$\Delta\text{RCS} = \frac{\lambda^2}{4 \cdot \pi} \cdot G_{\text{Tag}}^2 (|\Gamma_{\text{mod}}|^2 - |\Gamma_{\text{unmod}}|^2)$$

Reader with linear polarized antenna

With linear polarized antennas:

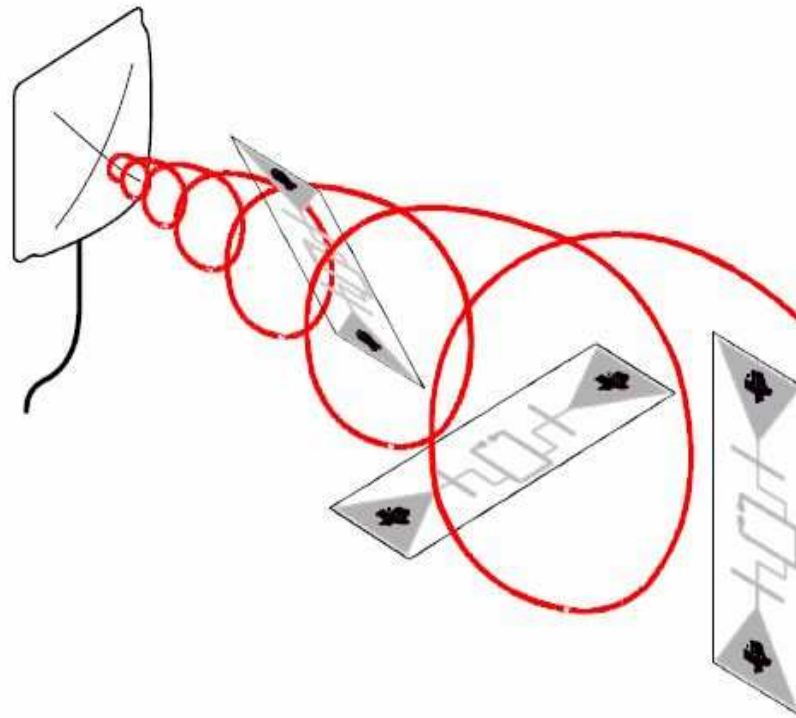
- A tag's performance depends on its orientation with respect to a linear polarized antenna



Reader with circular polarized antenna

With circular polarized antennas, tag orientation is less critical.

- The helical nature of the field from a circular polarized antenna allows it to read tags in more than one orientation.

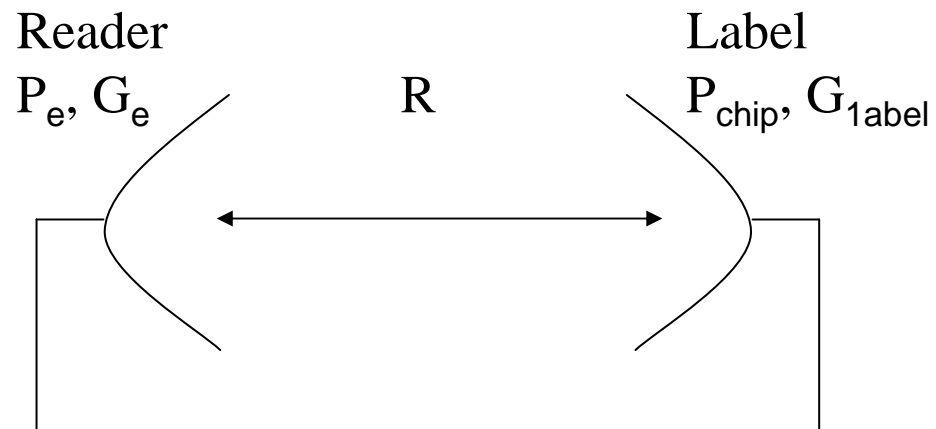


- The down side of circular polarized antennas is that their output is less than linear antennas (approximately 1/3 down).

FRIIS Formula applied to RFID

- ▶ Transferred power from a reader antenna to the chip

$$P_{Chip} = P_{EIRP} \cdot \frac{\lambda^2}{(4 \cdot \pi \cdot R)^2} \cdot G_{Label}$$



$$P_{eirp} = P_e * G_e \text{ (dBi)}$$

Read Range of an UHF/GHz Chip



- ▶ Example III (UHF)
- ▶ under EN 302 208 European regulation:
 $P_{ERP} = 2 \text{ W}$ equals $P_{EIRP} = 3.28 \text{ W}$; $G_{Label} = 1.64$
 $f = 869 \text{ MHz}$; $P_{CHIP} = 35 \mu\text{W}$
 $\mathcal{G}_{Matching} = 0.8$; $\mathcal{G}_{Polarisation} = 1$; $\mathcal{G}_{Antenna} = 0.5$

$$R_{max} = \sqrt{\frac{3.28 \text{ W} \cdot 1.64 \cdot 0.35 \text{ m}^2}{(4 \cdot \pi)^2 \cdot 35 \cdot 10^{-6} \text{ W}}} \cdot 0.8 \cdot 1 \cdot 0.5 = 6.90 \text{ m}$$

Active vs passive



Passive tag

RF Chip
powering +
backscattering

Up to 10 m !



Semi-passive=
Battery assisted

Battery for chip powering only, RF
transmission from tag to reader is
backscattering

Up to 50 m !



Active tag

Battery for chip
powering & RF
Transmission

Emits its
own signal.

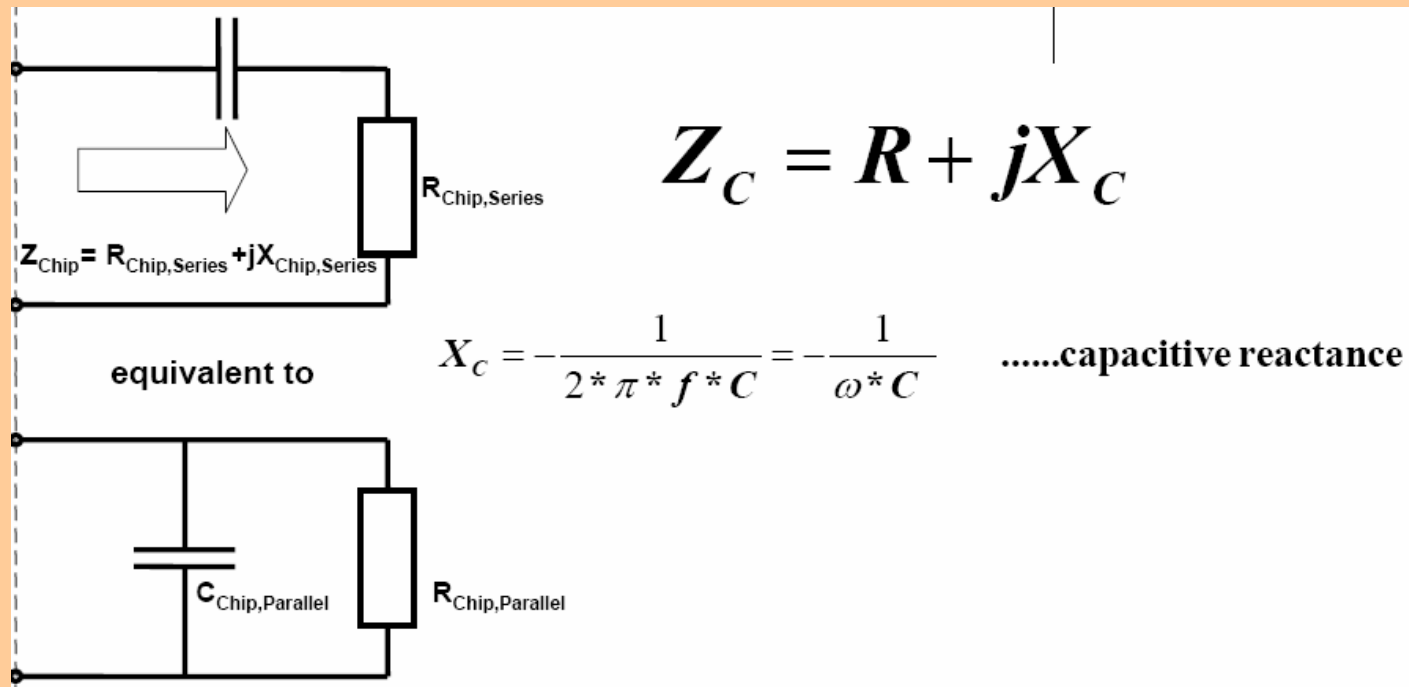
Up to 200 m!

Price ↗ with reading distance



UHF antennas (860-960 MHz)

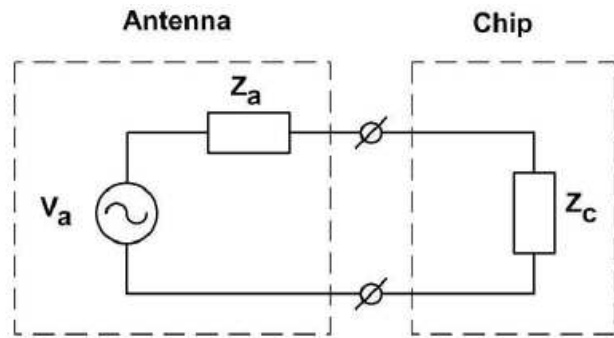
Chip equivalent circuit



Example of UHF RFID chip: Monza 4 Impinj

		Single-port connection
Chip Load Model		1650 Ω 1.21 pF
Conjugate Match Impedance	866 MHz	13 + j151 Ω
	915 MHz	11 + j143 Ω
	956 MHz	10 + j137 Ω
Read Sensitivity		-17.4 dBm
Write Sensitivity		-14.6 dBm

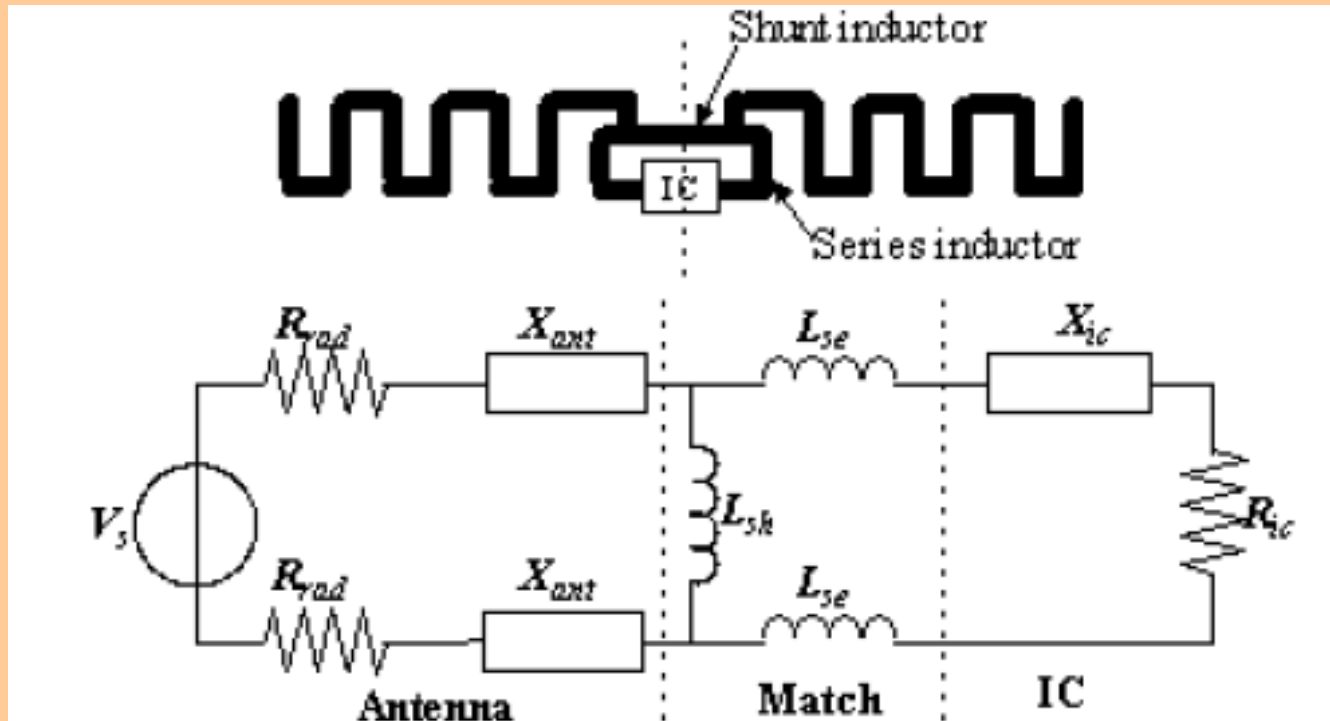
Impedance matching



$$\Gamma = \frac{Z_a - Z_c^*}{Z_a + Z_c}$$

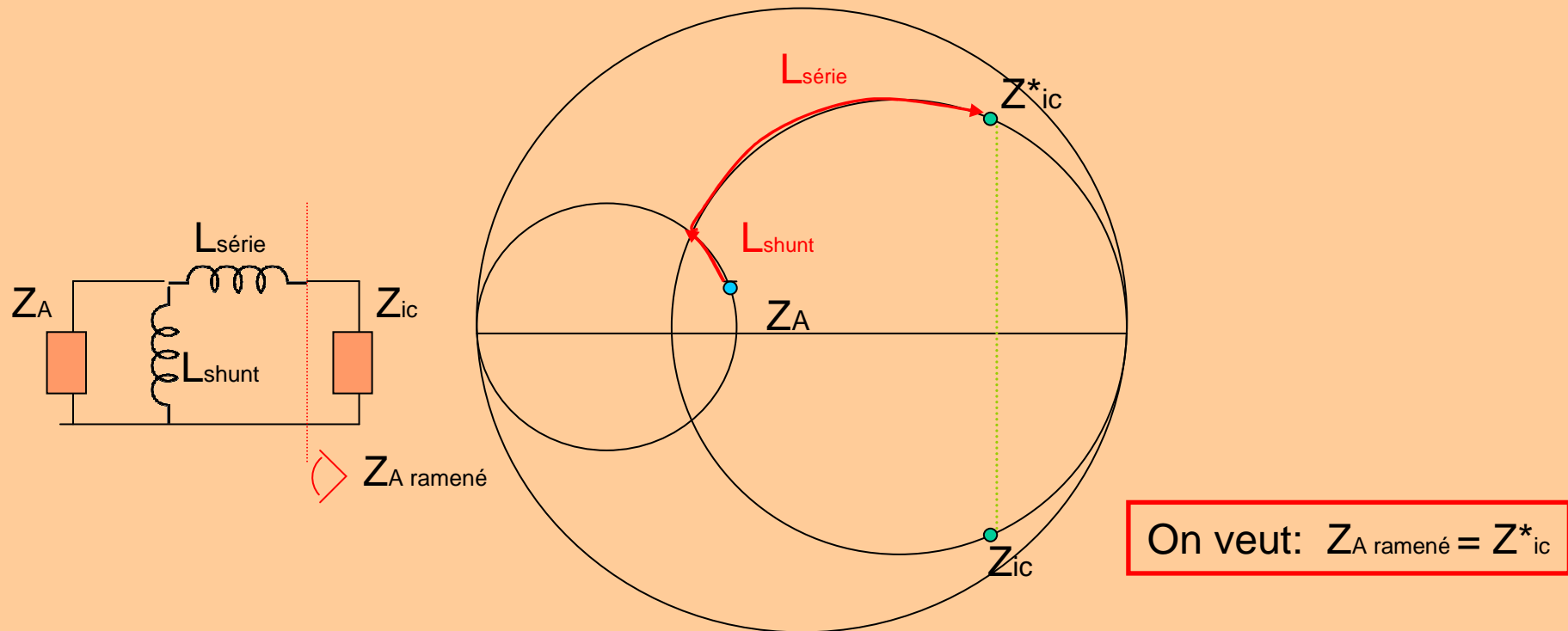
- Know impedance behavior of the Antenna
 - Know impedance behavior of the Chip
 - **Match it!**
- $\Re(Z_{\text{chip}}) \approx 9$
- The **chip input impedance** is $\approx 22 - j 195 \Omega$!
 - To ensure maximum power transfer from the antenna to the reader, the required output impedance should be $\approx 22 + j 195 \Omega$ for 915Mhz.
 - This means a **inductive** (coil-like properties) antenna **impedance**

Connexion directe de la puce à l'antenne



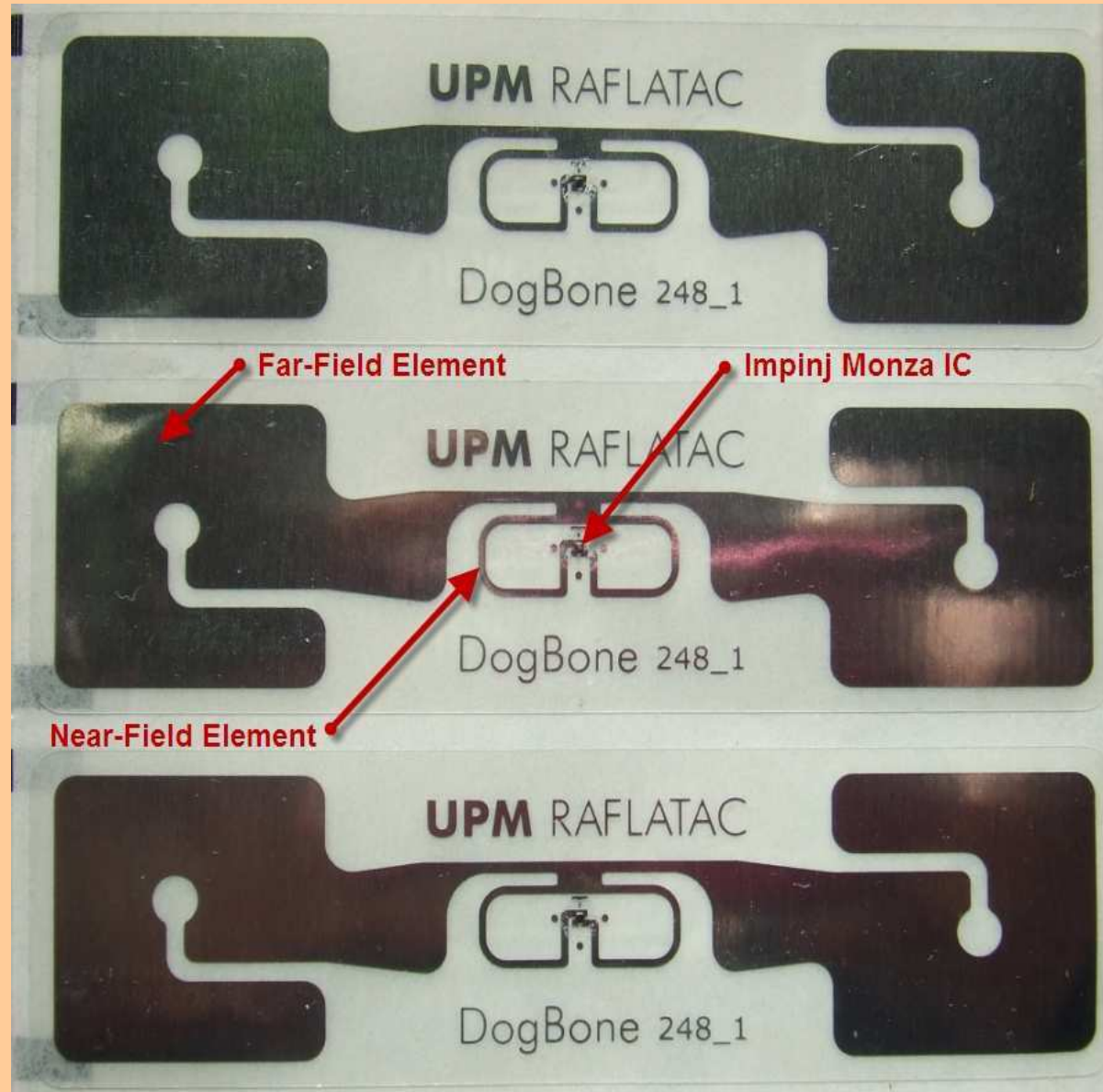
Adaptation de l'impédance IC à l'impédance antenne via un transformateur d'impédance associant inductance série et inductance parallèle

Principe de l'adaptation


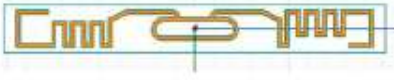





- Z_A = résonance série du dipole (quelques dizaines d'ohms et réactance faible)
 - ⇒ A priori à l'INTERIEUR du cercle $\text{Re}(Z_{ic})=\text{constante}$ car valeur faible
 - ⇒ validité du transformateur d'impédance proposé.

Near-field and far-field elements



Exemples de tags UHF à connexion directe

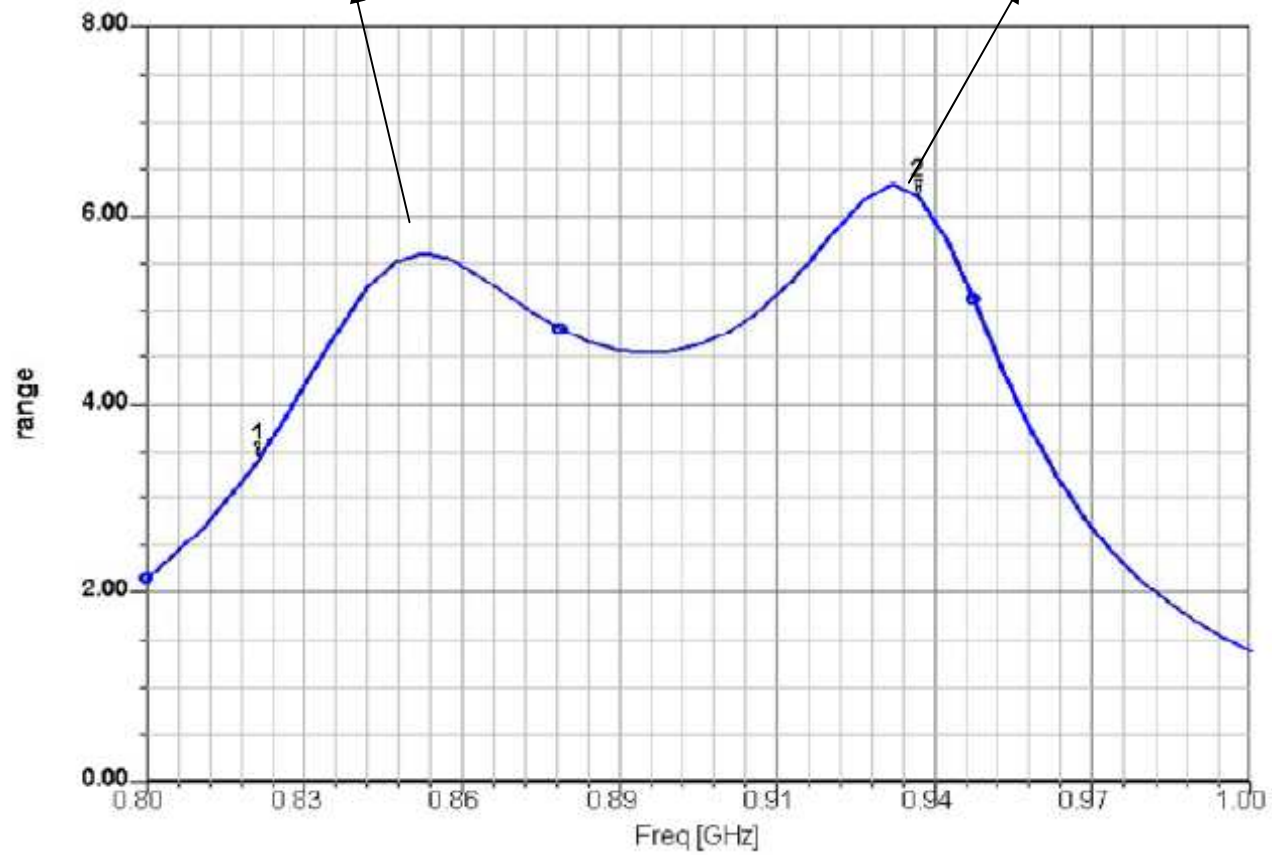
Antenna Design	Layout	Description
Range: Far Field Name: FF98-4		Dimension: 98mm x 10mm Works best up to Epsilon r = 4
Range: Far Field Name: FF95-8		Dimension: 95mm x 10mm Works best up to Epsilon r = 8
Range: General Purpose Name: GP33		Dimension: 33mm x 24mm
Range: Mid Range Name: MR34		Dimension: 34mm x 15mm
Application: Fashion / airport baggage tagging Name: OmniDir50		Dimension: 50mm x 30mm



Read range (in meters)

Loop resonance

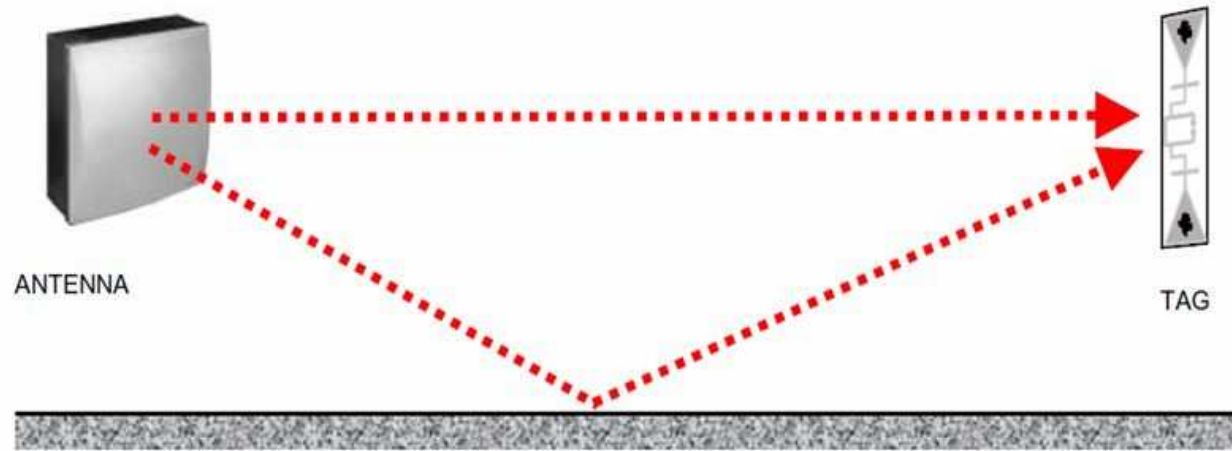
Dipole resonance



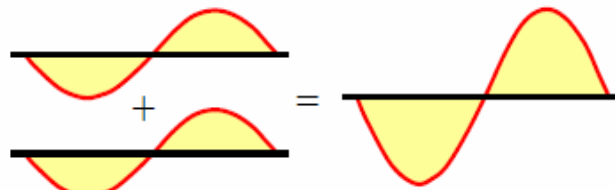
Propagation, absorption, detuning issues

Multipath effects (1)

- 1 At UHF frequencies multi-path RF waves, caused by reflections from the floor and other obstructions, may combine constructively or destructively.

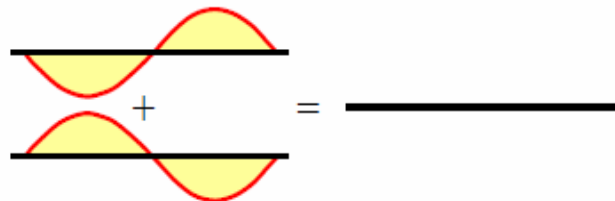


In Phase



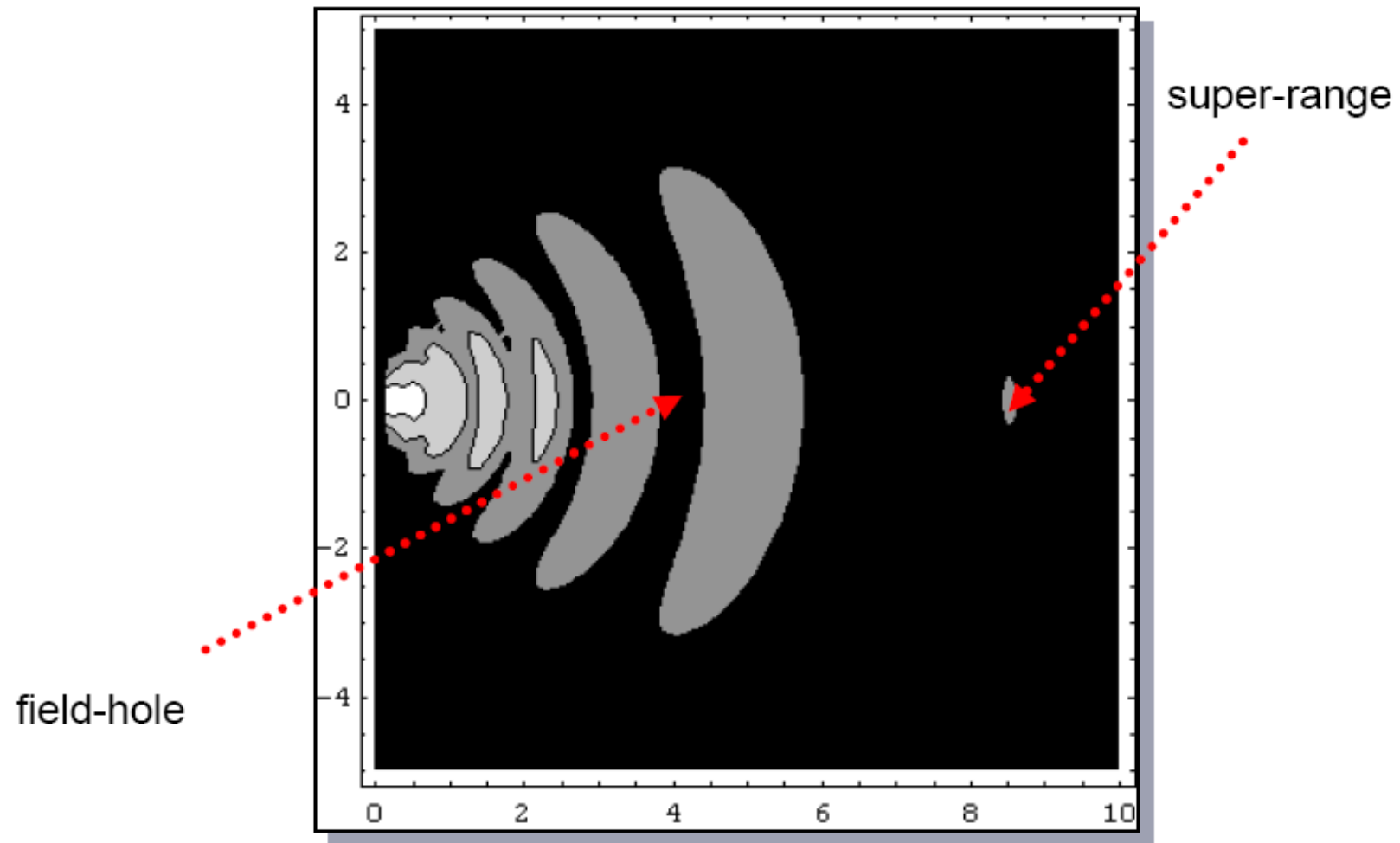
Constructive
Interference

Opposite
Phase

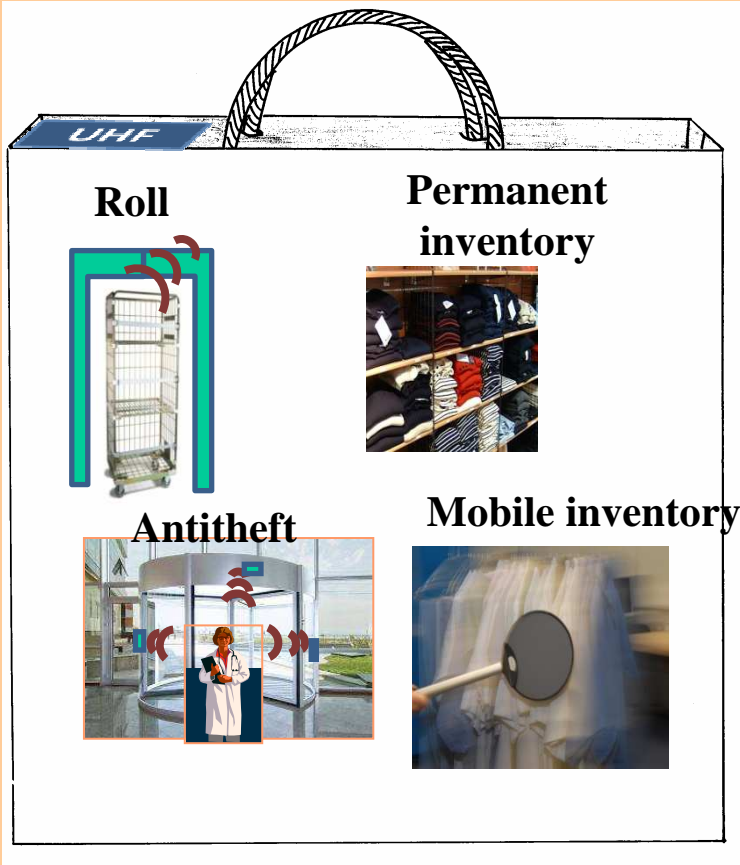


Destructive
Interference

Multipath effects (2)

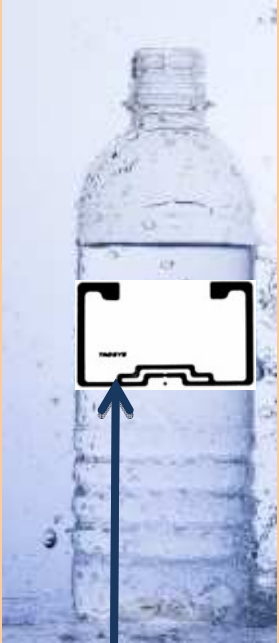


Dynamic reading



Environmental constraint

UHF



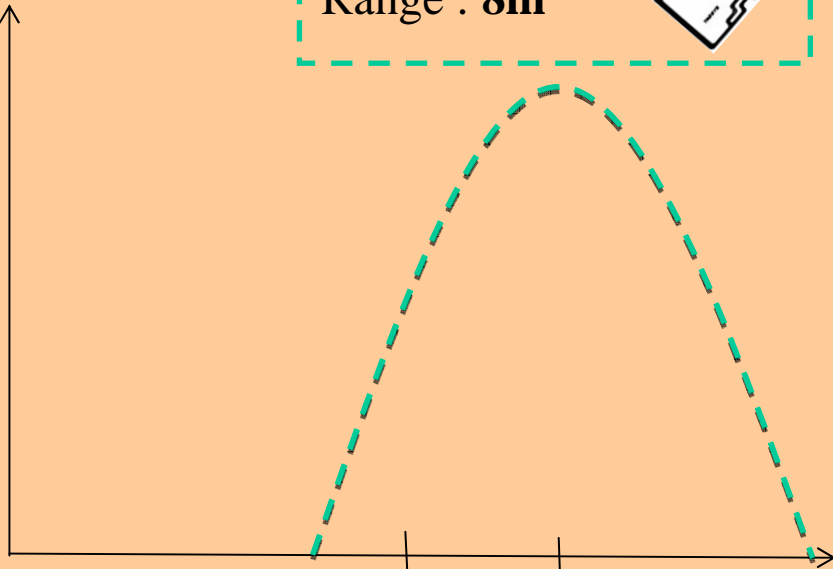
The tag is NOT read!!

Standard UHF Tag tuned at 900 MHz placed in **water**. Range : ≈ 0 m

Standard UHF Tag tuned at 900 MHz Place in the **air**. Range : **8m**



Working power (dB)



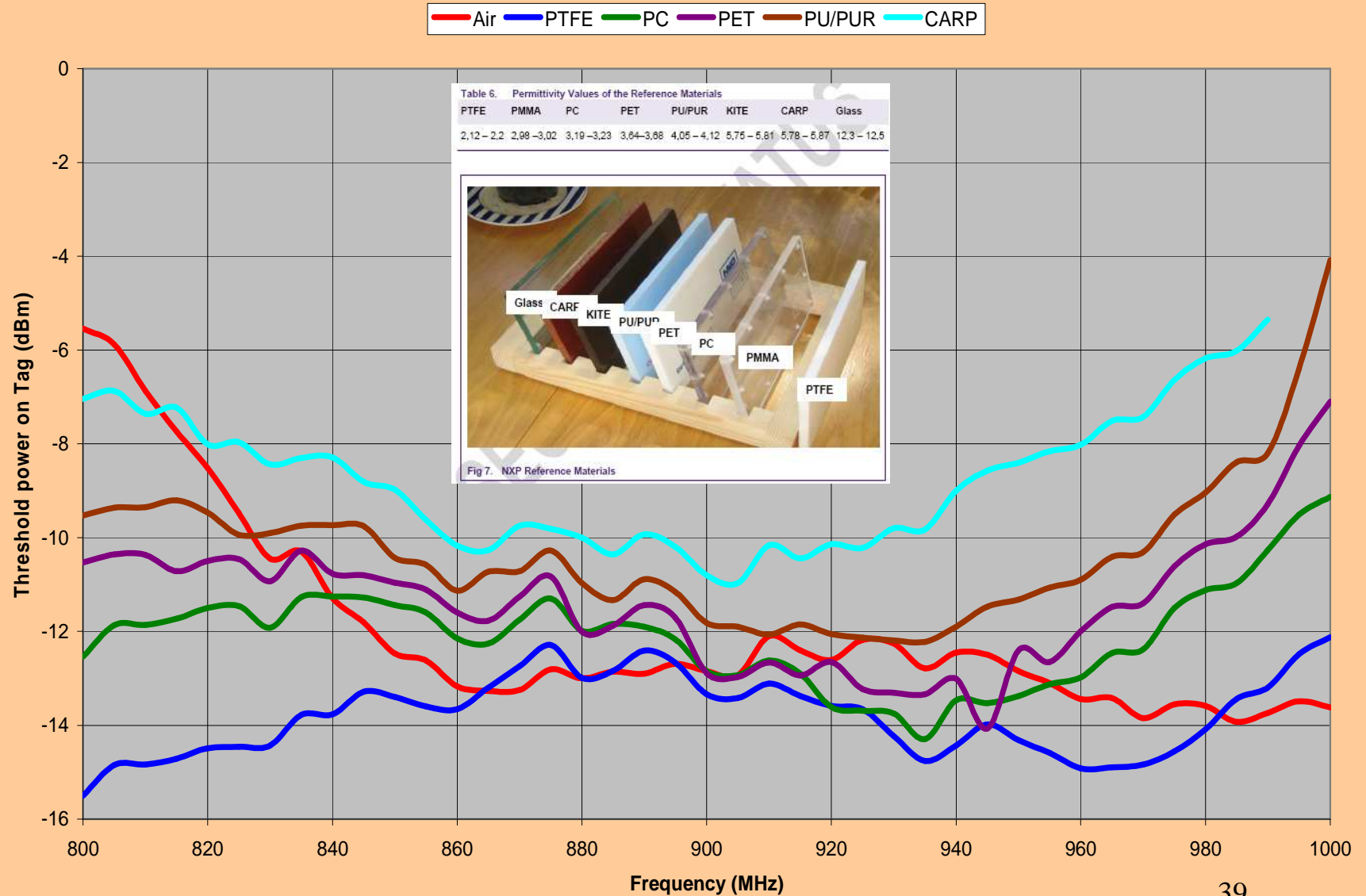
Attenuation ≈ -40 dB
Detuning : [900 \rightarrow 750] MHz

HF



Detection of the tag

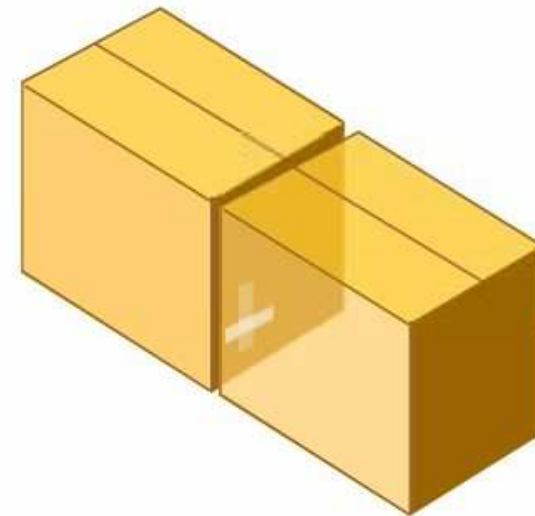
UHF RFID Inlay: Material Detuning Effect



Label position

It may not be possible to read labels on cartons in the center of a pallet.

- It depends on a number of factors:
 - Output power of the reader antennas
 - The distance from the antennas
 - The material in the cartons
- If at all possible, position the labels on the outside of the pallet load.
- One situation that **must** be avoided is overlapping labels
 - Labels that overlap are the same as placing each label close to metal. They de-tune each other and performance is lost.



EPC Gen2 protocol

UHF RFID Standard: EPC Gen2

- **EPC Global**

- *Not-for-profit organization entrusted by industry to establish and support the Electronic Product Code (ePC).*

- *Develop a global standard for immediate, automatic, and accurate identification of any single item in the supply chain of any company, in any industry, anywhere in the world. The tag is only a token to access distributed and replicated data bases.*

- *EPC Global Generation 2 (new global protocol available since december 2004)*

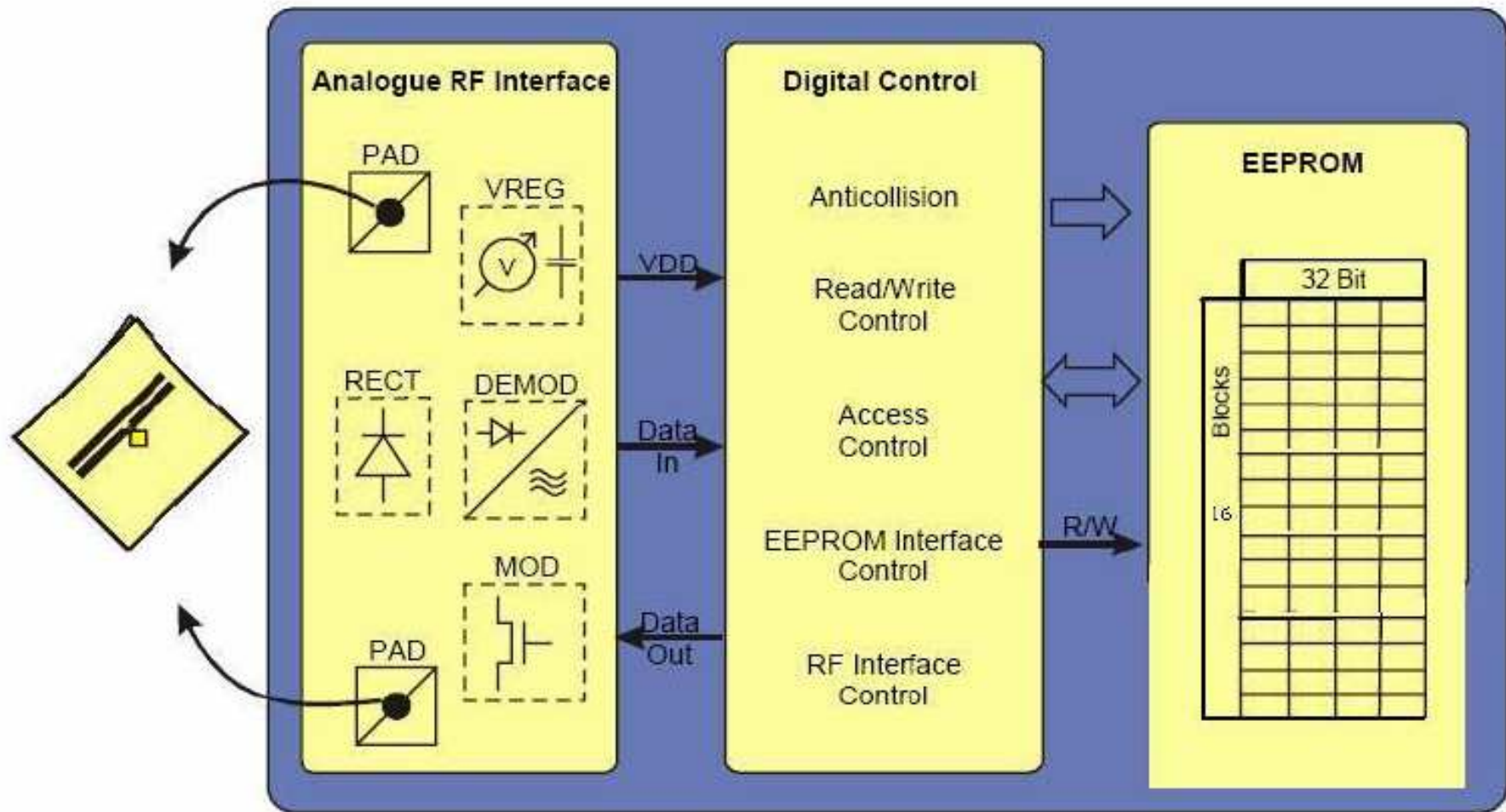
- **ISO 18 000 – Part 6 (International Standard Organization)**

- *Information technology - Radio frequency identification (RFID) for item management Type C (same as EPC Global Gen2, RTF protocol)*

EPC Gen2 protocol

Integrated circuit - Memory

Gen2 Block Diagram



+ data encoder

+ clock extractor

Memory types and Gen2 operations

Read Only (RO)

Data (ID) are burned into the tag at factory \Rightarrow can never be changed

Write Once Read Many (WORM)

Data generally written into tag at point of application \Rightarrow when encoded, cannot be reprogrammed

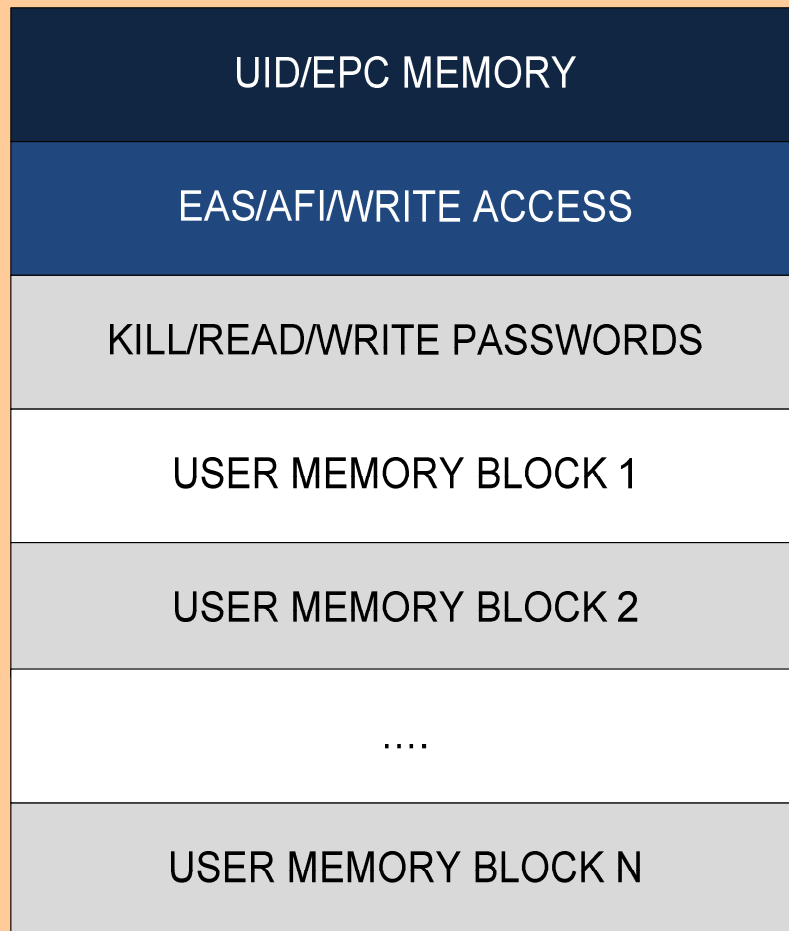
Read Write (RW)

Data may be written, erased and rewritten into memory in field

Operation	Function
<i>Inventory</i>	Singulate tags and receive their EPCs
<i>Read</i>	Read tag memory
<i>Write</i>	Write tag memory
<i>Lock</i>	Permalock, lock, or unlock tag memory
<i>Kill</i>	Render a tag permanently inoperative

Memory zones

Not everything below is implemented usually:



- **UID = Unique ID**
Unique ID, usually read only similar to the MAC address of a network card.
- **EPC memory = Electronic Product Code**
Writable 96 bits EPC code similar to barcode
- **EAS = Electronic Article Surveillance**
Security bit implemented on some chips
- **AFI = Application Family Identifier**
Byte used to categorize the tag by application
- **Write access**
Byte used to store the ACL (Access Control List) of the user memory
- **Passwords to kill the tag or read/write**
Different 32 bits passwords used by the tag. If unused, bits are zero
- **User memory**
Structure and size depends on the chip - up to a few kb

Delivery types

Bumped Wafer on Film Frame Carrier
UCODE HSL, UCODE EPC 1.19, UCODE EPC G2



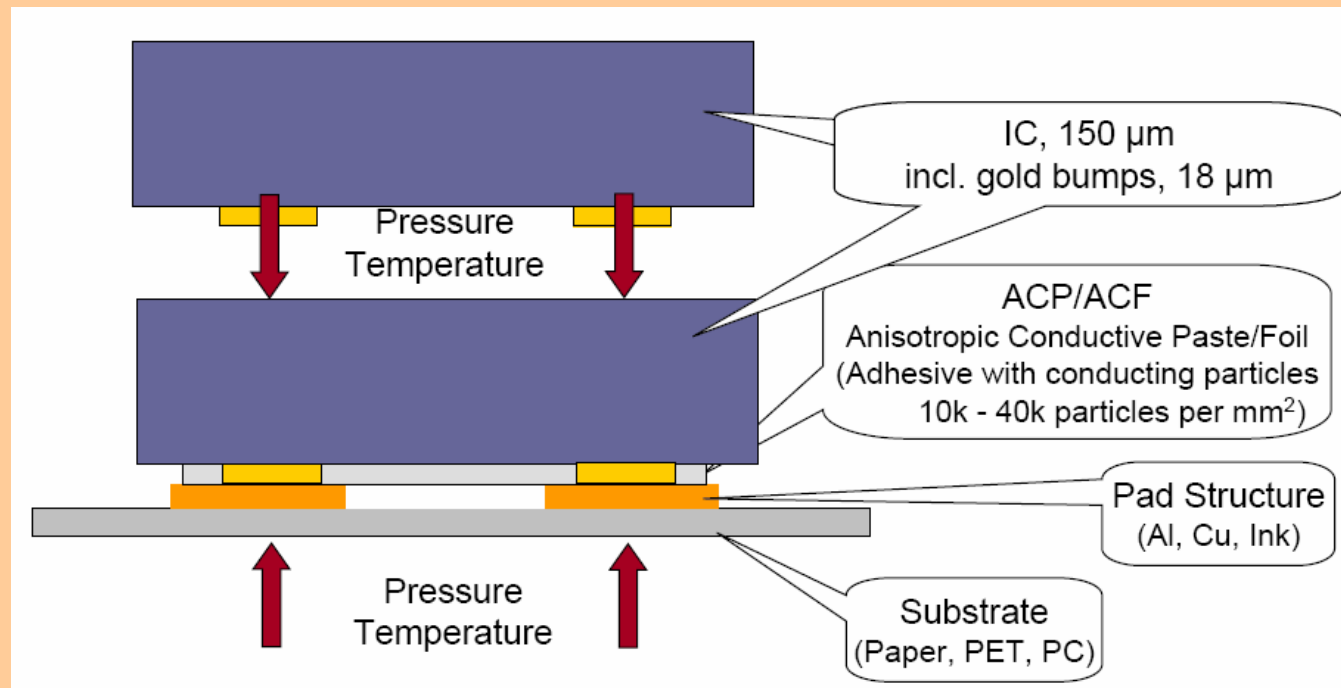
Standard Package TSSOP8
UCODE HSL, UCODE EPC G2



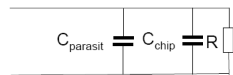
I•Connect Flip Chip Package
UCODE EPC G2



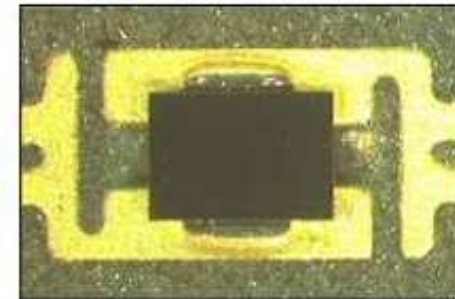
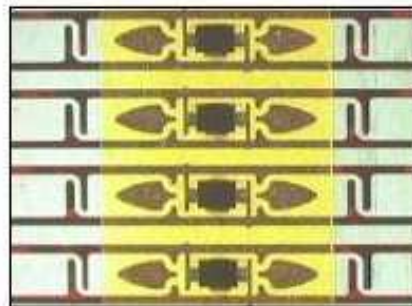
Flip Chip Assembly



Assembling process adds parasitic Capacitances



$$C_{\text{tot}} = C_{\text{parasit}} + C_{\text{chip}}$$



EPC Gen2 protocol

Coding and Modulation

Reader-to-Tag communications

Modulation

ASK: can be detected with a simple envelope detector

- Double-sideband amplitude shift keying (DSB-ASK)
 - Simple, but not spectrally efficient
- Single-sideband amplitude shift keying (SSB-ASK),
 - More complex (requires a IQ modulator)
 - More spectrally efficient
- Phase-reversed amplitude shift keying (PR-ASK)
 - Reduces the width of the spectrum

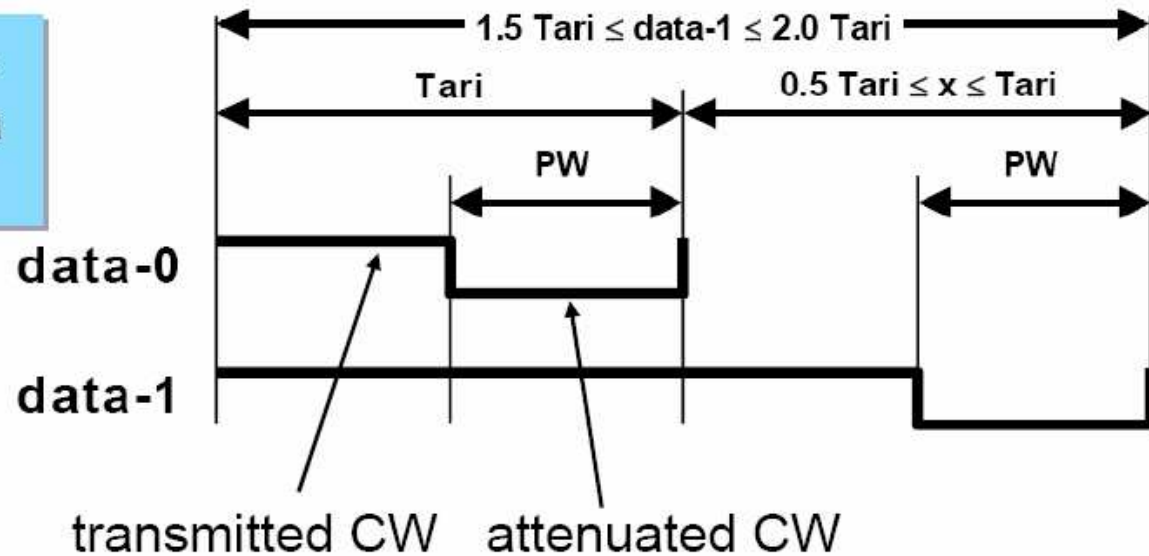
Data Coding

Pulse interval encoding (PIE)

- Ensures a constant RF energy from the reader to power the tag chip.

Reader-to-Tag: PIE encoding

Tari = reference time interval (duration of a data-0)



Data rates according with local radio regulations.

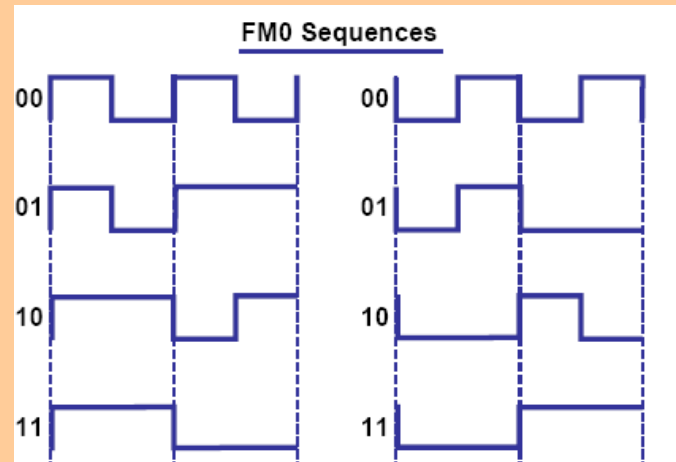
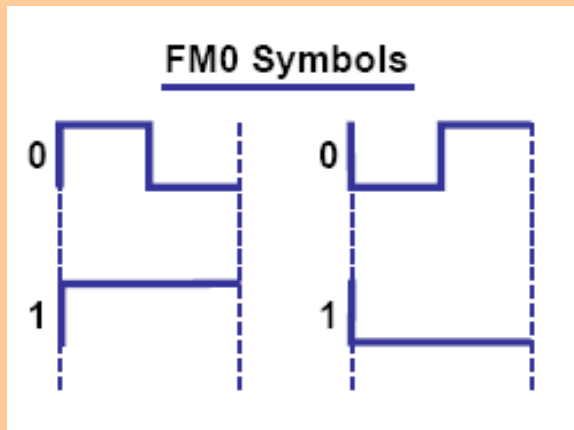
$6.25 \mu s = 160 \text{ kbps}$

$12.5 \mu s = 80 \text{ kbps}$

$25 \mu s = 40 \text{ kbps}$

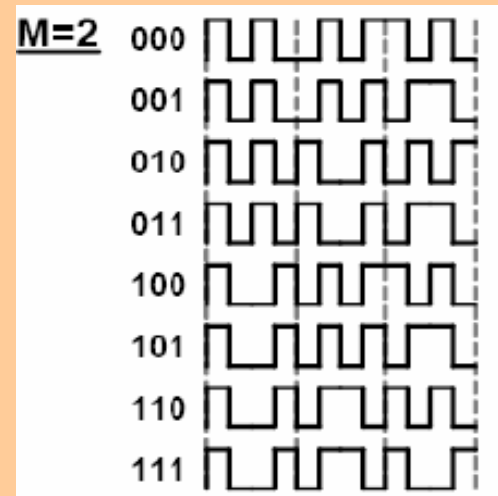
Tari Value	Tari-Value Tolerance	Spectrum
$6.25 \mu s$	$\pm 1\%$	DSB-ASK, SSB-ASK, or PR-ASK
$12.5 \mu s$	$\pm 1\%$	
$25 \mu s$	$\pm 1\%$	

Tag-to-Reader: FM0 or Miller



FM0 inverts the baseband phase at every symbol Boundary

A data-0 has an additional mid-symbol phase inversion.

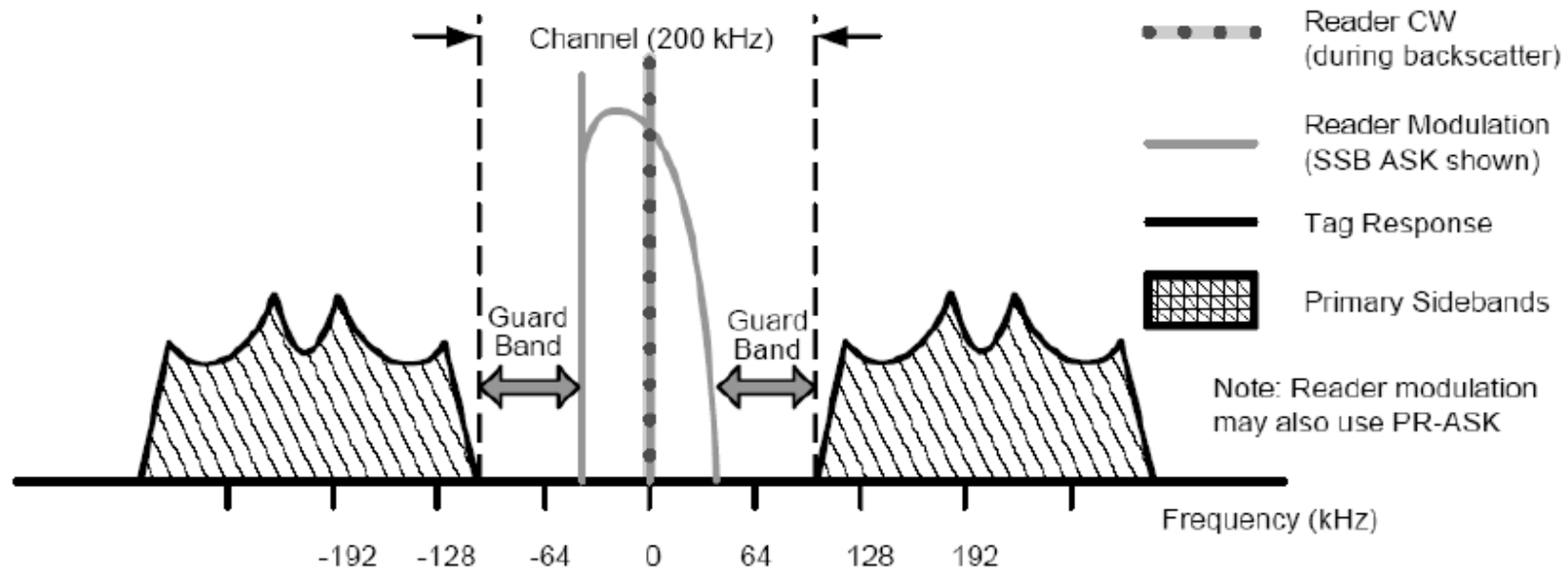


Baseband Miller inverts its phase between two data 0s in sequence, or in the middle of a data-1 symbol.

Subcarrier spectral allocation

Region	Link	Rates / Format
Europe	Forward	Tari=25 μ s SSB-ASK
	Backscatter	53.3 kbps at 213.3 kHz subcarrier

B: Subcarrier Spectral Allocation (CEPT: Multiple Channels)



Read rate - Bit rate

	EU	US
Read rate	600 tags/sec	Read rate: 1600 tags/sec
T→R Bit rate	from 16 kbits/sec (dense reader) to 160 kbits/sec (Maximum throughput)	from 64 kbits/sec (dense reader) to 640 kbits/sec (Maximum throughput)
R→T Bit rate	from 40 kbits/sec (Nominal) to 80 kbits/sec (Maximum throughput)	from 40 kbits/sec (Nominal) to 128 kbits/sec (Maximum throughput)

Environment	Communication speed
Noisy	Need to talk slowly and carefully
Europe	
Many readers	
Quiet	Can talk fast
North America	
Few readers	

- Gen2 sometimes needs fast tag reads (Pallets moving through a dock door)
- Gen2 sometimes needs slow tag reads (Noisy environments)
- Solution: Variable read rates

EPC Gen2 protocol
Anticollision algorithms

Protocol: *Reader Talk First vs Tag Talk First*

RTF



1. Tag power up
2. Wait for the reader cmd
3. Receive the reader cmd
4. Response to the reader

TTF



1. Tag power up
2. Send ID and data

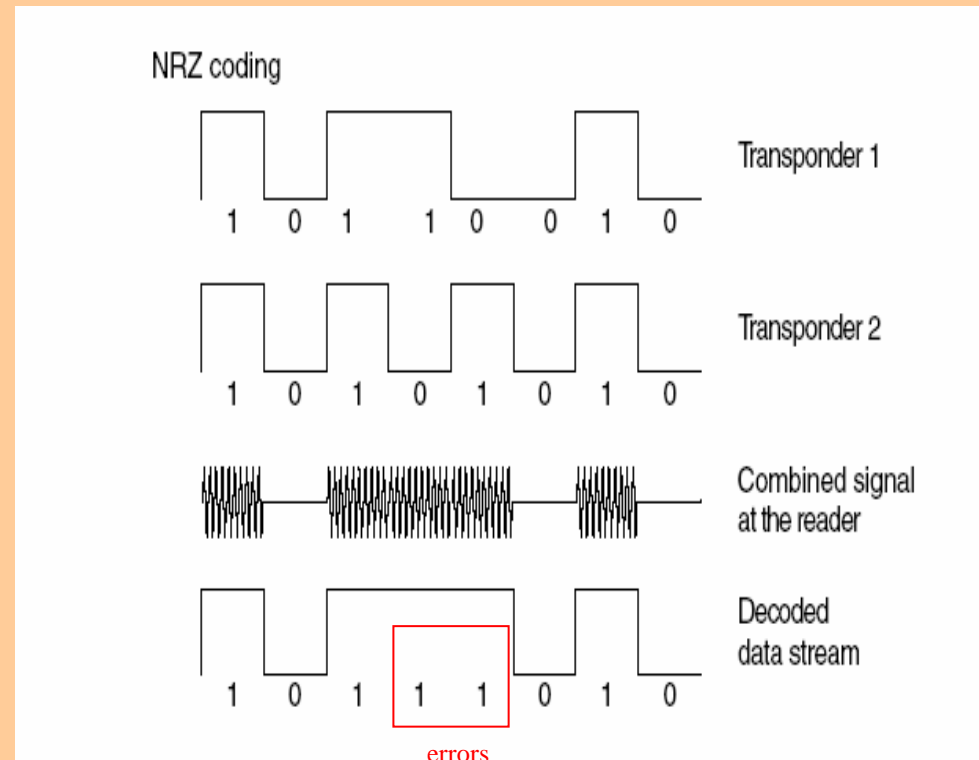
Collisions and Anticollision Algorithm

Origine of the collision:

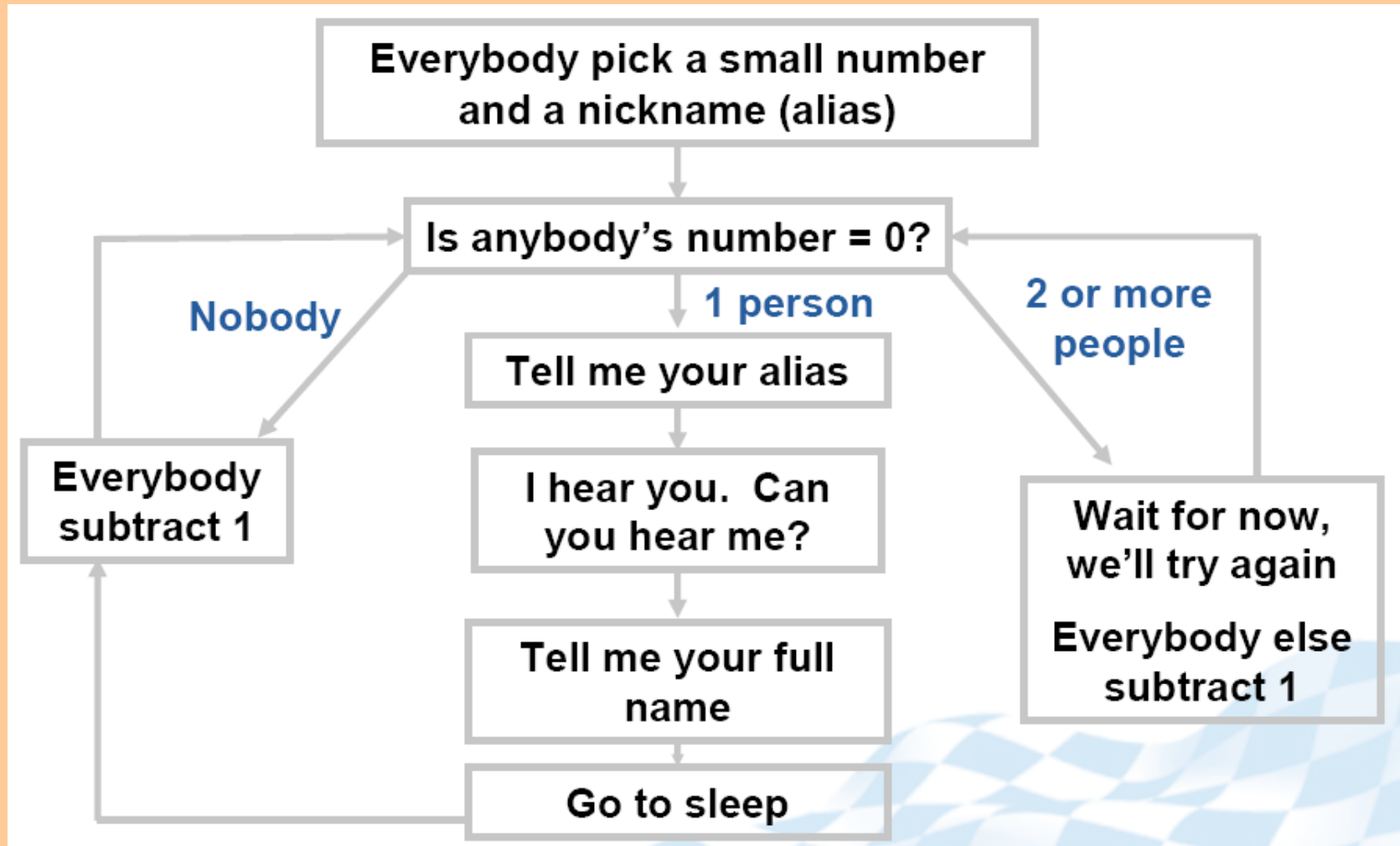
A collision occurs when two or more transponders send their data at the same time.

Anticollision algorithm in EPC Gen2 protocol:

Slotted Aloha-based probabilistic algorithm



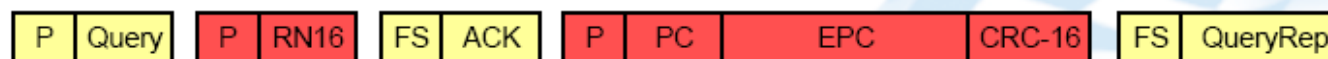
Simplified Aloha algorithm



Slotted Aloha-based probabilistic algorithm

- Reader issues a *Query* command with a parameter *Q*
 - Starting the inventory round
- Tags load a *Q*-bit random value into their slot counter
 - If a tag loads a zero it replies immediately, backscattering an RN16
- Reader acknowledges the tag by sending an *ACK* containing this same RN16
- Acknowledged tag backscatters its PC, EPC, CRC-16
- Reader issues a *QueryRep* command
 - Tag toggles the state of its **inventoried** flag and leaves the round
 - All other tags decrement their slot counters
 - If any tag decrements to zero, it replies with an RN16

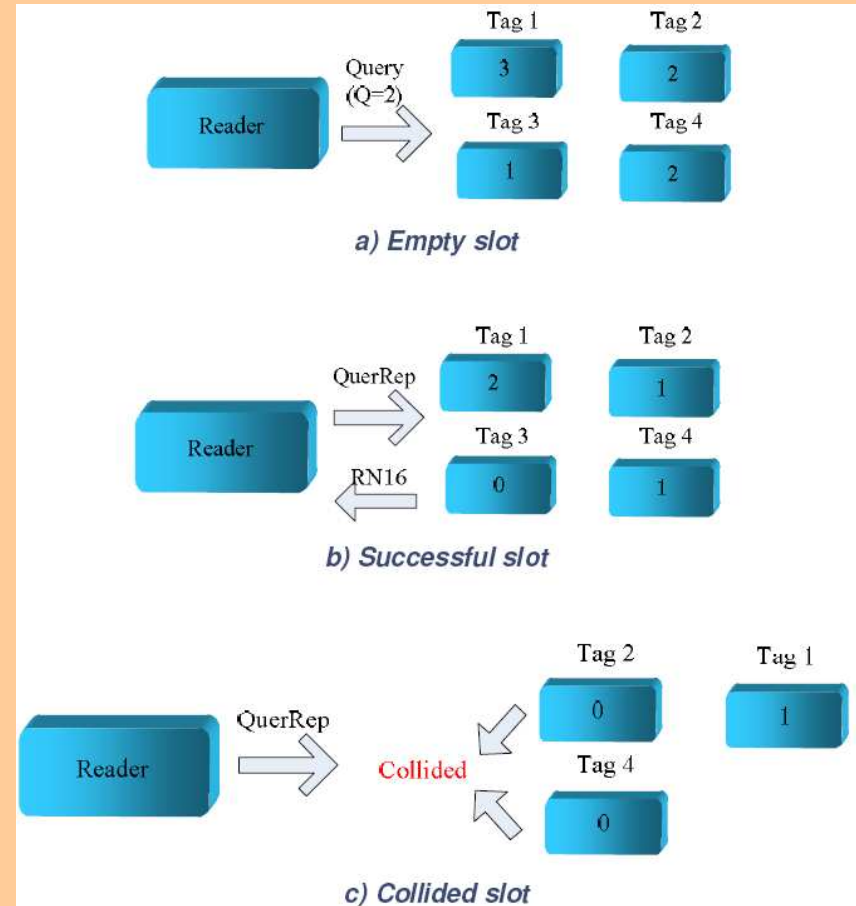
	Symbol	Description
Reader Signaling	P	Preamble (R=>T or T=>R)
Tag Signaling	FS	Frame-Sync
	RN16	16-bit Random Number



RN16 (16 bits random number) \neq *Q*-bit random value (length $L = 2^Q - 1$)

Collisions and Q adjustment

- Slot number of each tag is independently chosen
⇒ collisions happen
- If $2^Q - 1 = \text{number of tags in the read area}$
⇒ minimize collision rate
⇒ maximum system efficiency
- **The application can optionally set the starting point**
 - Application can optimize inventory, based on a priori knowledge of the population size, by setting the starting Q value
- **Real-time Q adjustment is handled by the reader**
 - At any given time instant, peak inventory efficiency requires:
 - Allocated slots \approx Number of remaining (uncounted) tags
 - Real-time visibility into the physical layer metrics is critical
 - Number of single, collided, and empty slots



Typical read rate

Preliminary Stress Test Results

